

Operating Systems

Lecture 15: Swapping

Nipun Batra
Sep 7, 2018

Reducing Memory Overheads of Paging - PT + PT

	PFN	Valid	...
PFN = 200	10	1	..
	-	0	
	-	0	
	-	0	
PFN = 201	23	1	
	-	0	
	-	0	
	-	0	
PFN = 202	-	0	
	-	0	
	-	0	
	-	0	
PFN = 203	-	0	
	-	0	
	-	0	
	28	1	
	4	1	

Total entries = 16

Reducing Memory Overheads of Paging - PT + PT

	PFN	Valid	...
PFN = 200	10	1	..
	-	0	
	-	0	
	-	0	
PFN = 201	23	1	
	-	0	
	-	0	
	-	0	
PFN = 202	-	0	
	-	0	
	-	0	
	-	0	
PFN = 203	-	0	
	-	0	
	-	0	
	28	1	
	4	1	

Total entries = 16

Reducing Memory Overheads of Paging - PT + PT

PFN = 200	PFN	Valid	...
	10	1	..
	-	0	
	-	0	
PFN = 201	-	0	
	-	0	
	23	1	
	-	0	
PFN = 202	-	0	
	-	0	
	-	0	
	-	0	
PFN = 203	-	0	
	-	0	
	-	0	
	28	1	
	4	1	

Total entries = 16

PFN	Valid	...
10	1	..
-	0	
-	0	
23	1	...

Reducing Memory Overheads of Paging - PT + PT

PFN = 200	PFN	Valid	...
	10	1	..
	-	0	
	-	0	
PFN = 201	-	0	
	-	0	
	23	1	
	-	0	
PFN = 202	-	0	
	-	0	
	-	0	
	-	0	
PFN = 203	-	0	
	-	0	
	-	0	
	28	1	
	4	1	

Total entries = 16

PFN	Valid	...
10	1	..
-	0	
-	0	
23	1	...

PFN	Valid	...
-	0	..
-	0	
-	0	
-	0	...

Reducing Memory Overheads of Paging - PT + PT

PFN = 200	PFN	Valid	...
	10	1	..
	-	0	
	-	0	
PFN = 201	-	0	
	23	1	
	-	0	
	-	0	
PFN = 202	-	0	
	-	0	
	-	0	
	-	0	
PFN = 203	-	0	
	-	0	
	-	0	
	28	1	
	4	1	

Total entries = 16

PFN	Valid	...
10	1	..
-	0	
-	0	
23	1	...

PFN	Valid	...
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-	0	
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PFN	Valid	...
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-	0	
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-	0	...

Reducing Memory Overheads of Paging - PT + PT

PFN = 200	...		
	10	1	..
	-	0	
	-	0	
PFN = 201	23	1	
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	-	0	
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PFN = 202	-	0	
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	-	0	
PFN = 203	-	0	
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	28	1	
	4	1	
Total entries = 16			

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Reducing Memory Overheads of Paging - PT + PT

PFN = 200	<table><tr><th>PFN</th><th>Valid</th><th>...</th></tr><tr><td>10</td><td>1</td><td>..</td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>-</td><td>0</td><td></td></tr></table>	PFN	Valid	...	10	1	..	-	0		-	0		...	<table><tr><th>PFN</th><th>Valid</th><th>...</th></tr><tr><td>10</td><td>1</td><td>..</td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>-</td><td>0</td><td></td></tr></table>	PFN	Valid	...	10	1	..	-	0		-	0																
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PFN	Valid	...																																								
-	0	..																																								
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PFN = 203	<table><tr><td>-</td><td>0</td><td></td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>28</td><td>1</td><td></td></tr></table>	-	0		-	0		-	0		28	1		<table><tr><th>PFN</th><th>Valid</th><th>...</th></tr><tr><td>-</td><td>0</td><td>..</td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>-</td><td>0</td><td></td></tr></table>	PFN	Valid	...	-	0	..	-	0		-	0		<table><tr><th>PFN</th><th>Valid</th><th>...</th></tr><tr><td>-</td><td>0</td><td>..</td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>28</td><td>1</td><td></td></tr></table>	PFN	Valid	...	-	0	..	-	0		28	1				
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PFN	Valid	...																																								
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PFN	Valid	...																																								
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	<table><tr><td>4</td><td>1</td><td></td></tr></table>	4	1			<table><tr><th>PFN</th><th>Valid</th><th>...</th></tr><tr><td>-</td><td>0</td><td>..</td></tr><tr><td>-</td><td>0</td><td></td></tr><tr><td>28</td><td>1</td><td></td></tr><tr><td>4</td><td>1</td><td>...</td></tr></table>	PFN	Valid	...	-	0	..	-	0		28	1		4	1	...																					
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PFN	Valid	...																																								
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28	1																																									
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Total entries = 16																																										

Reducing Memory Overheads of Paging - PT + PT

PFN = 200

PFN	Valid	...
10	1	..
-	0	
-	0	
-	0	
23	1	
-	0	
-	0	
-	0	
-	0	
-	0	
-	0	
-	0	
-	0	
28	1	
4	1	

PFN = 201

PFN = 202

PFN = 203

PFN = 200

PFN	Valid	...
201	1	..
202	0	
203	0	
204	1	...

PFN	Valid	...
10	1	..
-	0	
-	0	
23	1	...

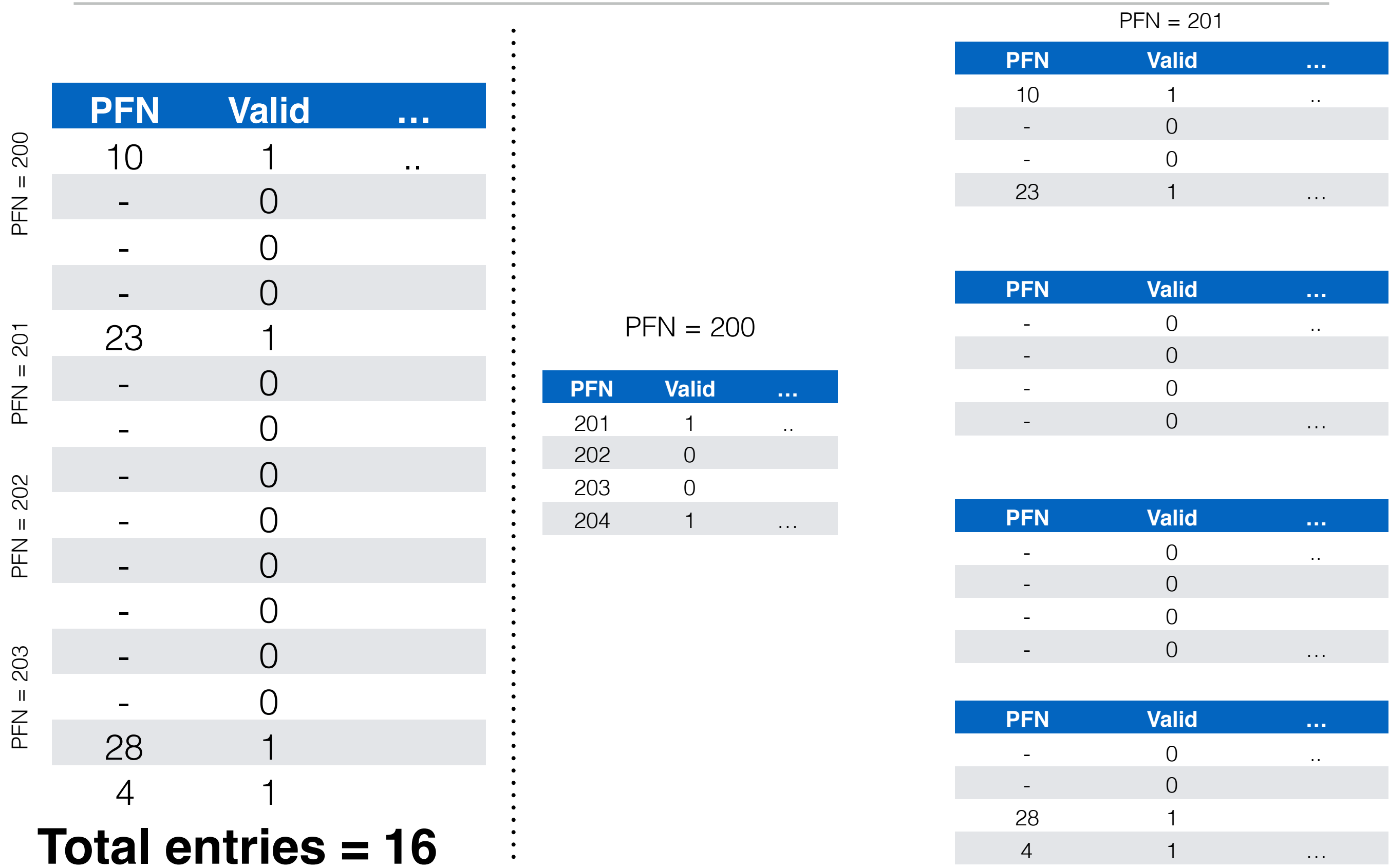
PFN	Valid	...
-	0	..
-	0	
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-	0	...

PFN	Valid	...
-	0	..
-	0	
-	0	
-	0	...

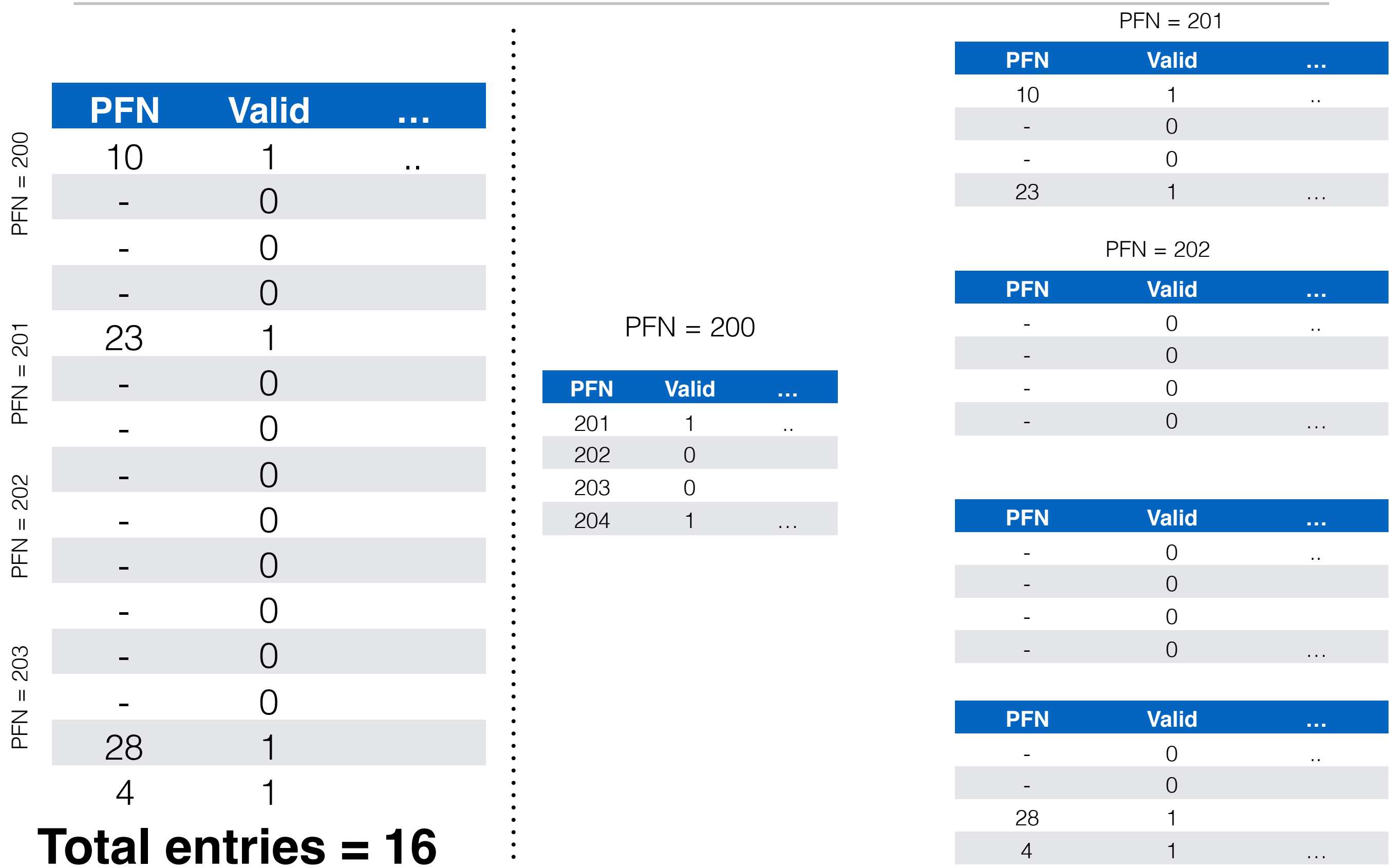
PFN	Valid	...
-	0	..
-	0	
28	1	
4	1	...

Total entries = 16

Reducing Memory Overheads of Paging - PT + PT



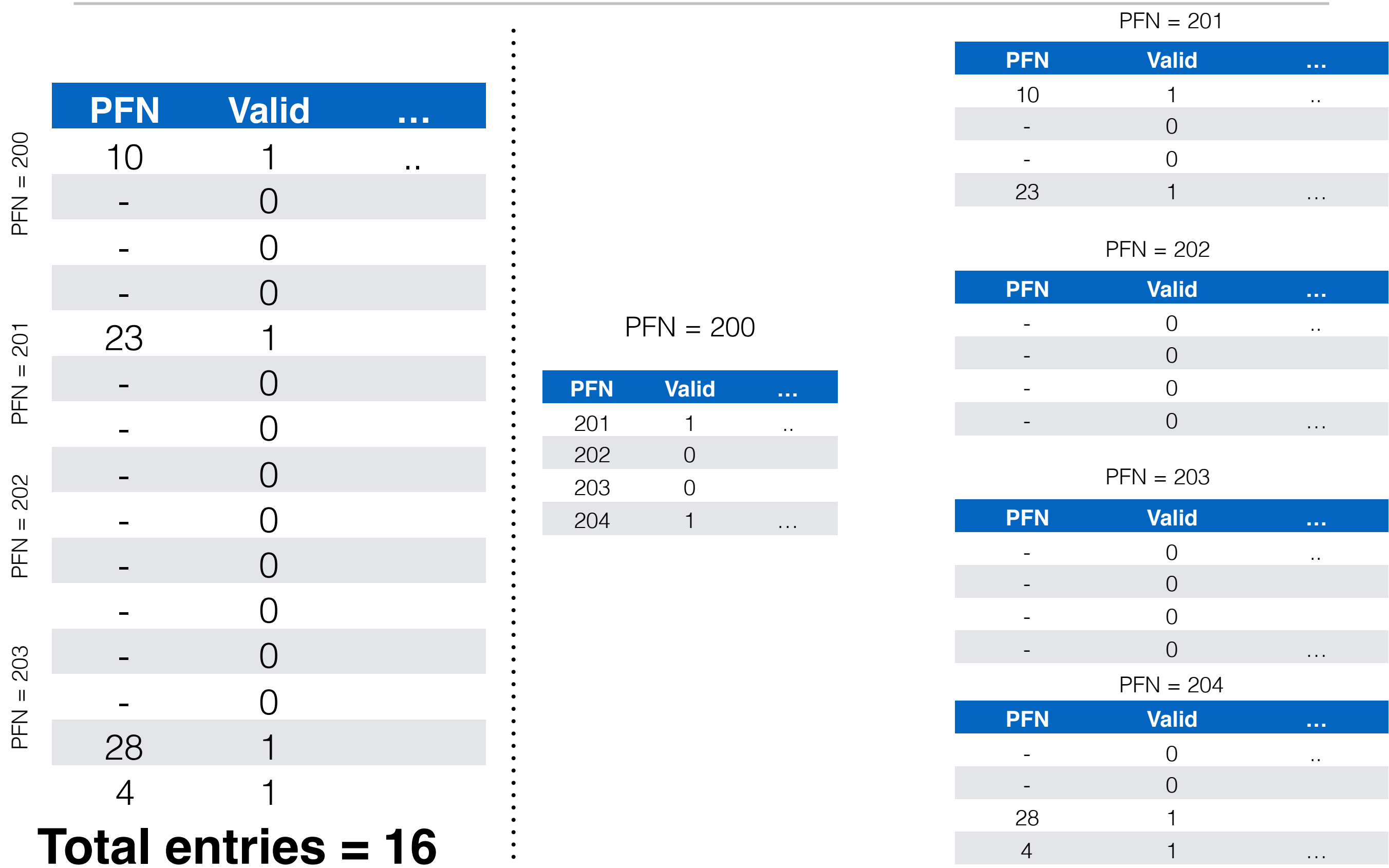
Reducing Memory Overheads of Paging - PT + PT



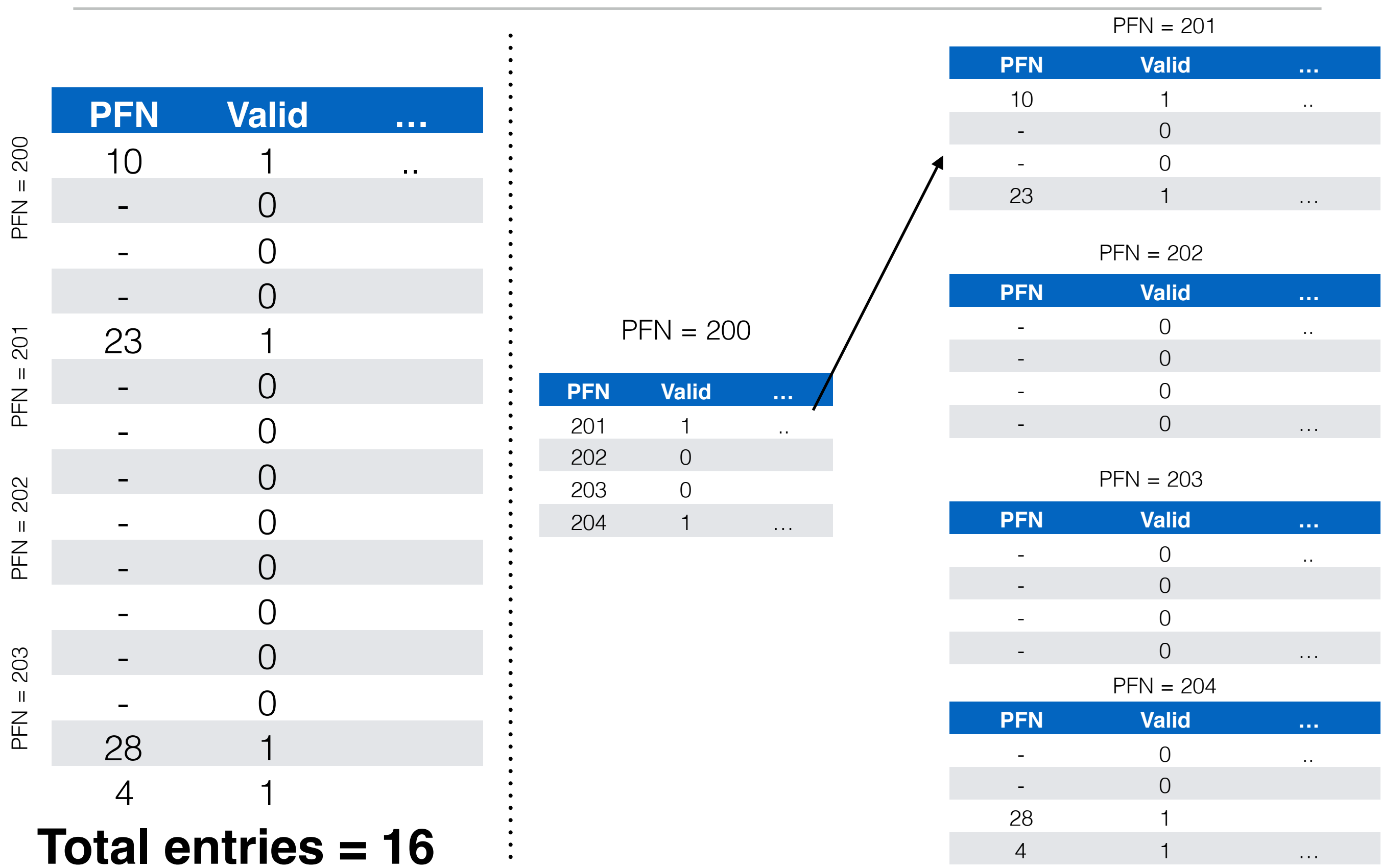
Reducing Memory Overheads of Paging - PT + PT

PFN = 200	PFN = 200			...	PFN = 201			
	PFN	Valid	...		PFN	Valid	...	
	10	1	..		10	1	..	
	-	0			-	0		
	-	0			-	0		
	-	0			23	1	...	
	PFN = 202				PFN = 202			
	PFN	Valid	...		PFN	Valid	...	
	-	0	..		-	0	..	
	-	0			-	0		
	-	0			-	0		
	-	0			-	0	...	
	PFN = 203				PFN = 203			
	PFN	Valid	...		PFN	Valid	...	
	-	0	..		-	0	..	
	-	0			-	0		
	-	0			-	0		
	-	0			-	0	...	
	28	1			28	1		
	4	1			4	1	...	
Total entries = 16				PFN = 200	PFN = 200			
					PFN	Valid	...	
					201	1	..	
					202	0		
					203	0		
					204	1	...	

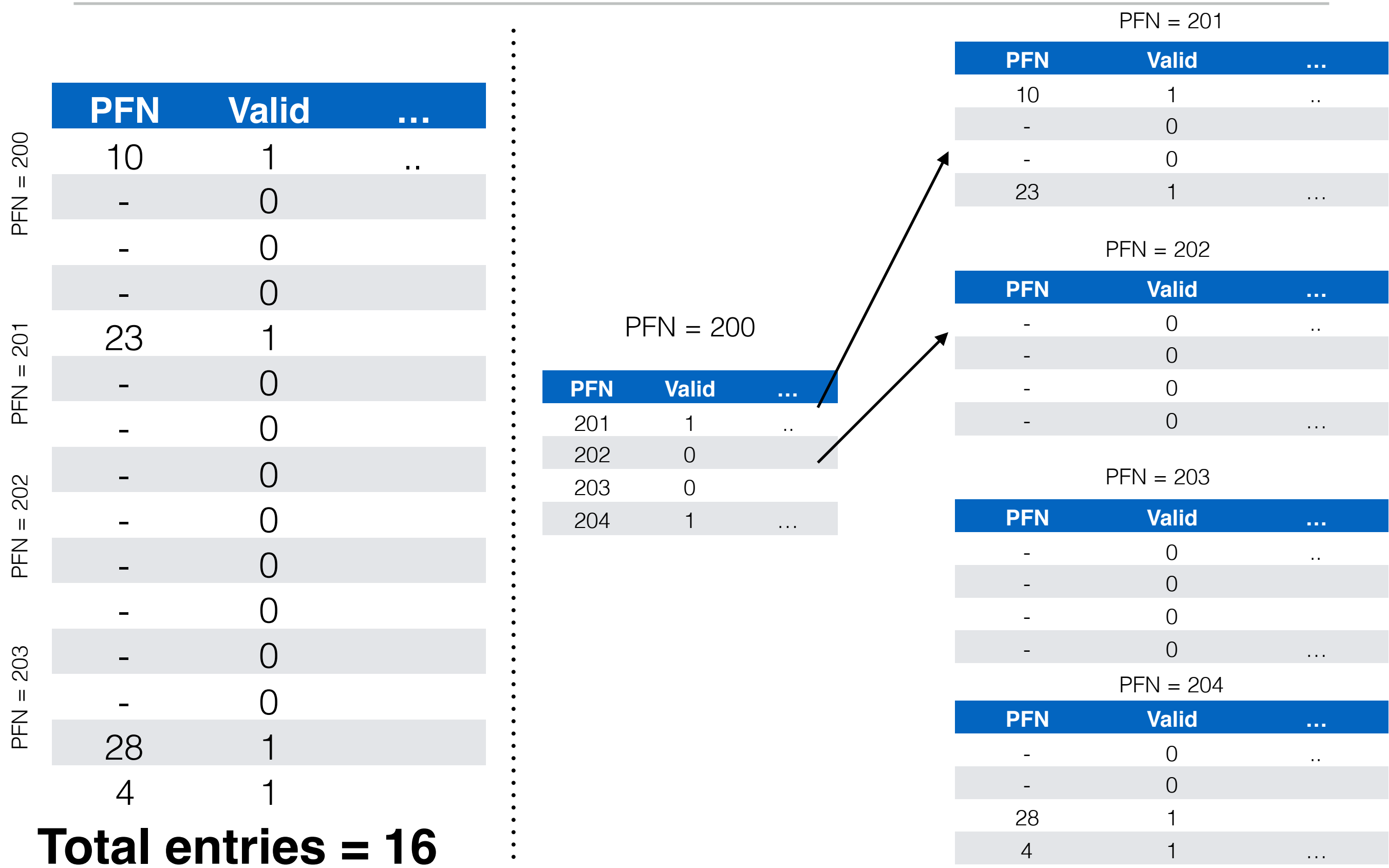
Reducing Memory Overheads of Paging - PT + PT



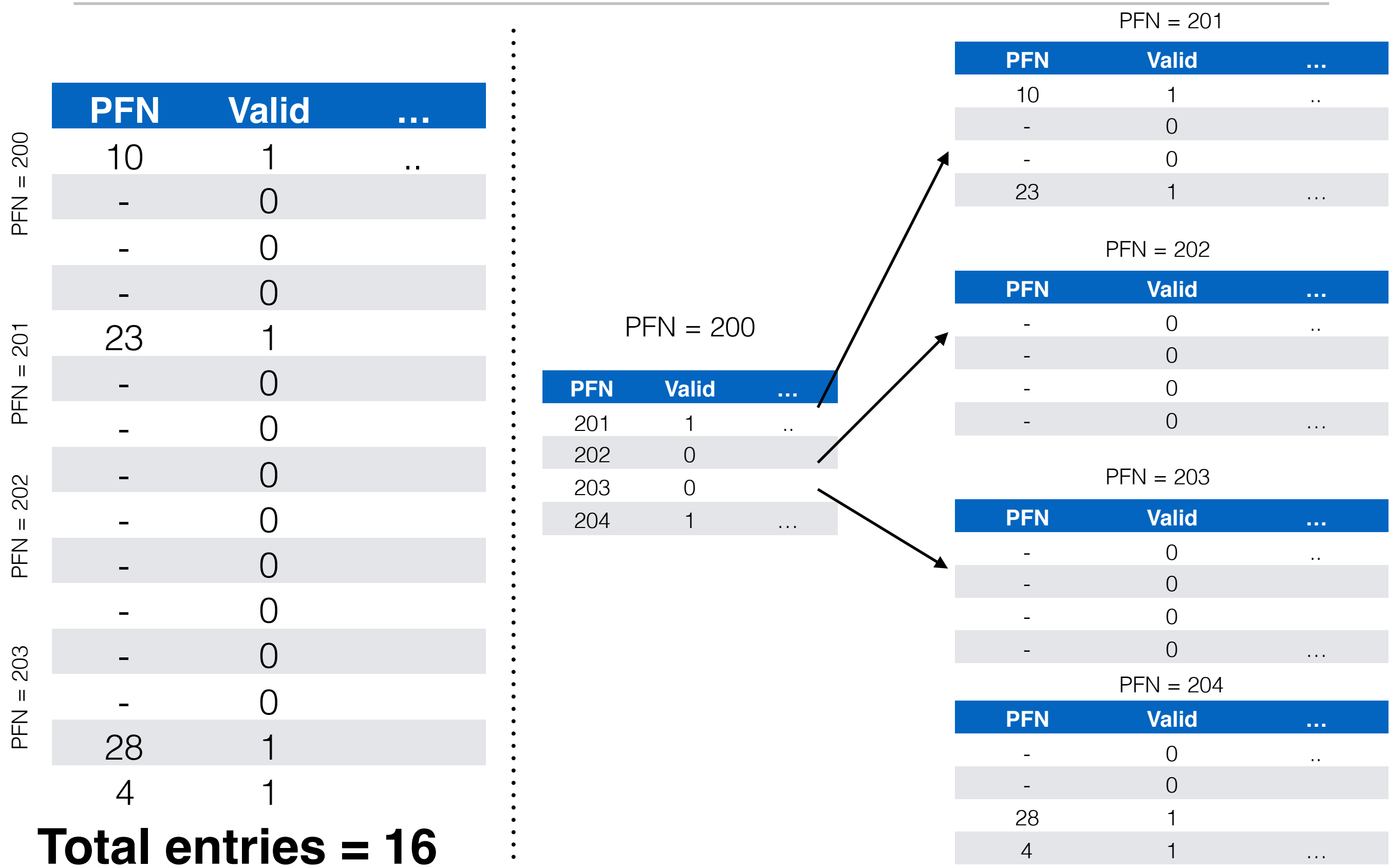
Reducing Memory Overheads of Paging - PT + PT



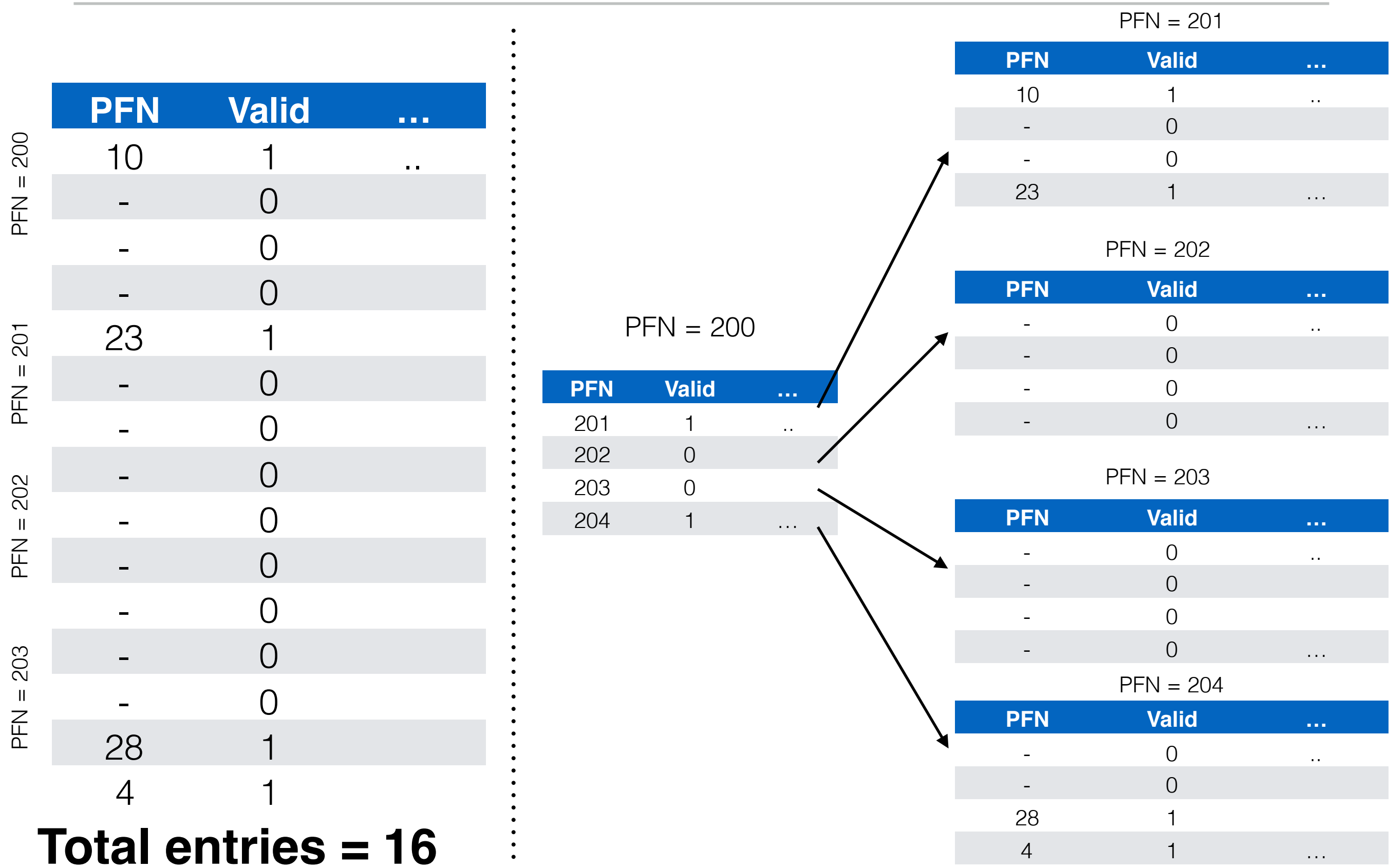
Reducing Memory Overheads of Paging - PT + PT



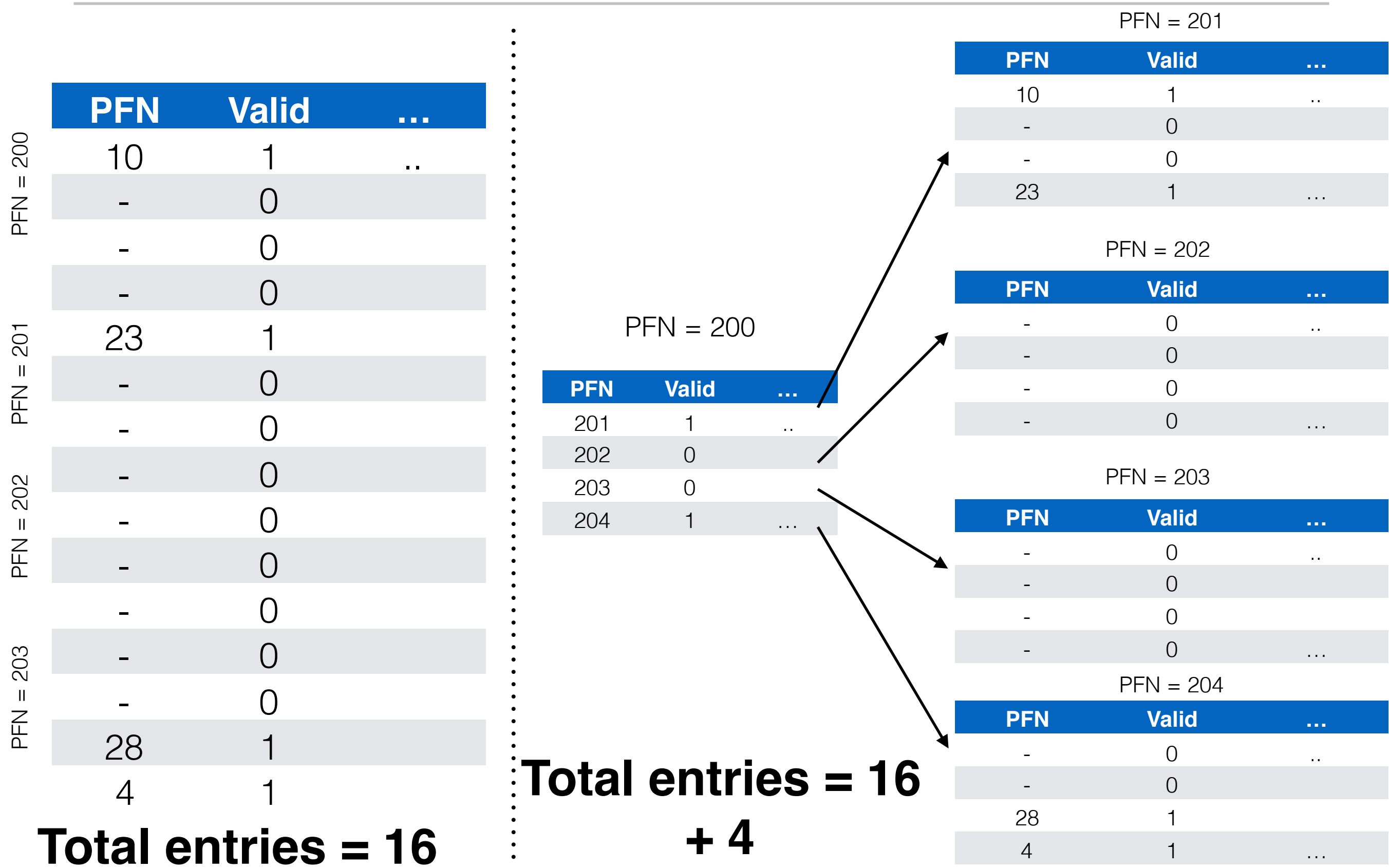
Reducing Memory Overheads of Paging - PT + PT



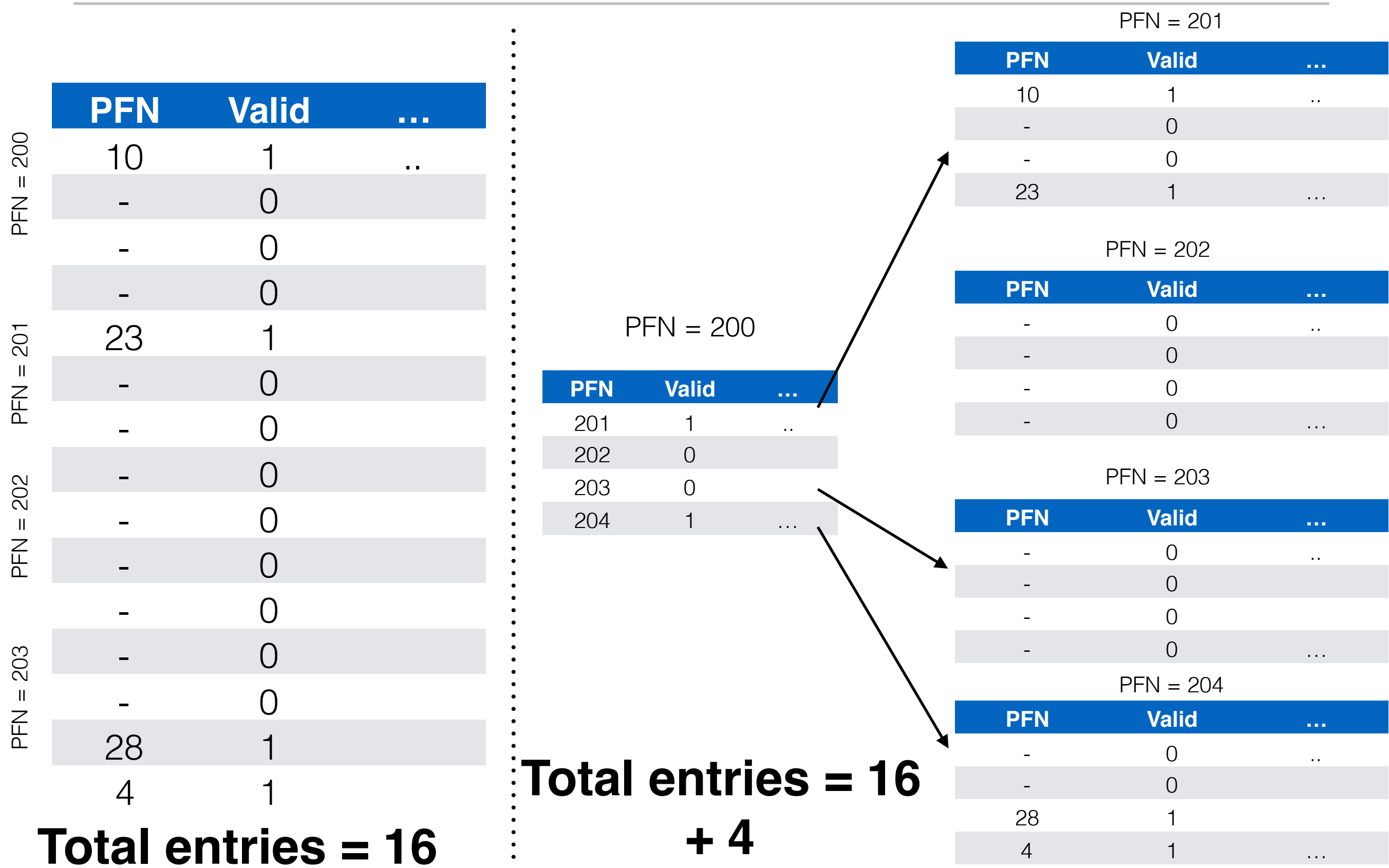
Reducing Memory Overheads of Paging - PT + PT



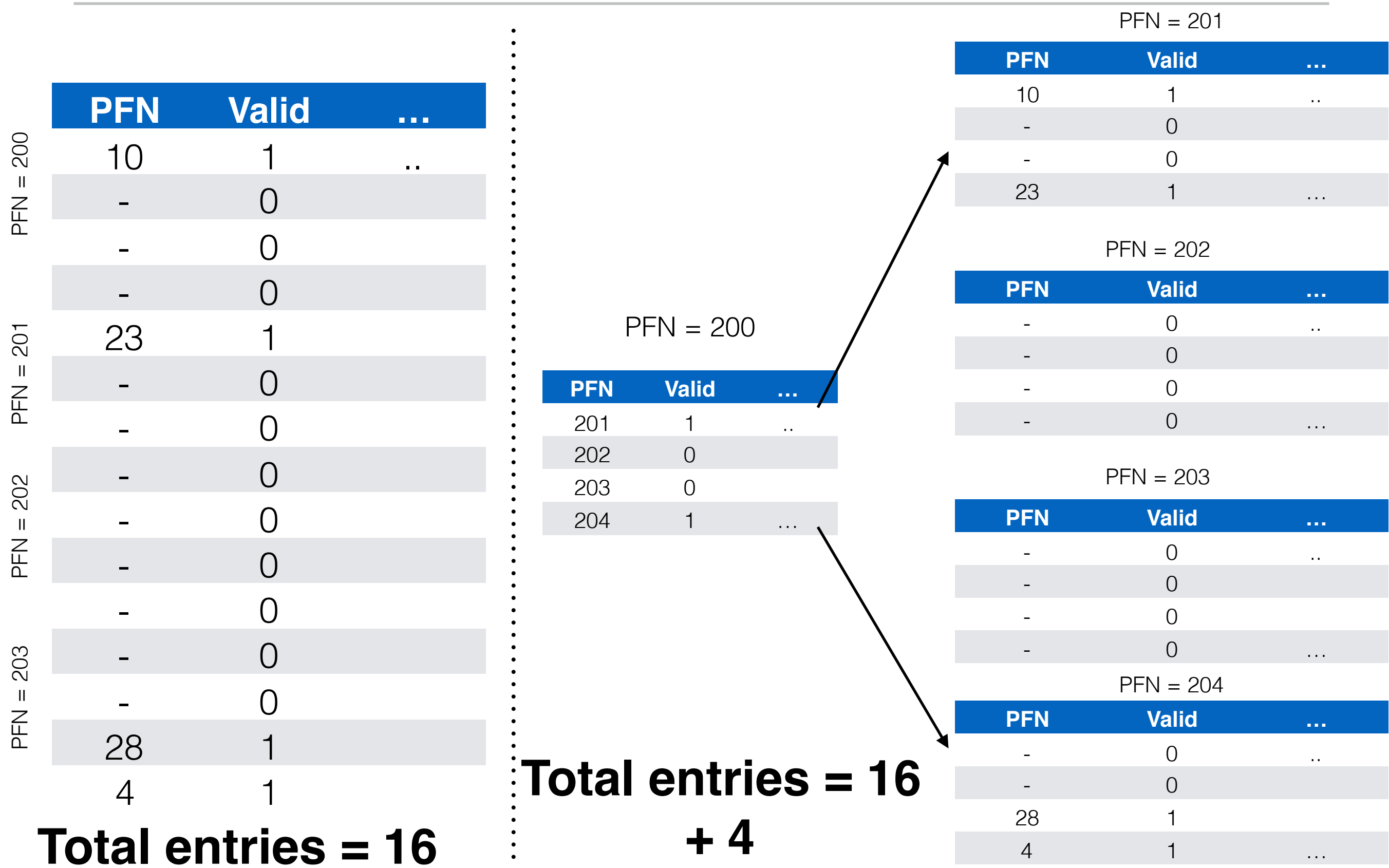
Reducing Memory Overheads of Paging - PT + PT



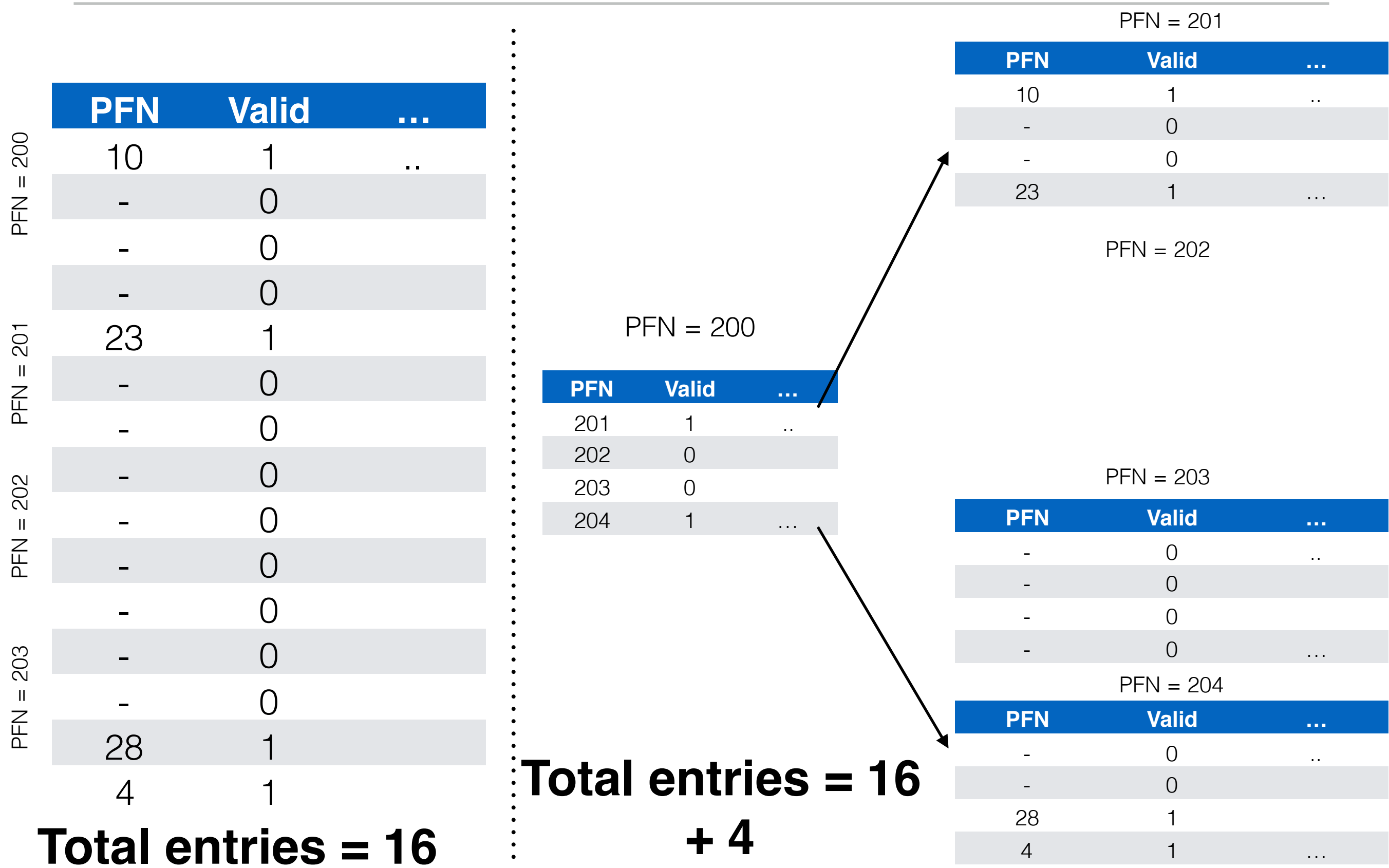
Reducing Memory Overheads of Paging - PT + PT



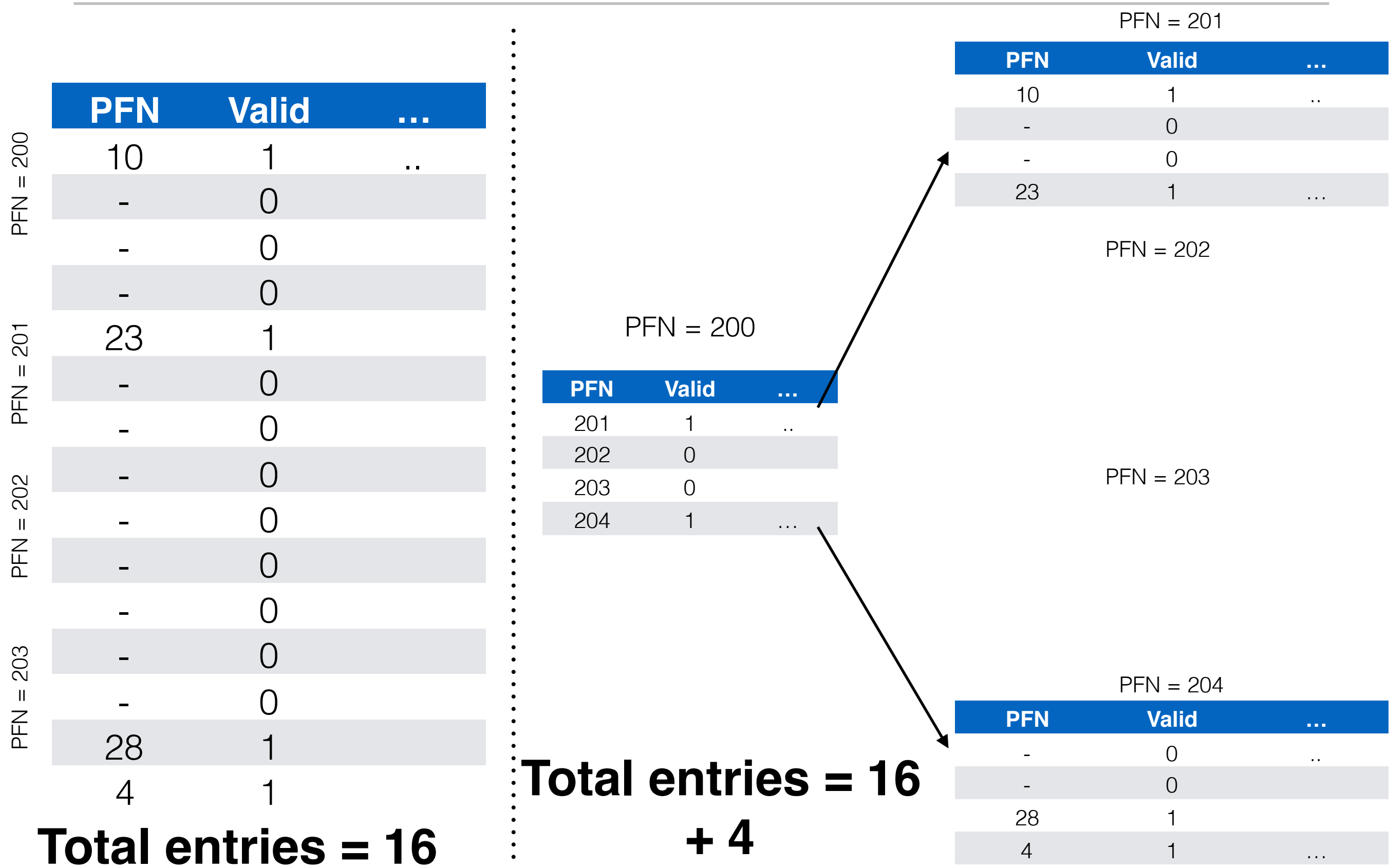
Reducing Memory Overheads of Paging - PT + PT



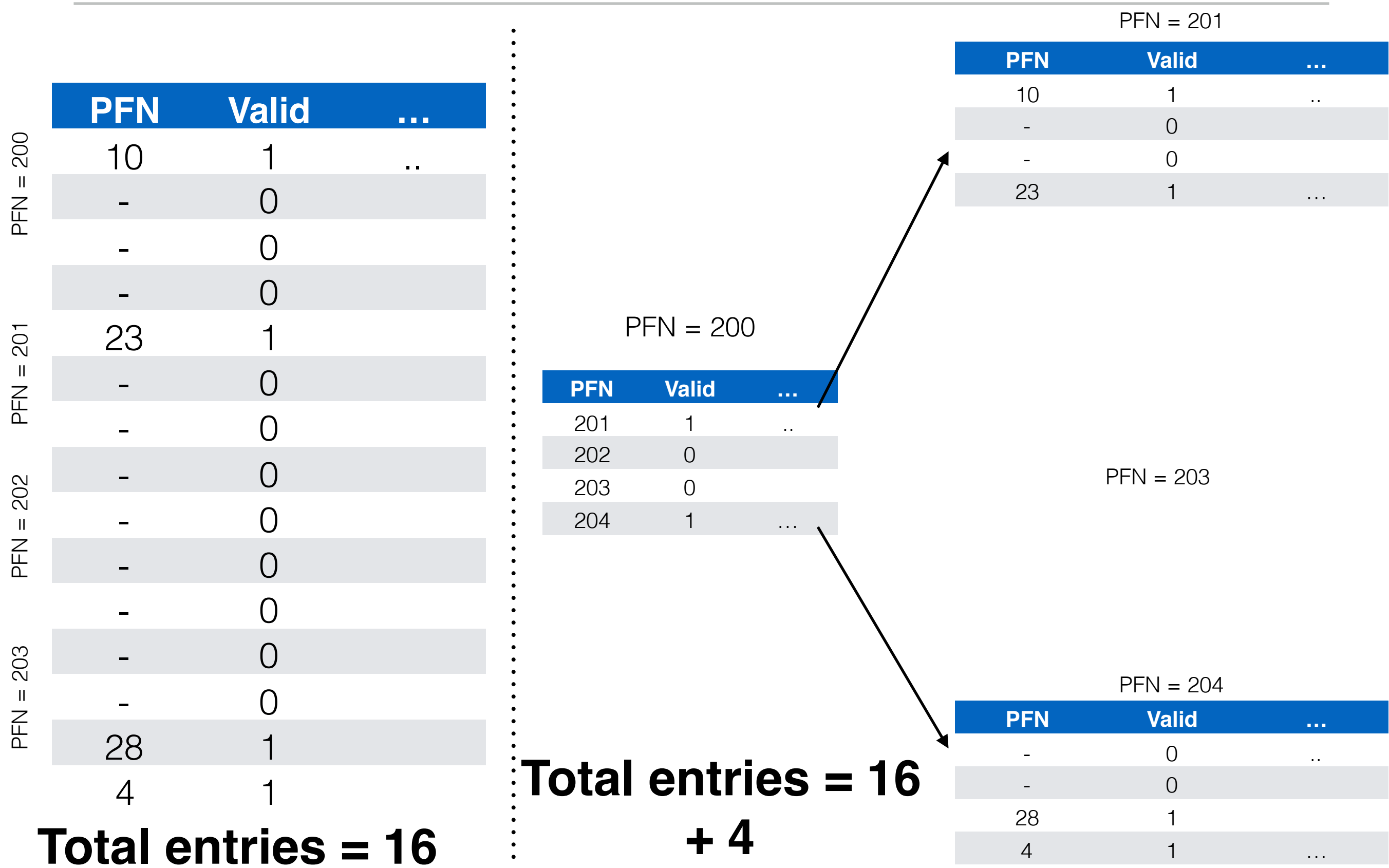
Reducing Memory Overheads of Paging - PT + PT



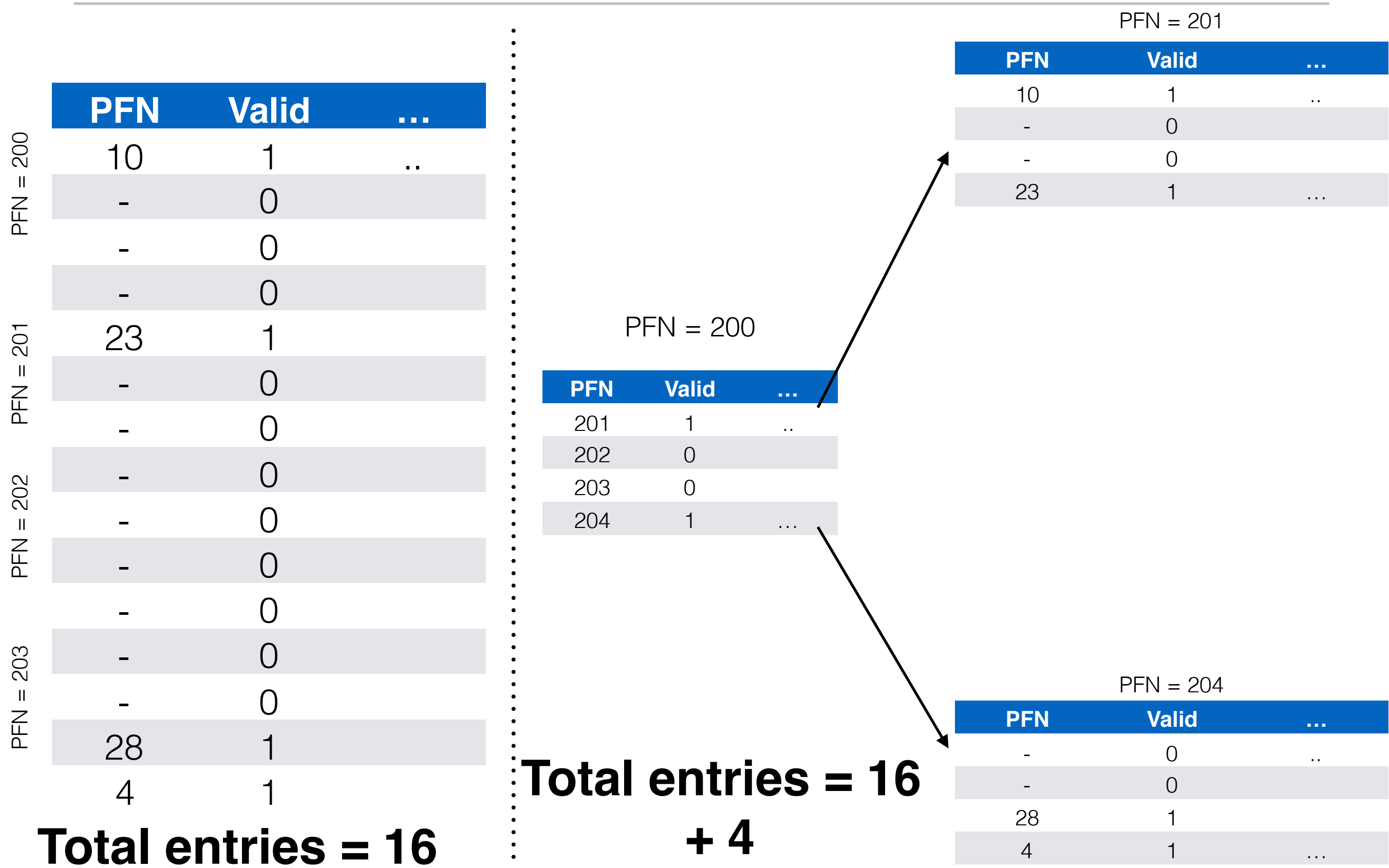
Reducing Memory Overheads of Paging - PT + PT



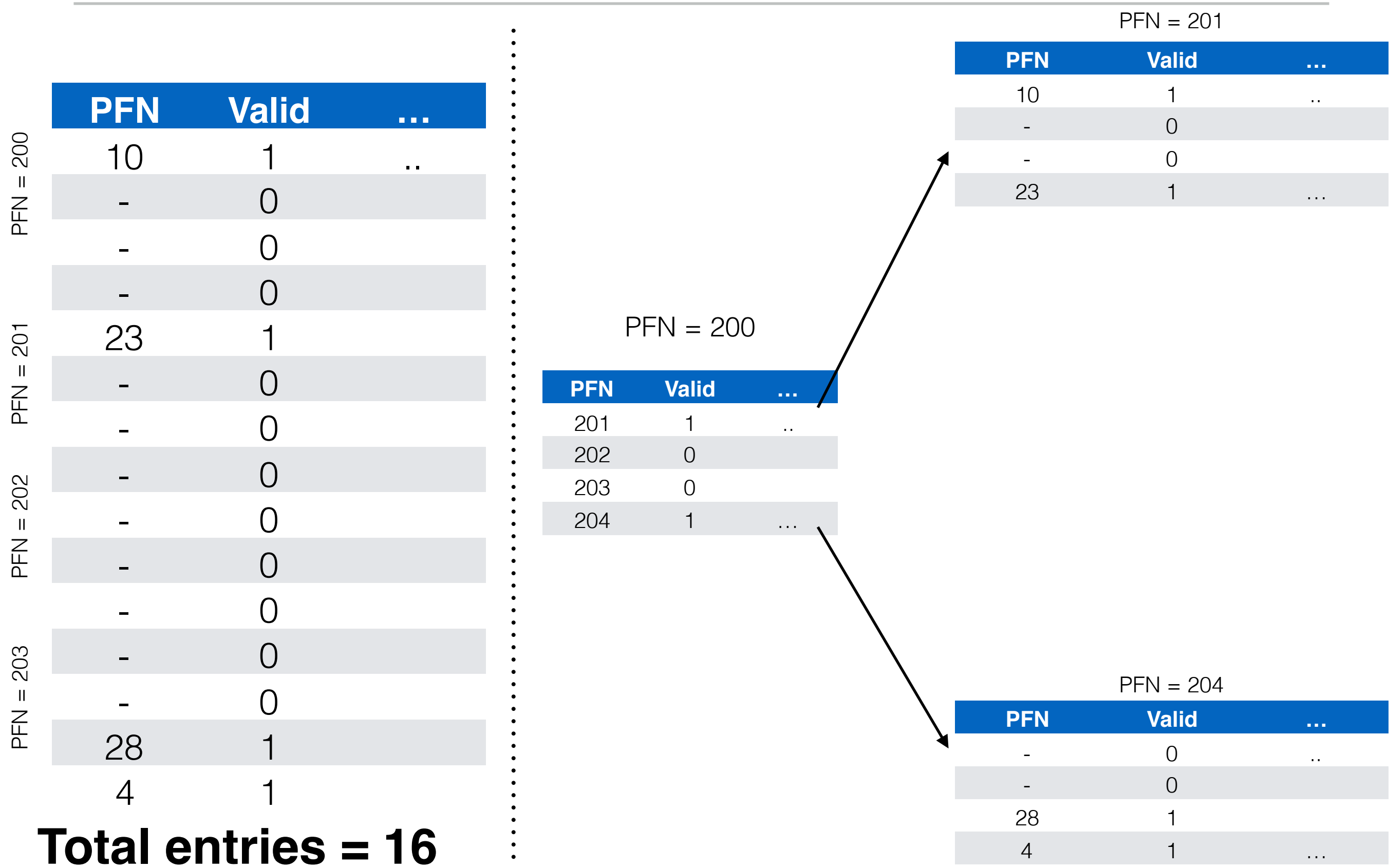
Reducing Memory Overheads of Paging - PT + PT



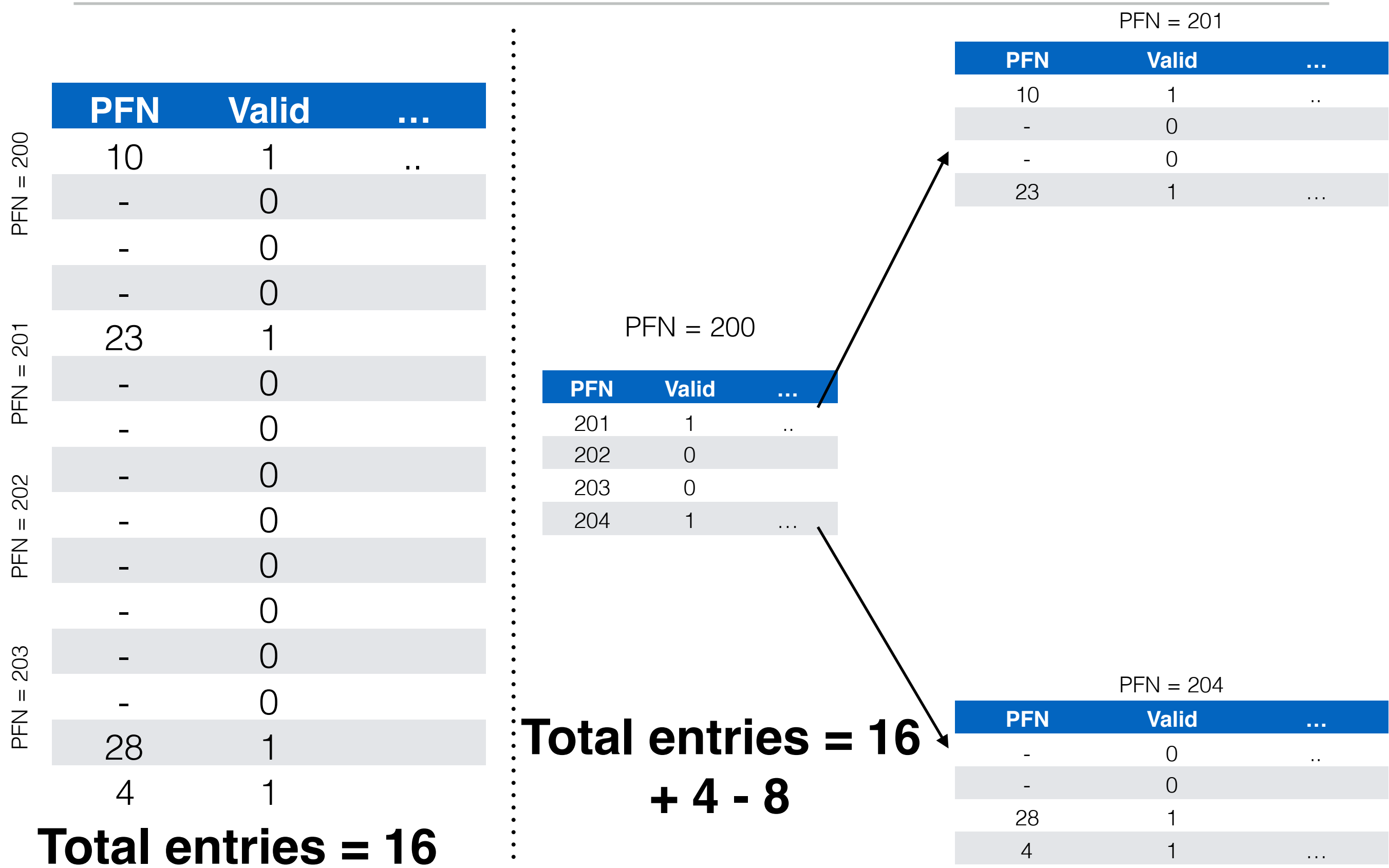
Reducing Memory Overheads of Paging - PT + PT



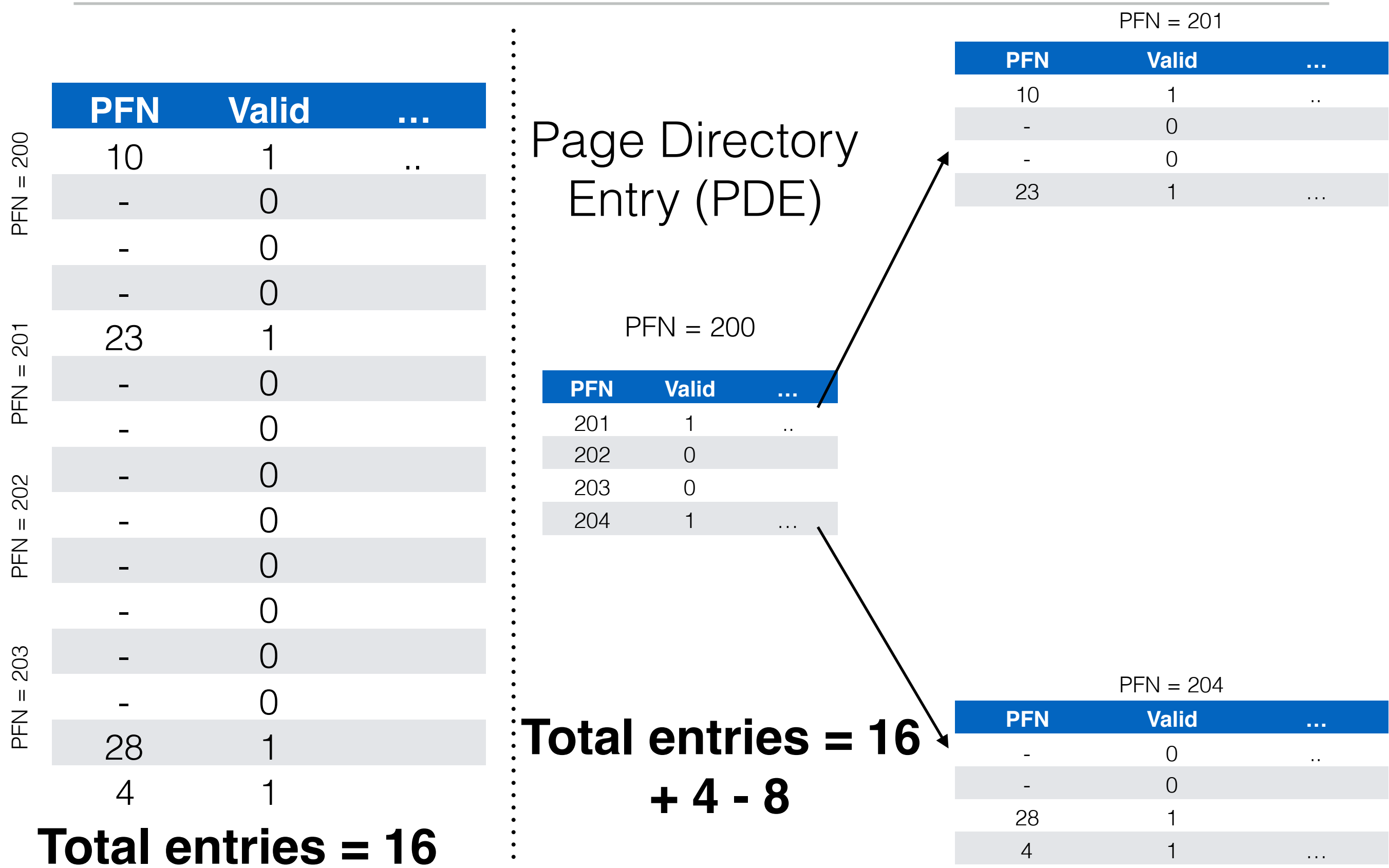
Reducing Memory Overheads of Paging - PT + PT



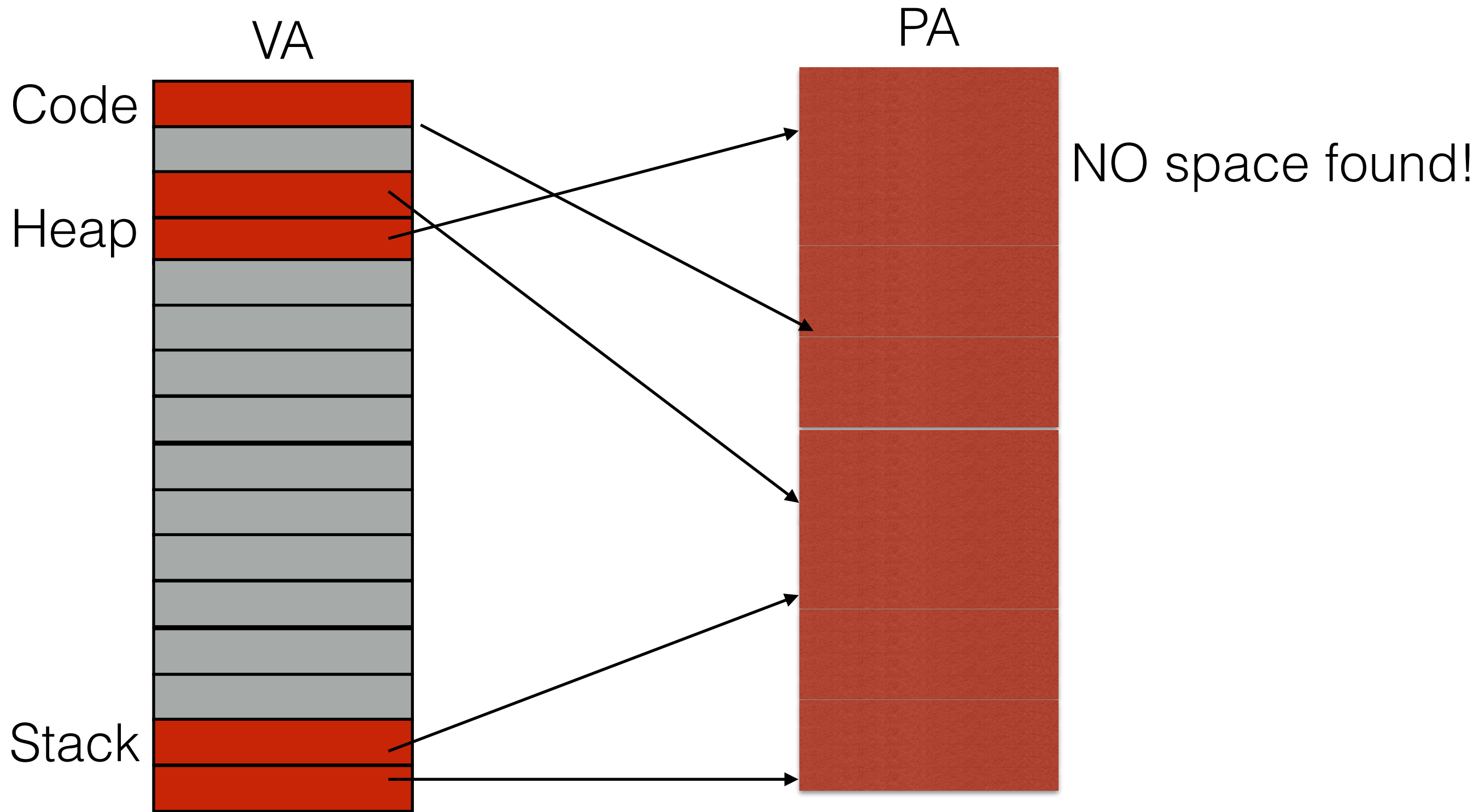
Reducing Memory Overheads of Paging - PT + PT



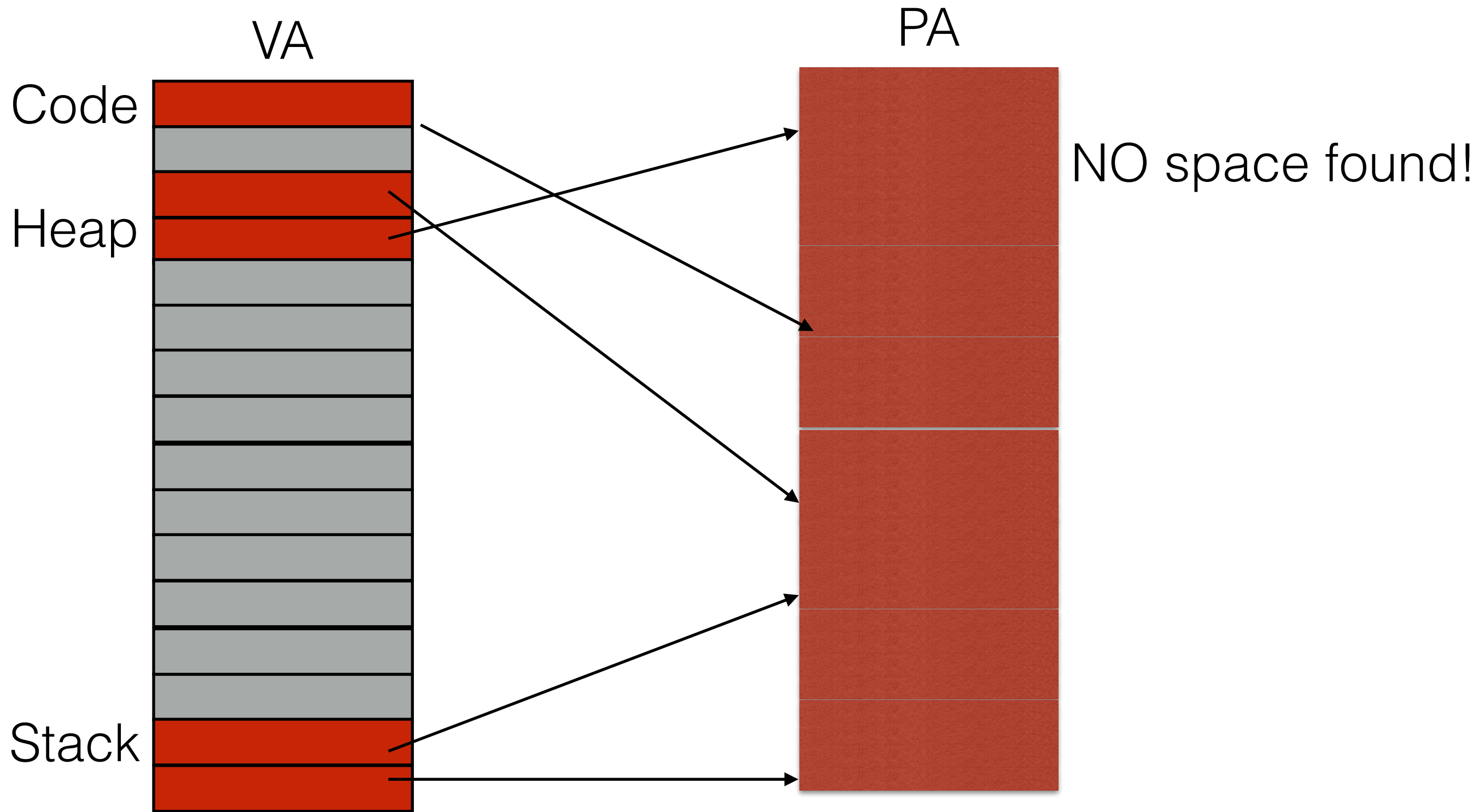
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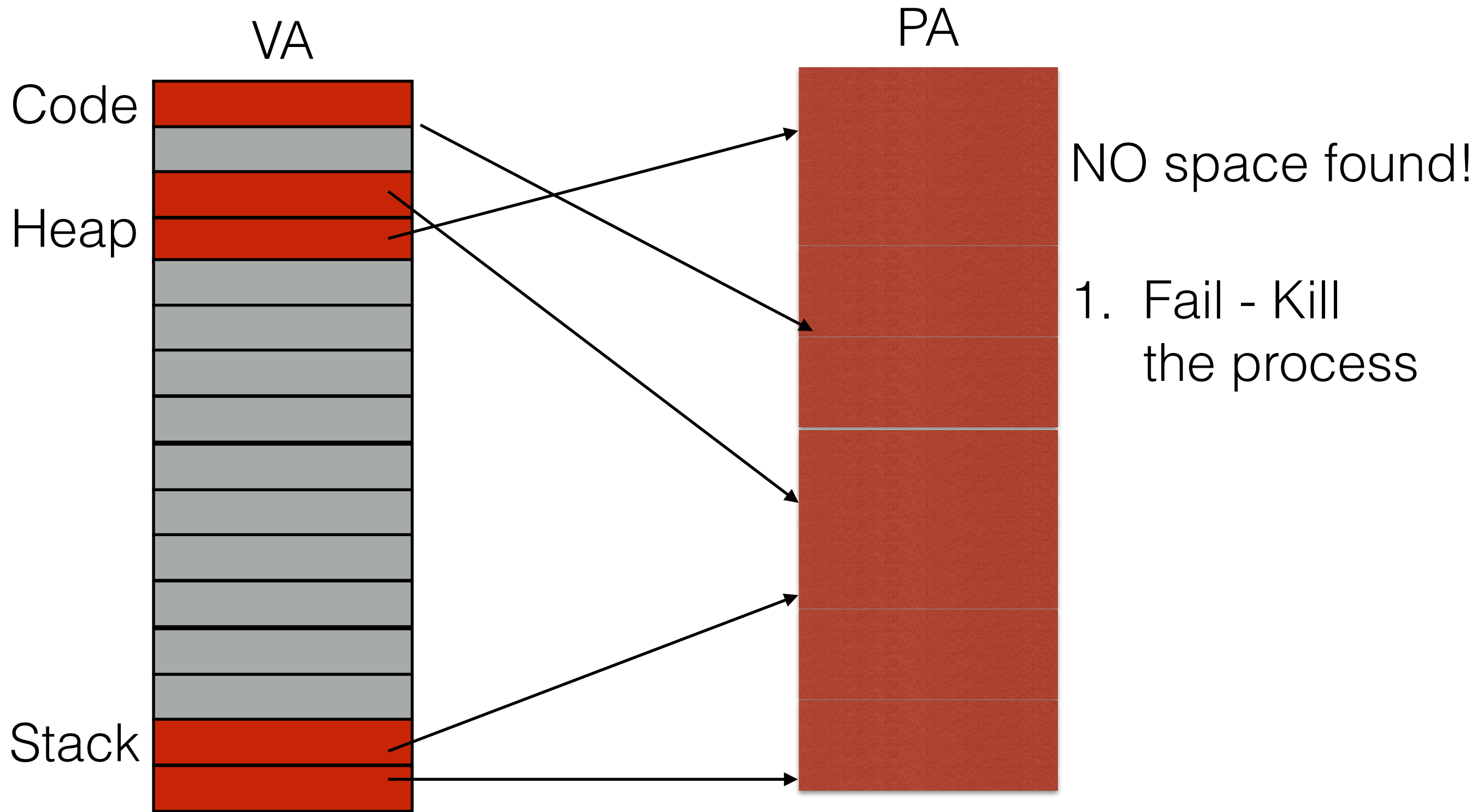
Out of PA space



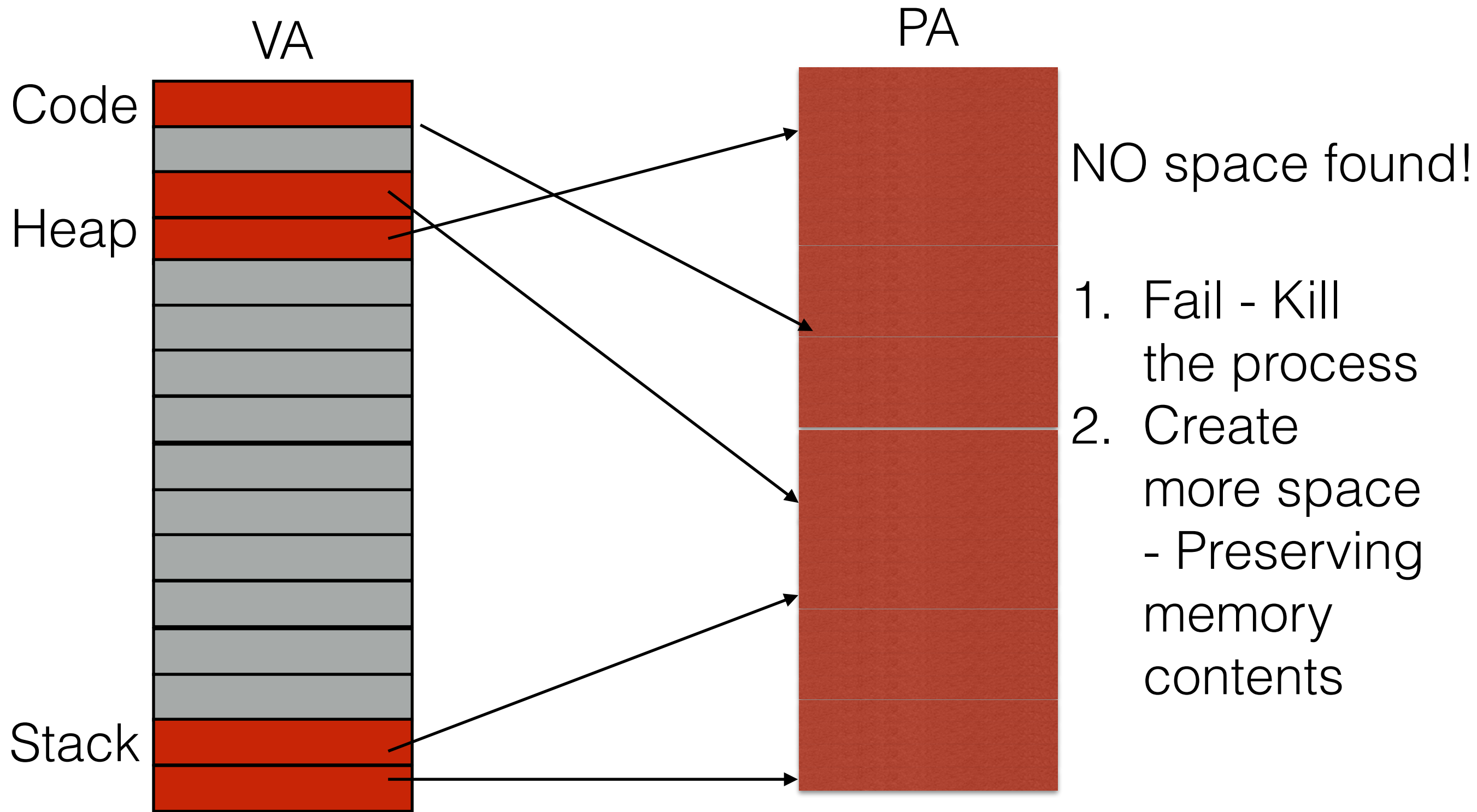
Out of PA space



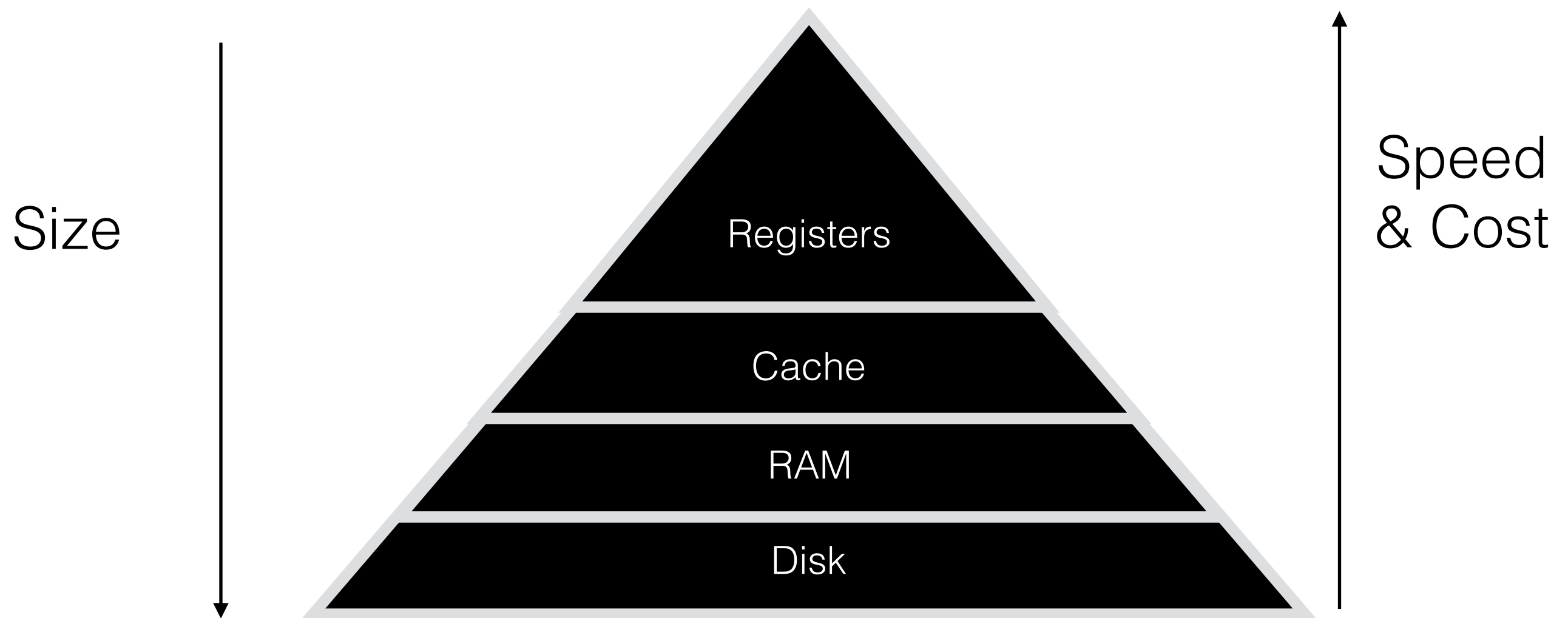
Out of PA space



Out of PA space



Out of PA space - Memory hierarchy



Out of PA space - Virtualisation

Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?

Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?
 - No!

Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?
 - No!
 - Does the user even know if paging is used?

Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?
 - No!
 - Does the user even know if paging is used?
2. Should the user know if the page came from memory or TLB or disk?

Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?
 - No!
 - Does the user even know if paging is used?
2. Should the user know if the page came from memory or TLB or disk?
 - No!

Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?
 - No!
 - Does the user even know if paging is used?
2. Should the user know if the page came from memory or TLB or disk?
 - No!
 - The last time the process used the virtual address, it behaved like memory.

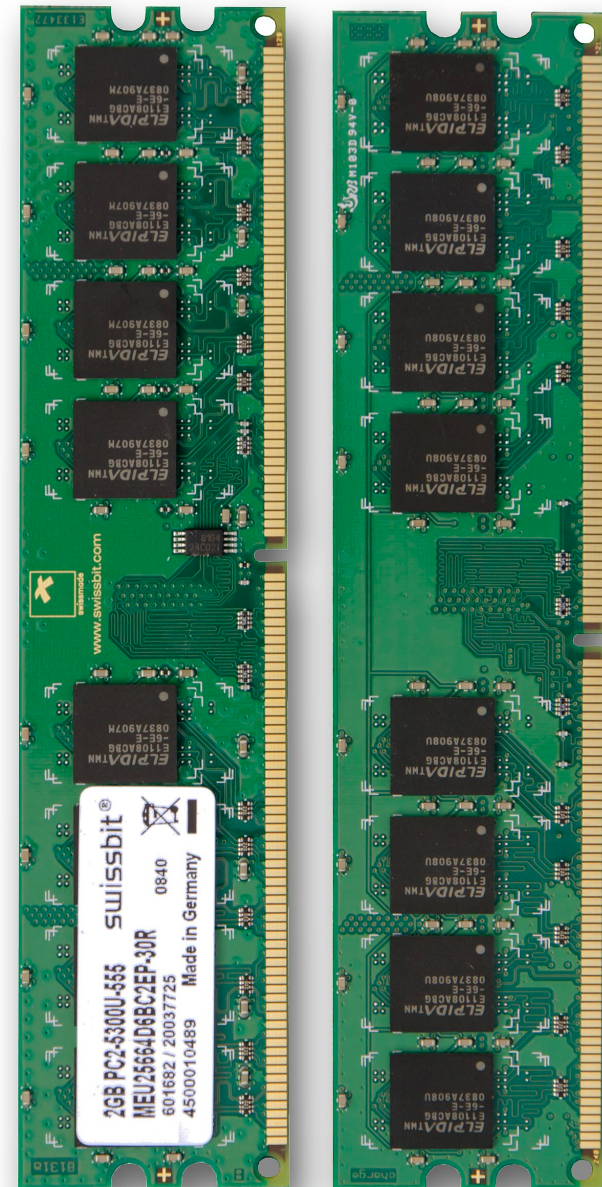
Out of PA space - Virtualisation

1. Does the user know whether the PFN came from TLB or memory?
 - No!
 - Does the user even know if paging is used?
2. Should the user know if the page came from memory or TLB or disk?
 - No!
 - The last time the process used the virtual address, it behaved like memory.
 - The next time the process uses the virtual address, it behaves like memory.

Out of PA space - Virtualisation

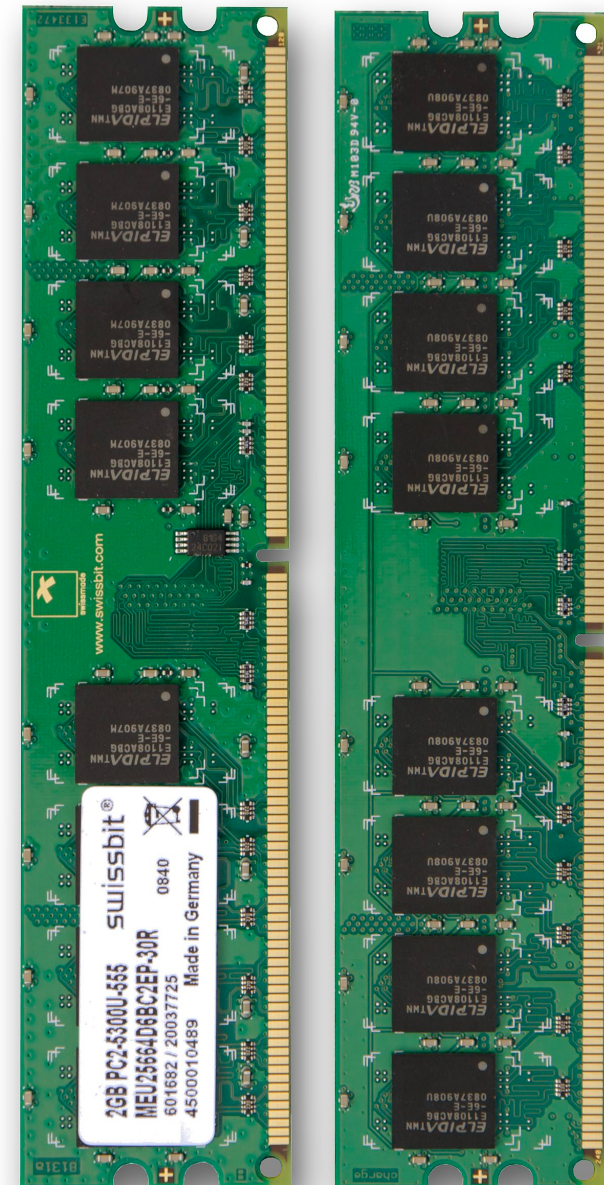
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 - No!
 - Does the user even know if paging is used?
2. Should the user know if the page came from memory or TLB or disk?
 - No!
 - The last time the process used the virtual address, it behaved like memory.
 - The next time the process uses the virtual address, it behaves like memory.
 - In between, whatever data was stored at that address must be preserved.

Swapping



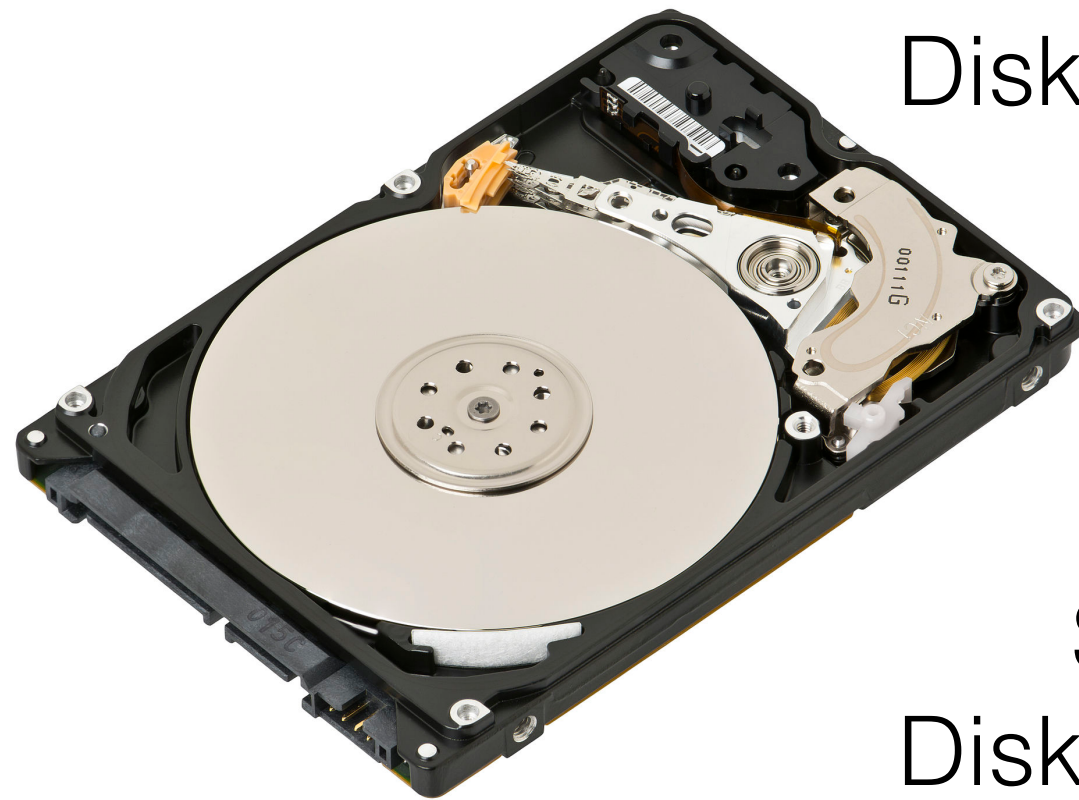
Swapping

Swap in
Disk $\longrightarrow$ Memory

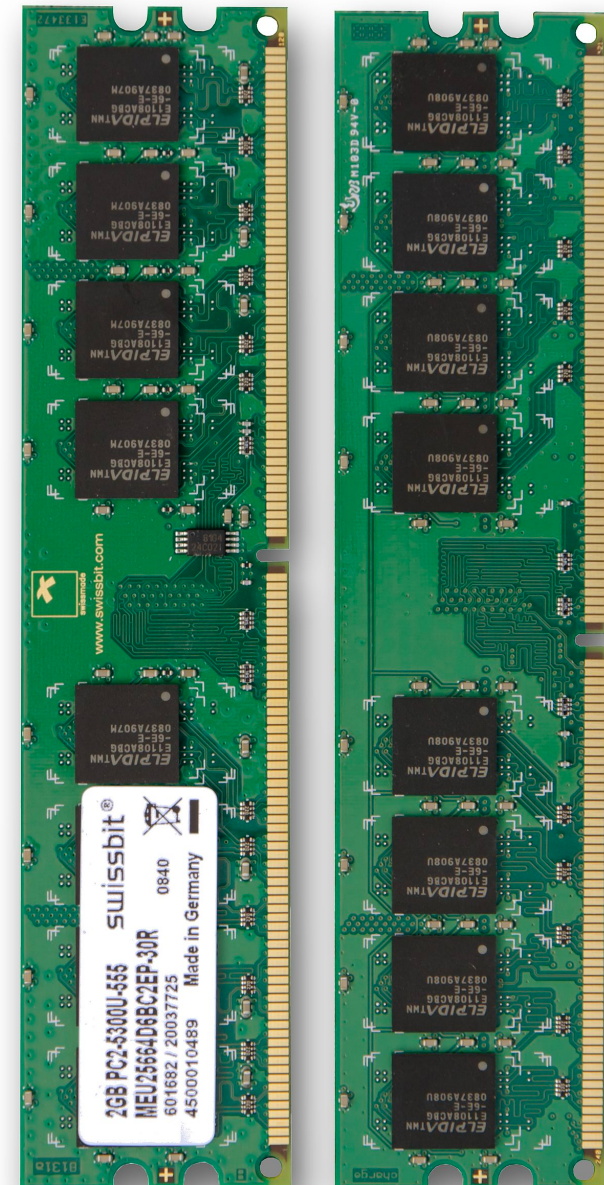


Swapping

Swap in
Disk $\longrightarrow$ Memory



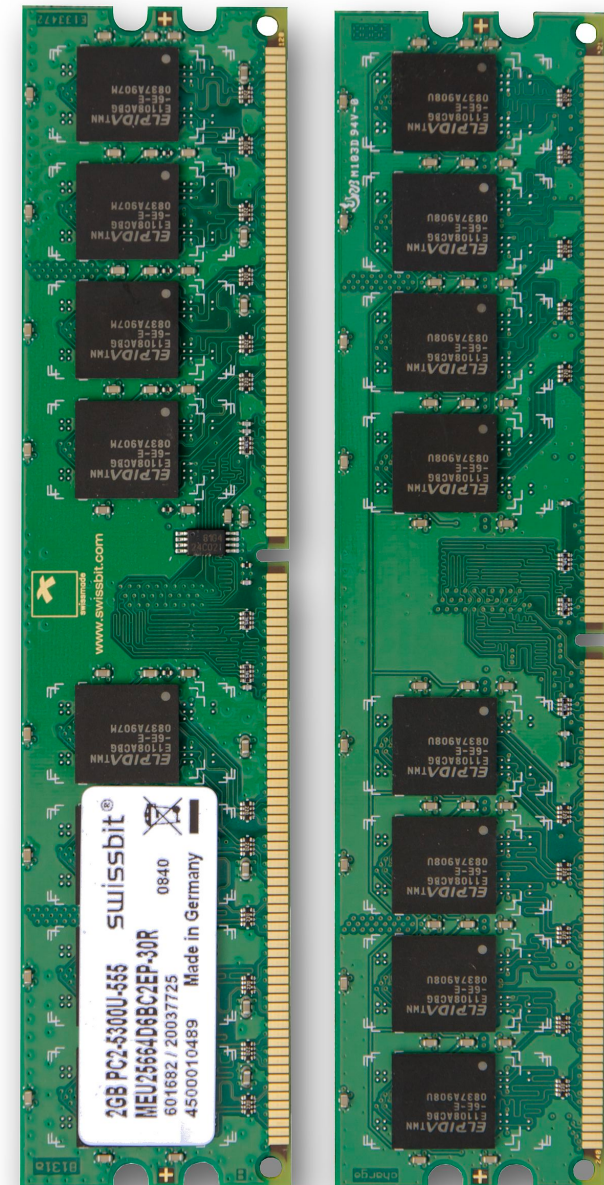
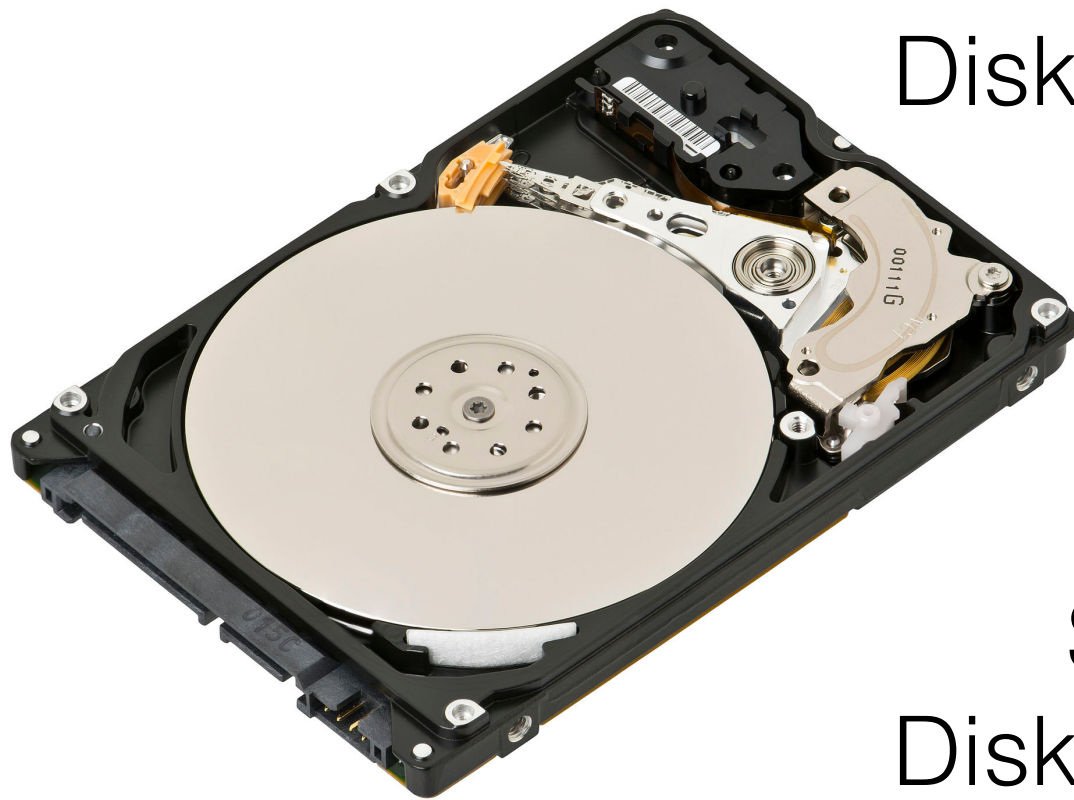
Swap out
Disk $\longleftarrow$ Memory



Swapping

Swap in
Disk $\longrightarrow$ Memory

Swap out
Disk $\longleftarrow$ Memory

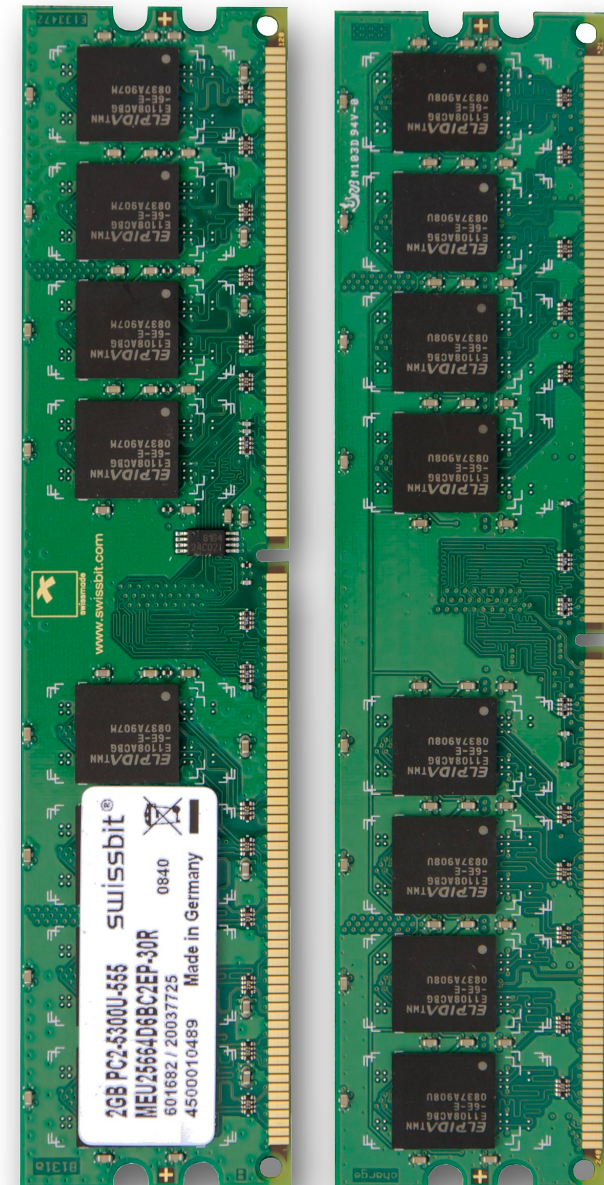
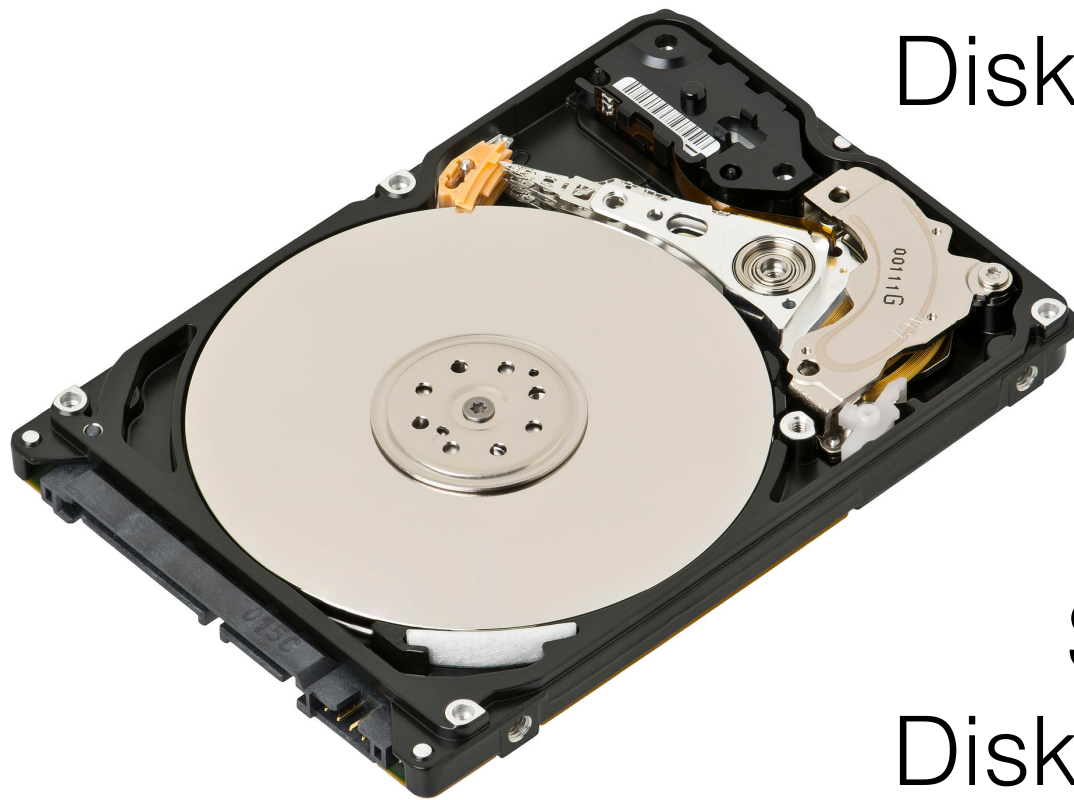


Done well : Memory as large as disk, as fast as RAM

Swapping

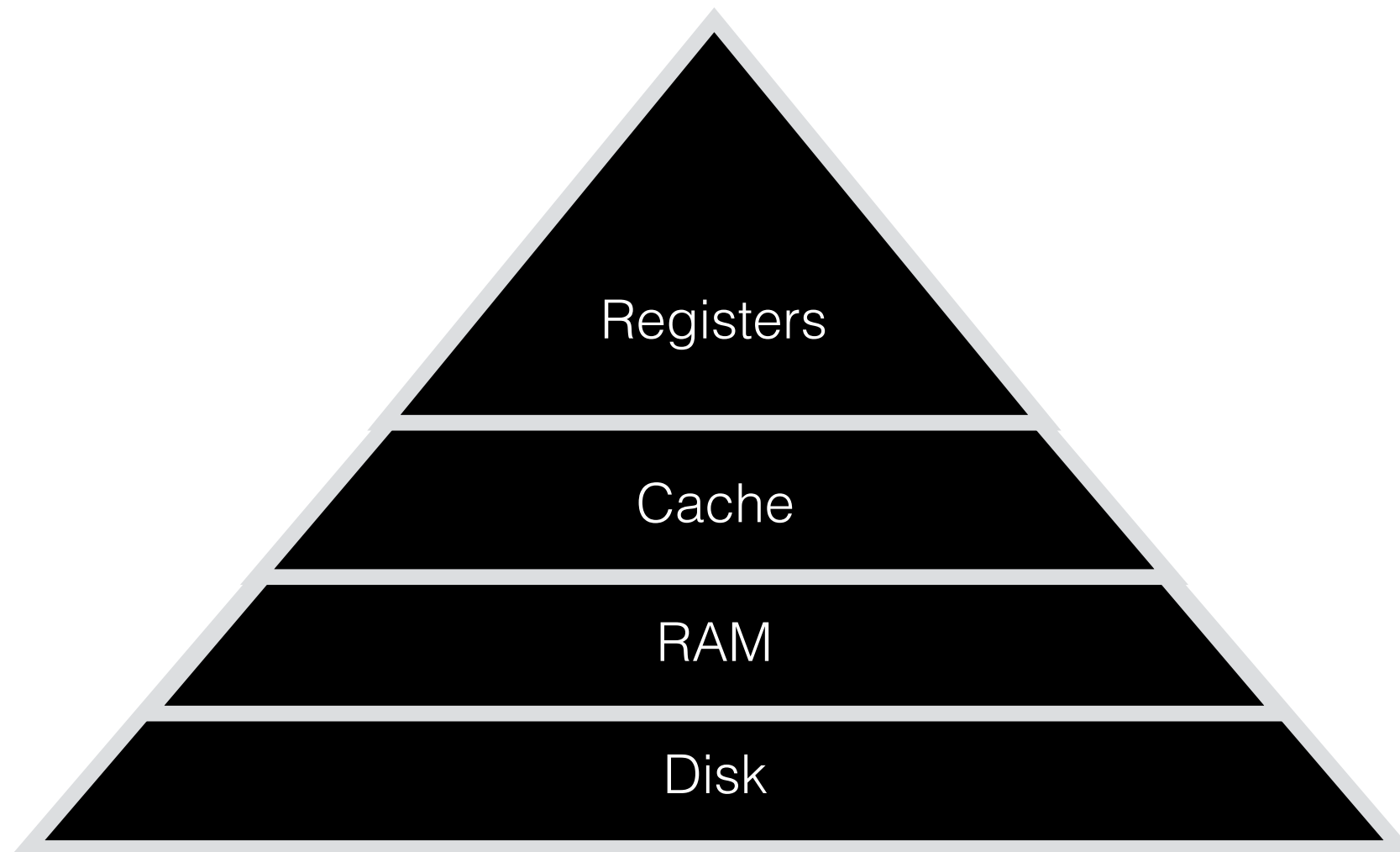
Swap in
Disk $\longrightarrow$ Memory

Swap out
Disk $\longleftarrow$ Memory

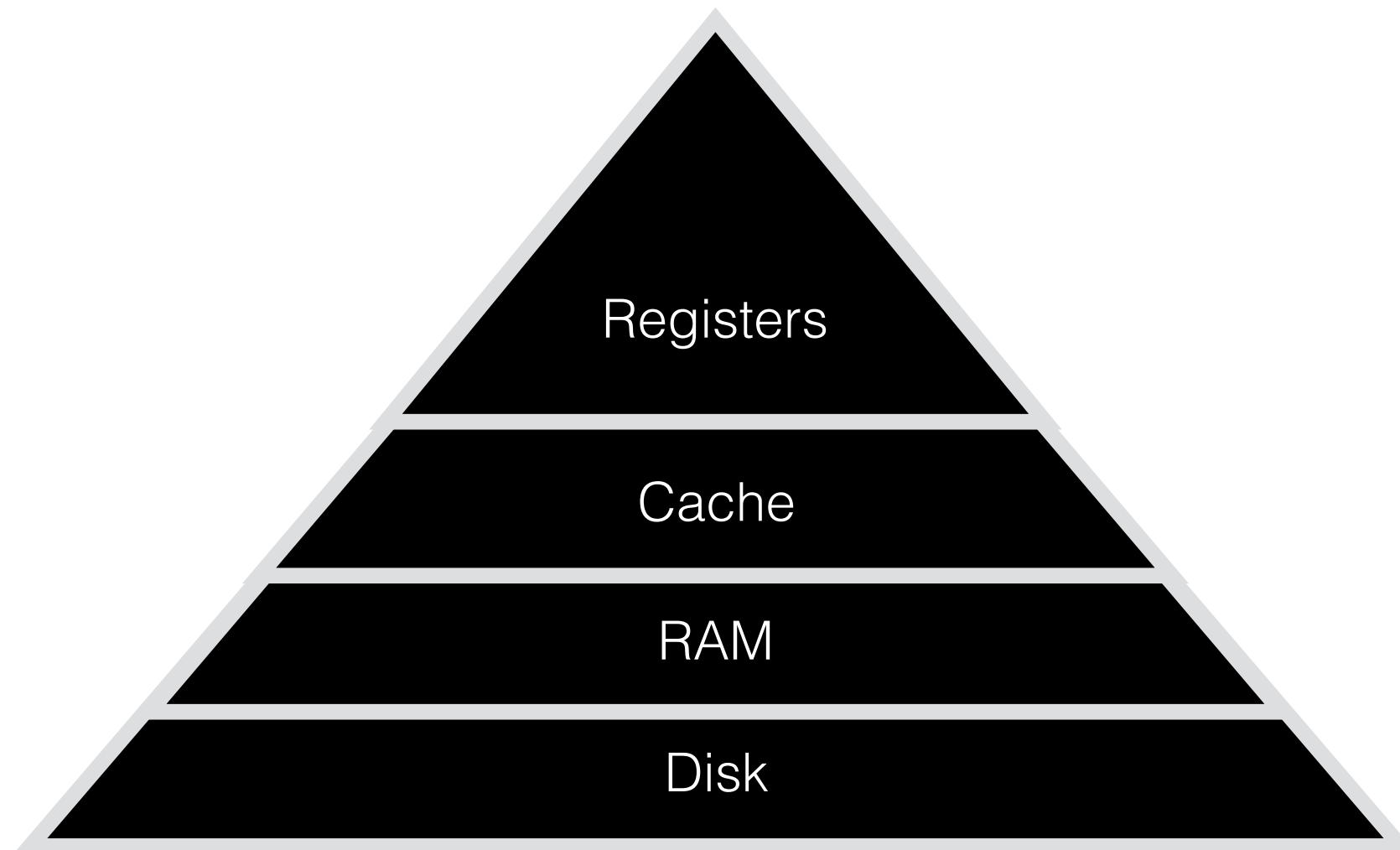


Done well : Memory as large as disk, as fast as RAM
Done bad : Memory as small as RAM, as slow as disk

TLB miss v/s Page Fault

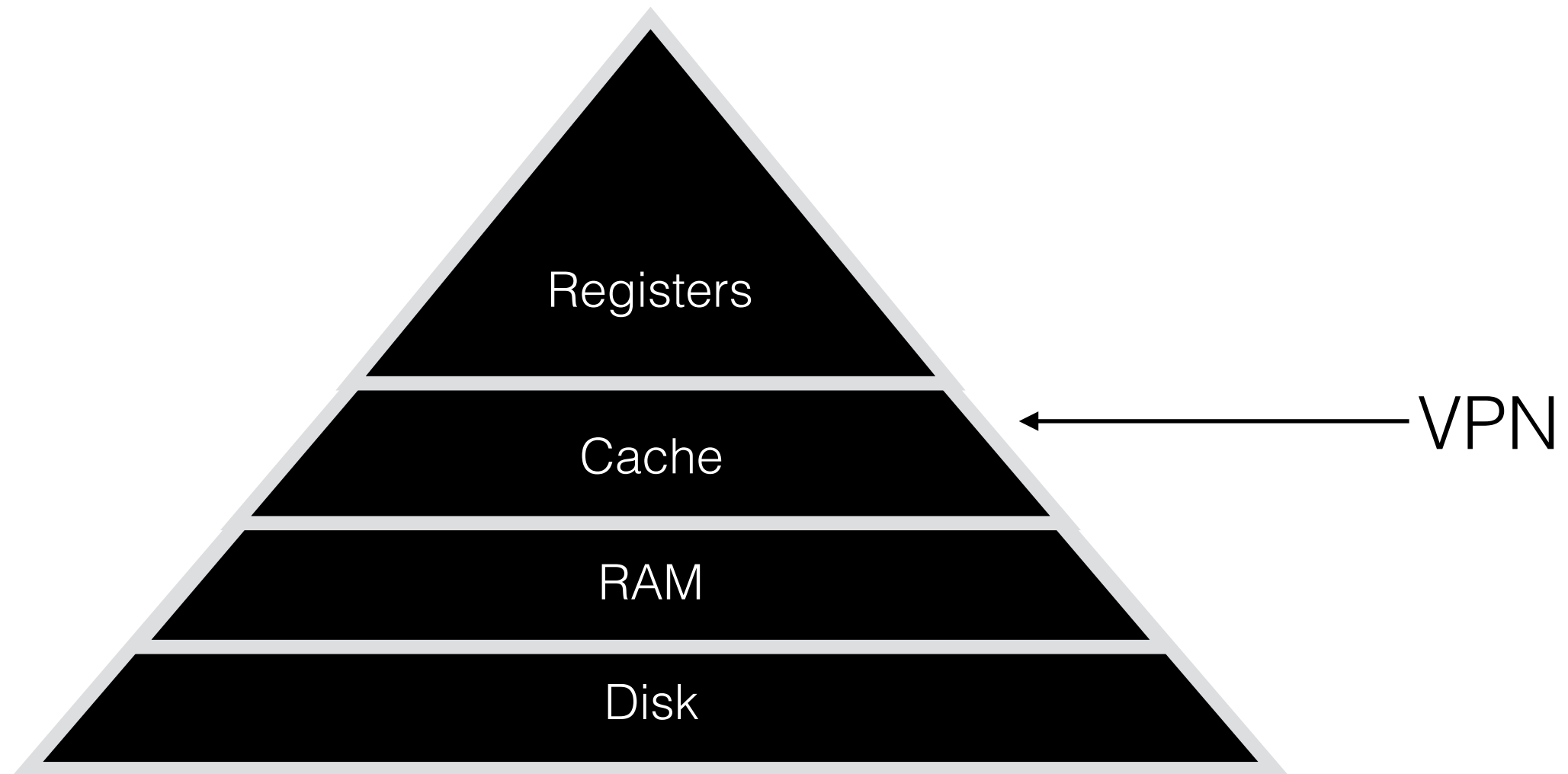


TLB miss v/s Page Fault

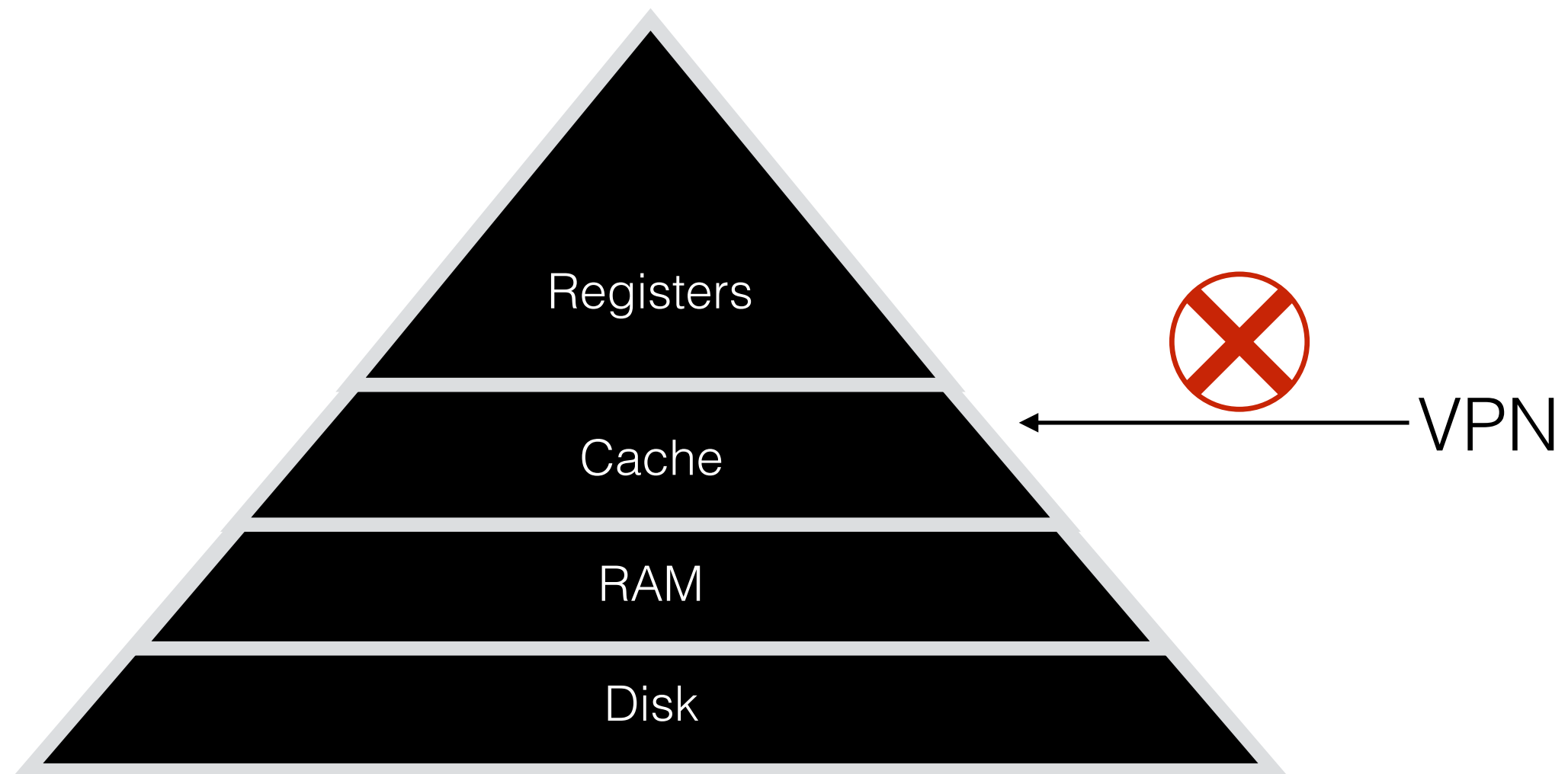


VPN

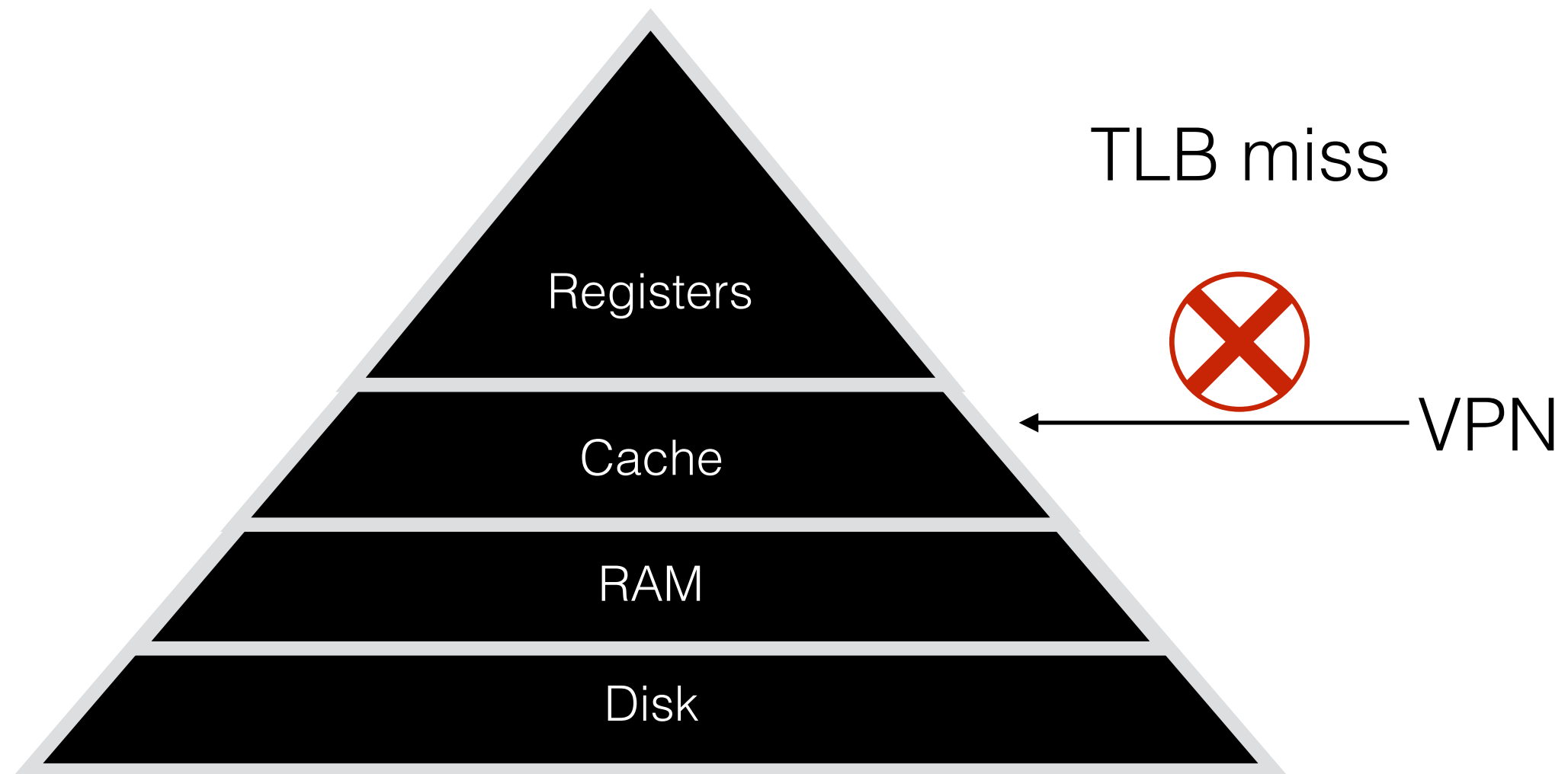
TLB miss v/s Page Fault



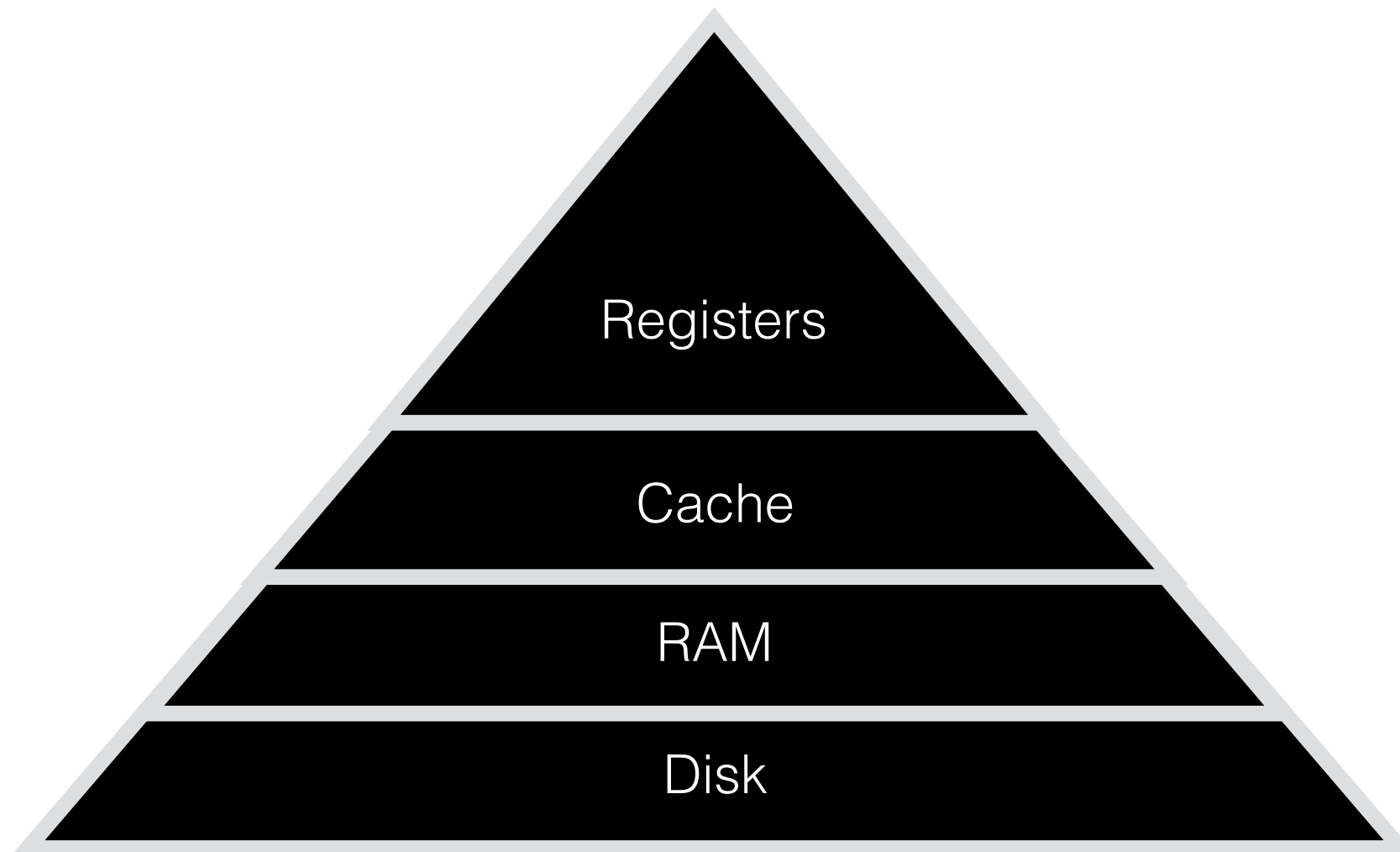
TLB miss v/s Page Fault



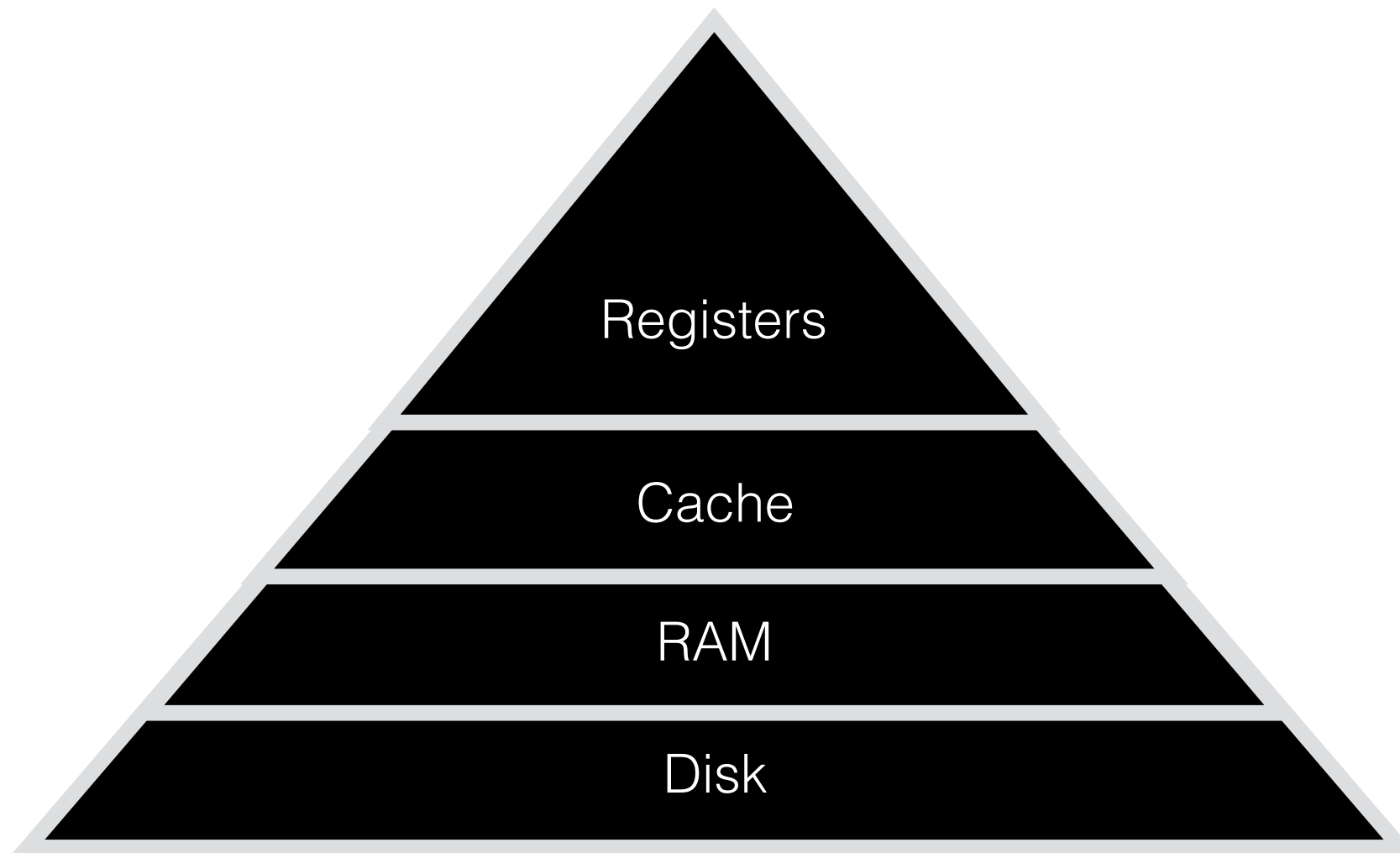
TLB miss v/s Page Fault



TLB miss v/s Page Fault

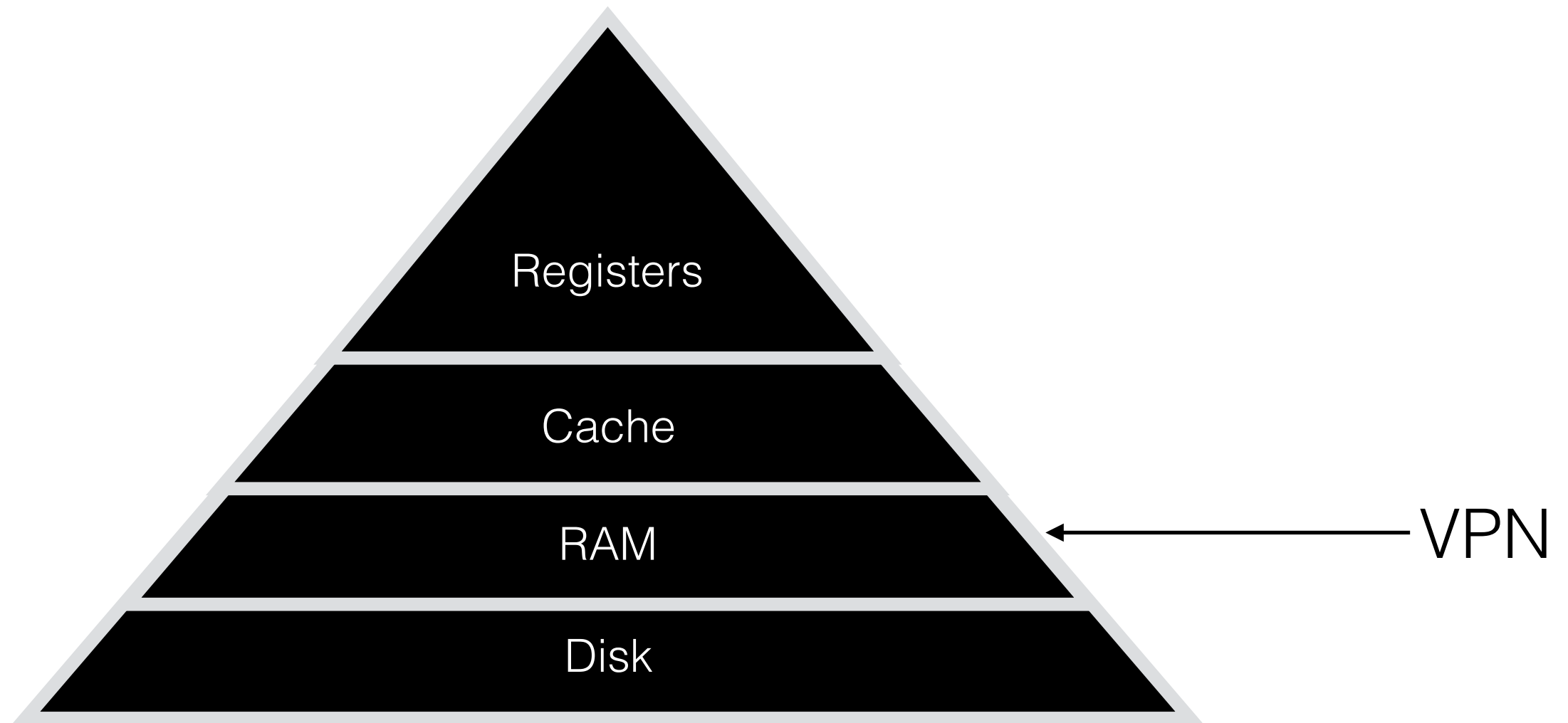


TLB miss v/s Page Fault

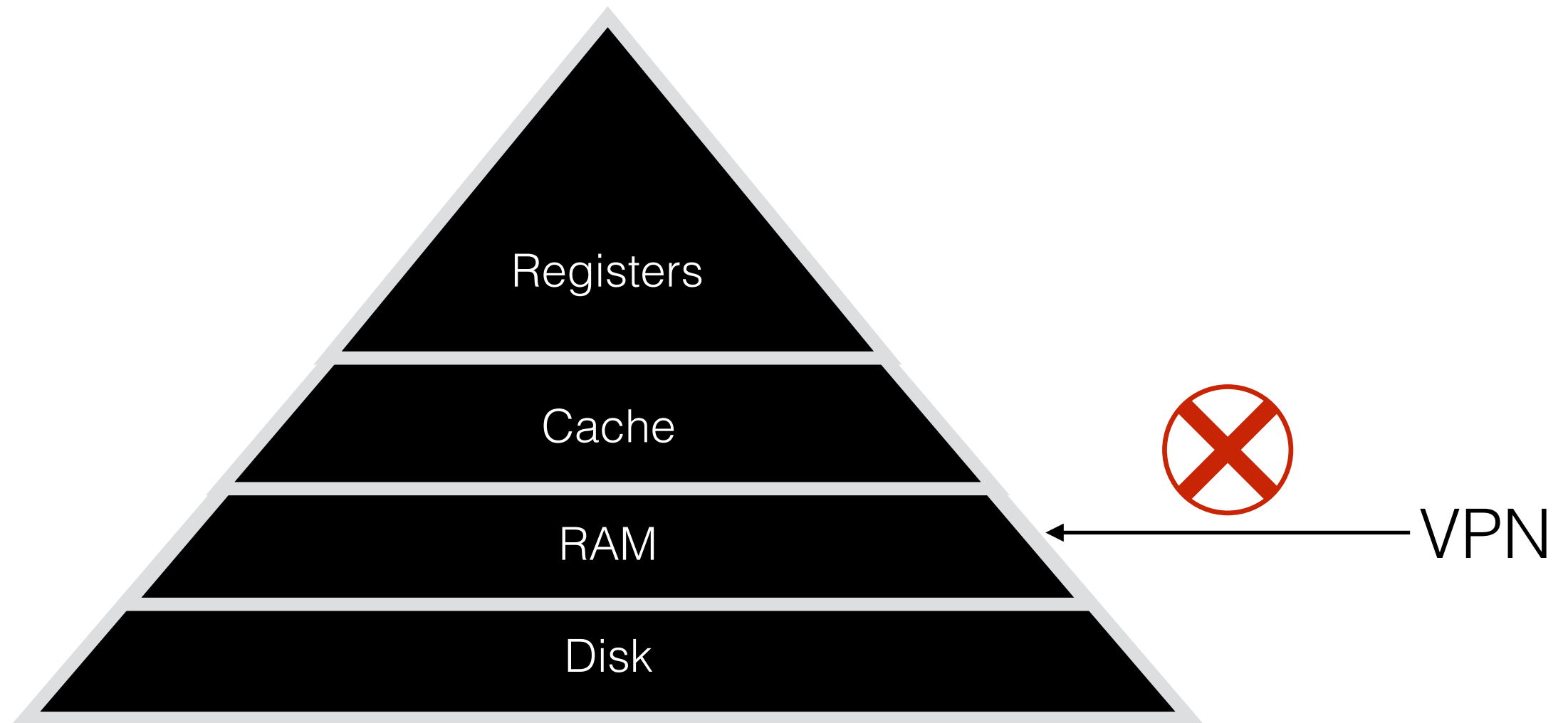


VPN

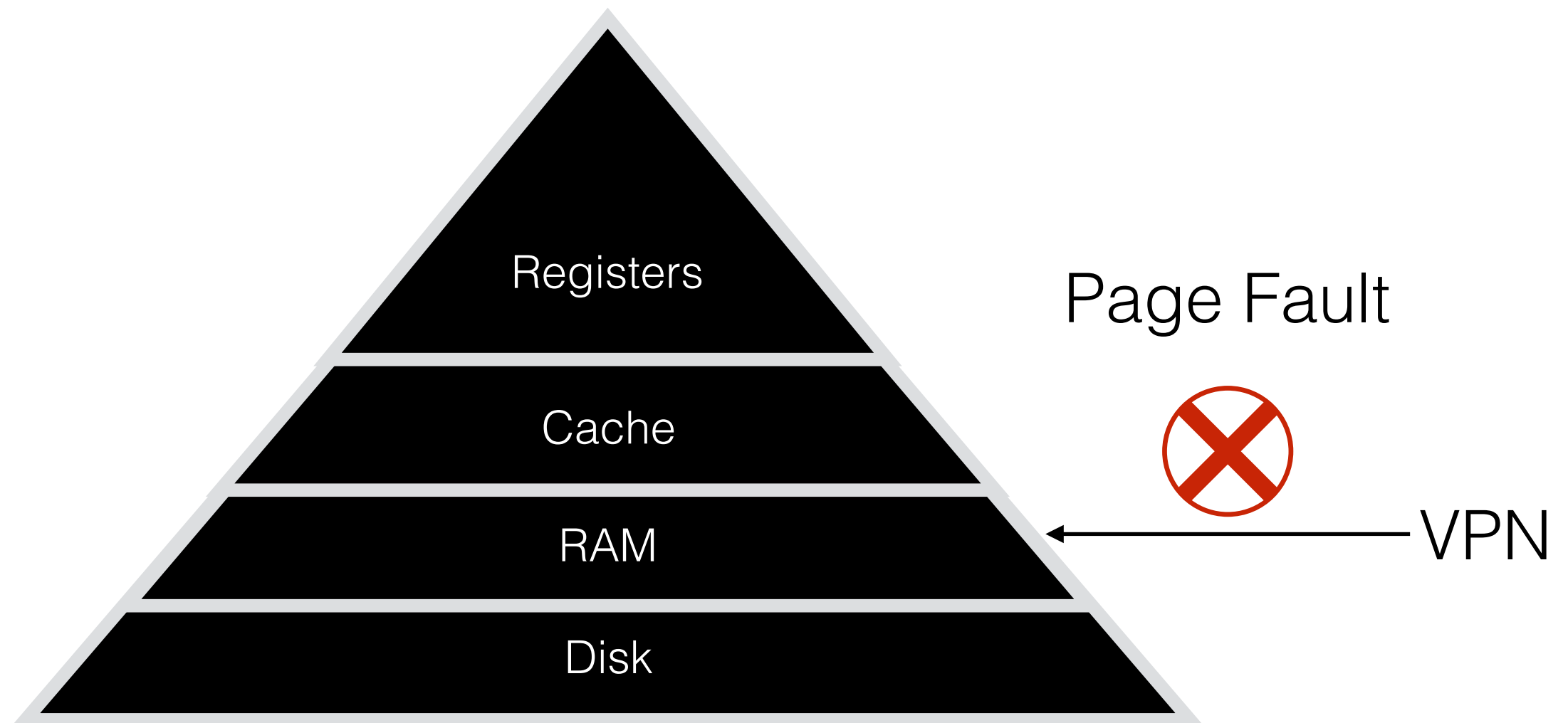
TLB miss v/s Page Fault



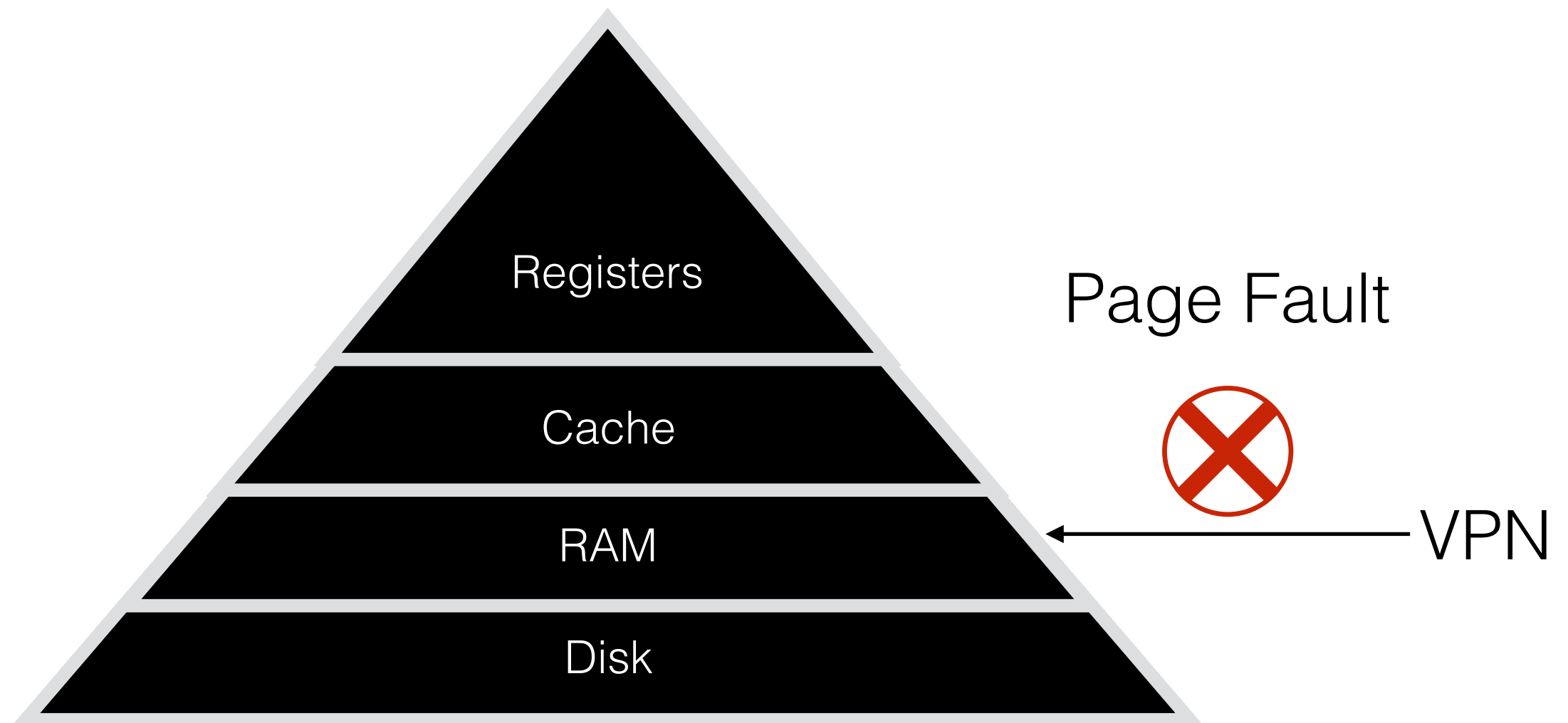
TLB miss v/s Page Fault



TLB miss v/s Page Fault

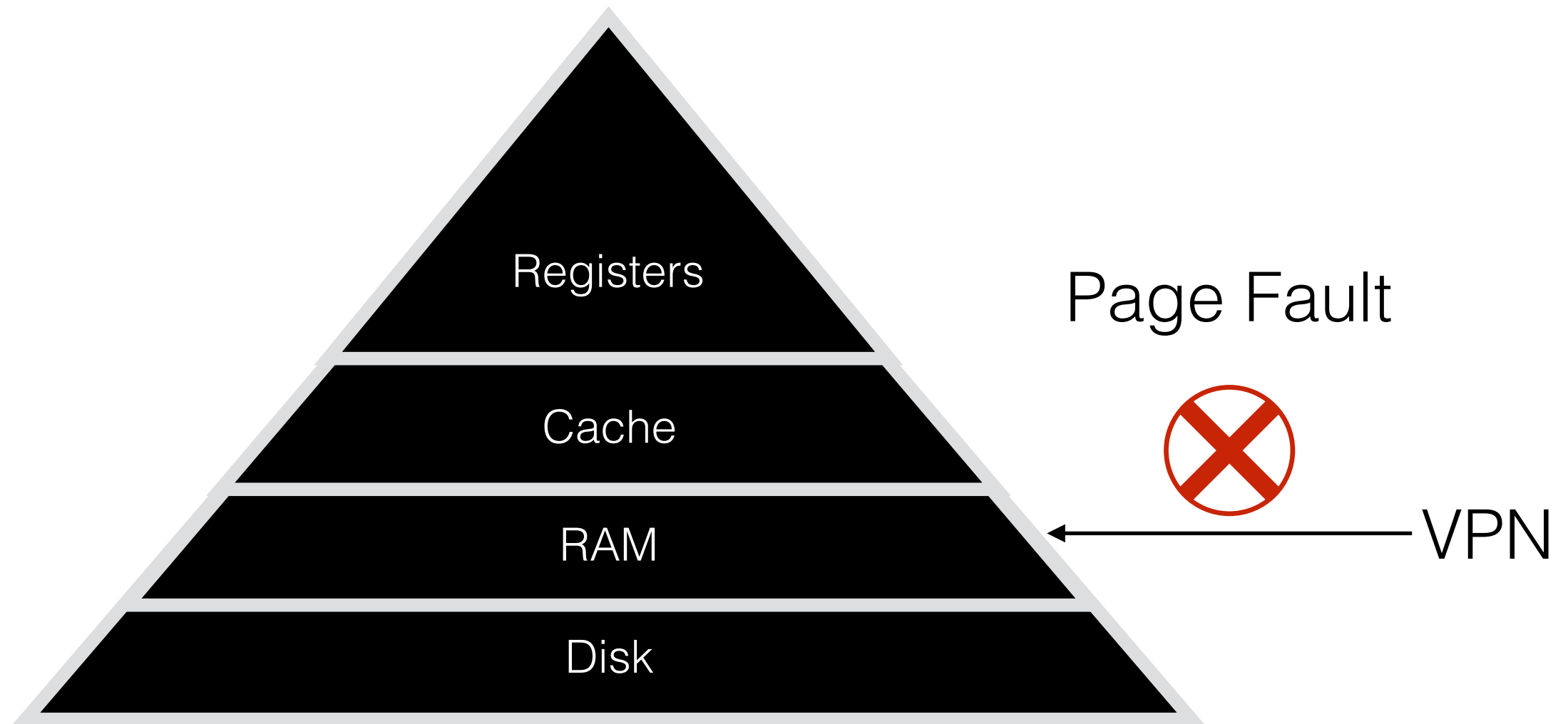


TLB miss v/s Page Fault



- Every page fault preceded by a TLB miss

TLB miss v/s Page Fault



- Every page fault preceded by a TLB miss
- Every TLB miss does not generate a page fault

Swapping Out

Swapping Out

1. Remove the translation from TLB if exists

Swapping Out

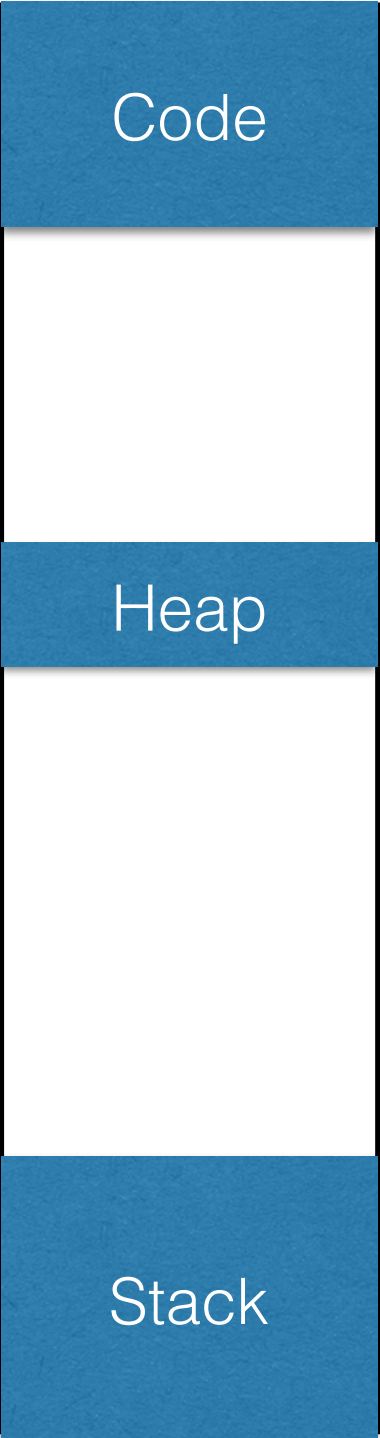
1. Remove the translation from TLB if exists
2. Copy the contents of page to disk

Swapping Out

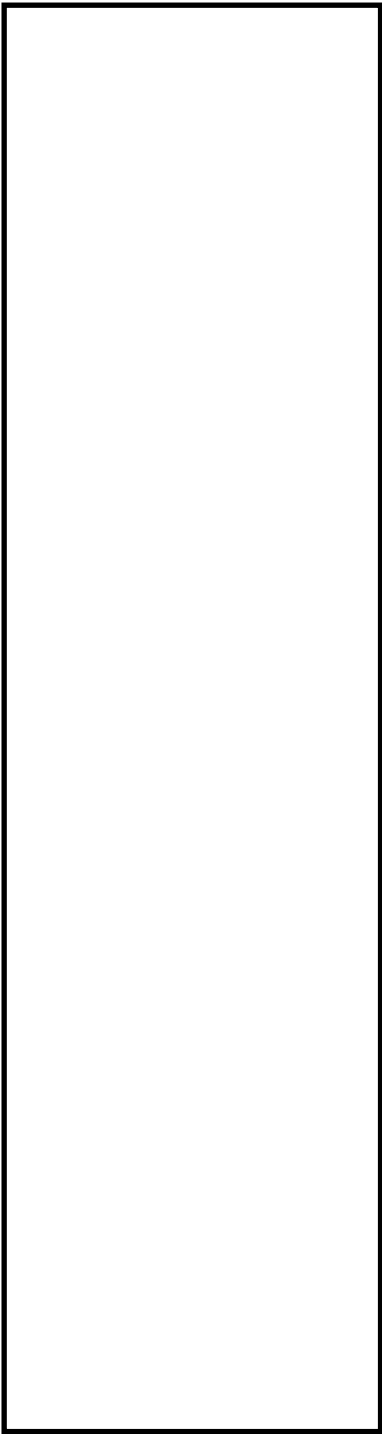
1. Remove the translation from TLB if exists
2. Copy the contents of page to disk
3. Update the PT entry to indicate the page is on disk

Swapping Out

Address space



Physical Memory



TLB

VPN	PFN
10	30
23	40
40	50
50	30

Swapping Out

Address space



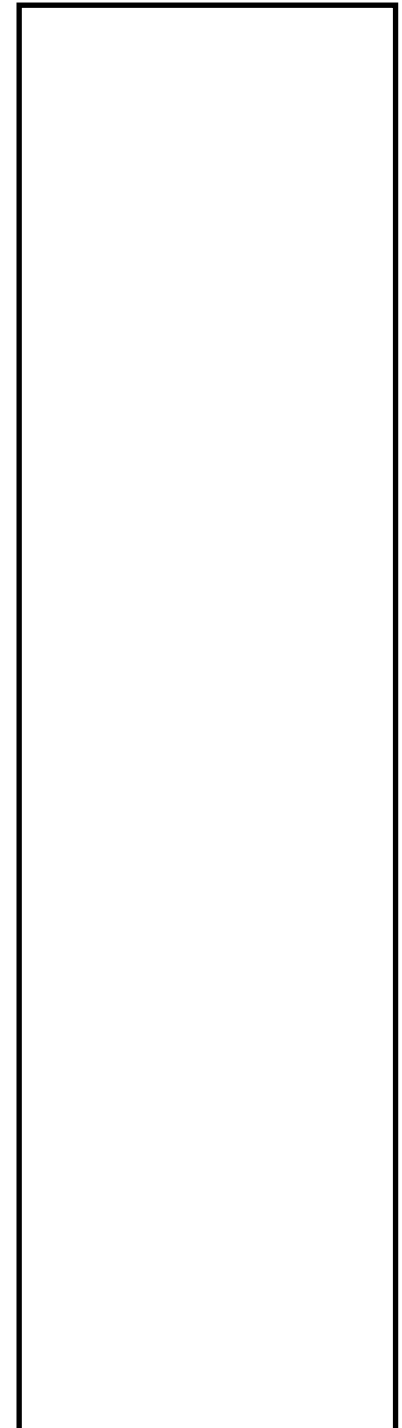
Disk

Swap out VPN = 10

TLB

VPN	PFN
10	30
23	40
40	50
50	30

Physical Memory



Swapping Out

Address space



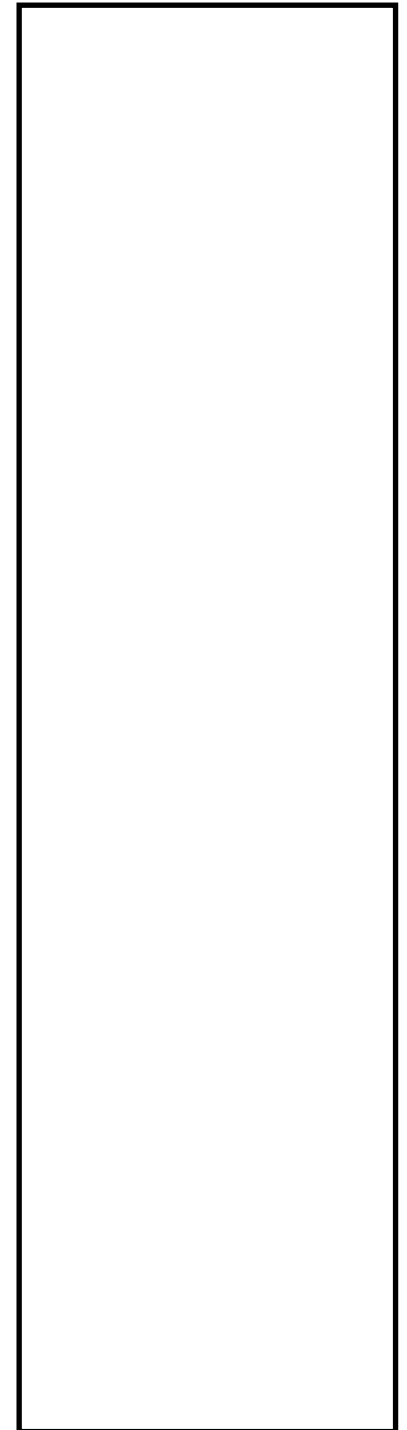
Disk

Swap out VPN = 10

TLB

VPN	PFN
10	30
23	40
40	50
50	30

Physical Memory

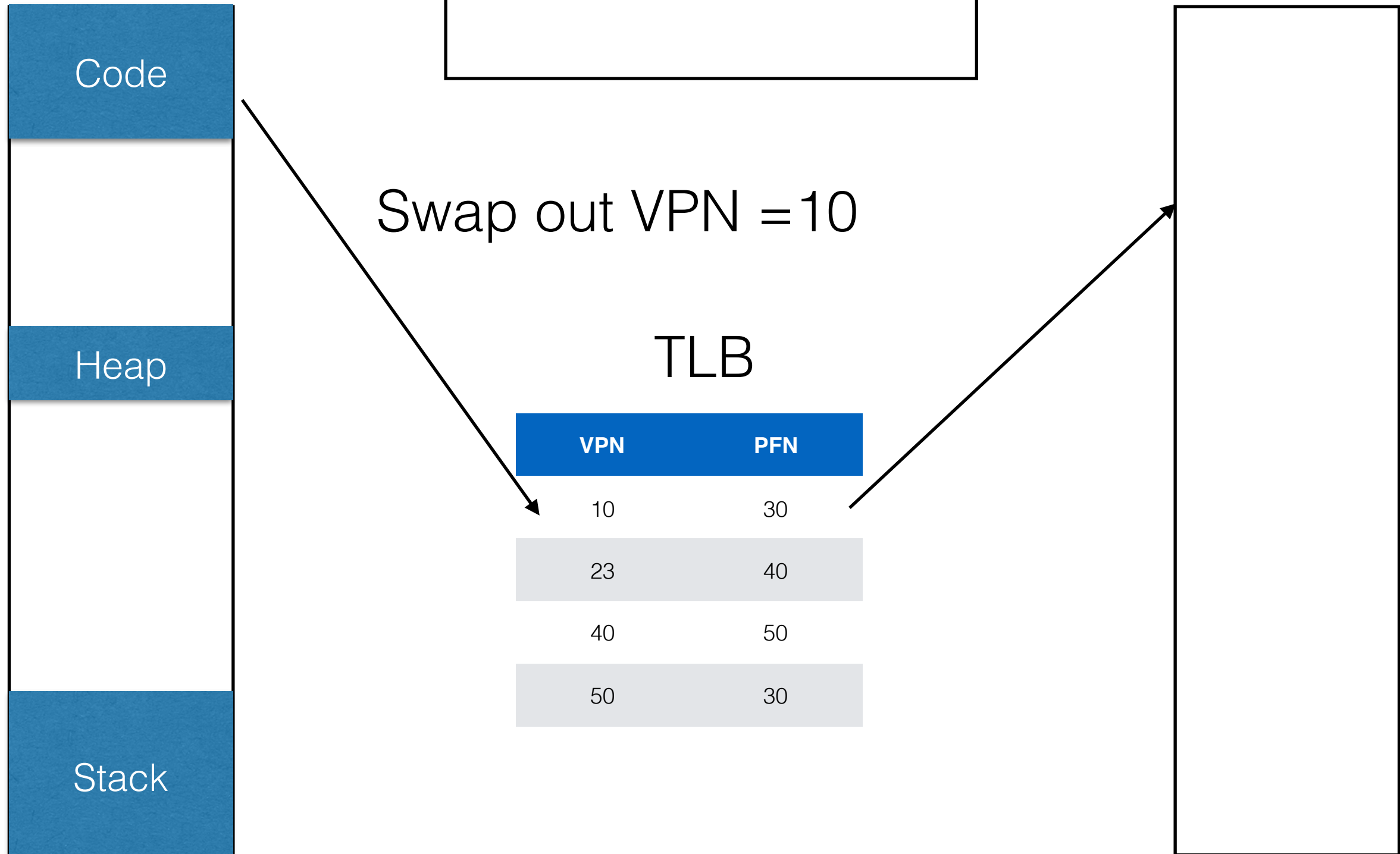


Swapping Out

Address space

Disk

Physical Memory



Swapping Out

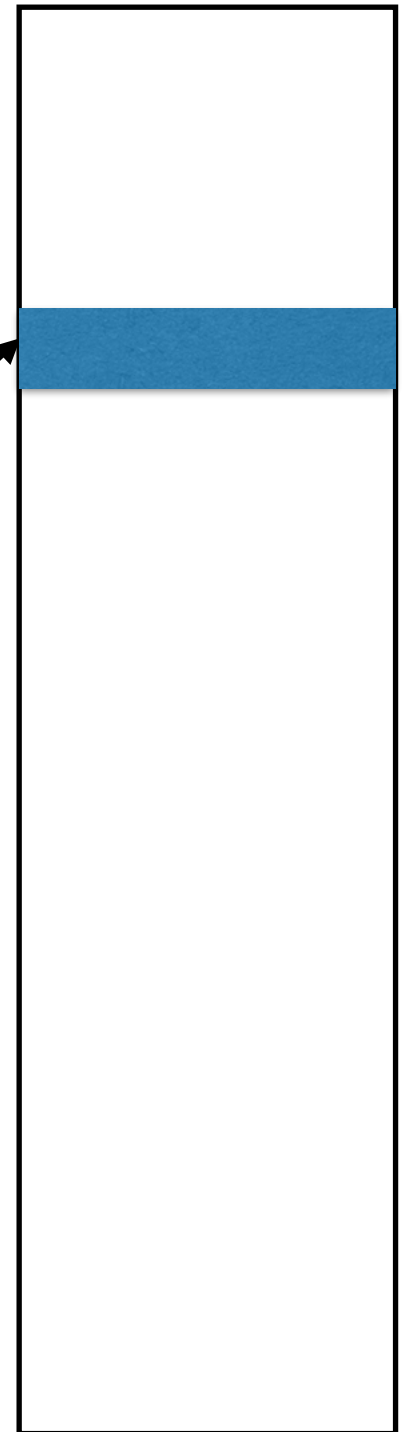
Address space



Disk



Physical Memory



Swap out VPN = 10

TLB

VPN	PFN
10	30
23	40
40	50
50	30

Swapping Out

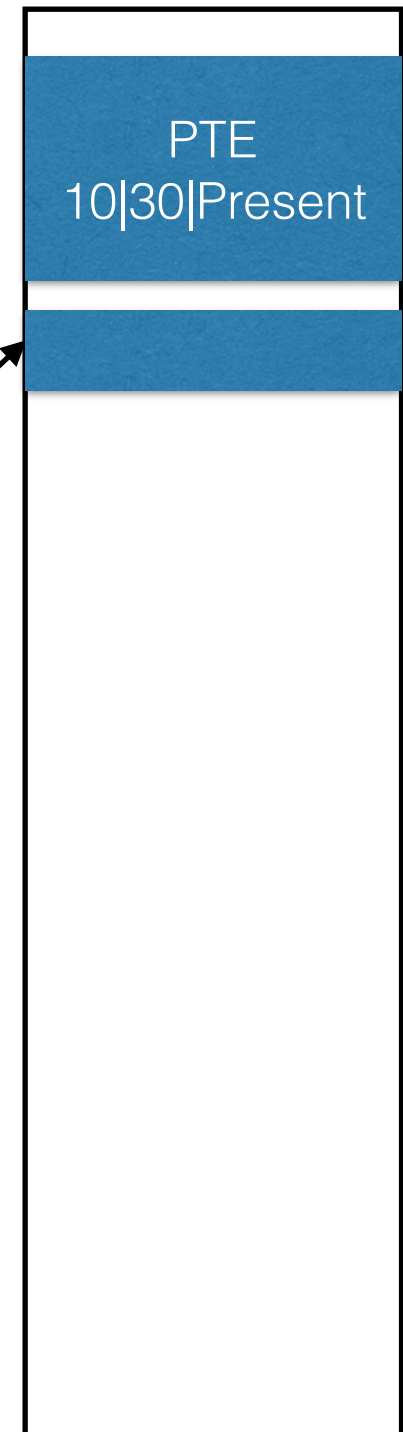
Address space



Disk



Physical Memory



Swap out VPN = 10

TLB

VPN	PFN
10	30
23	40
40	50
50	30

Swapping Out

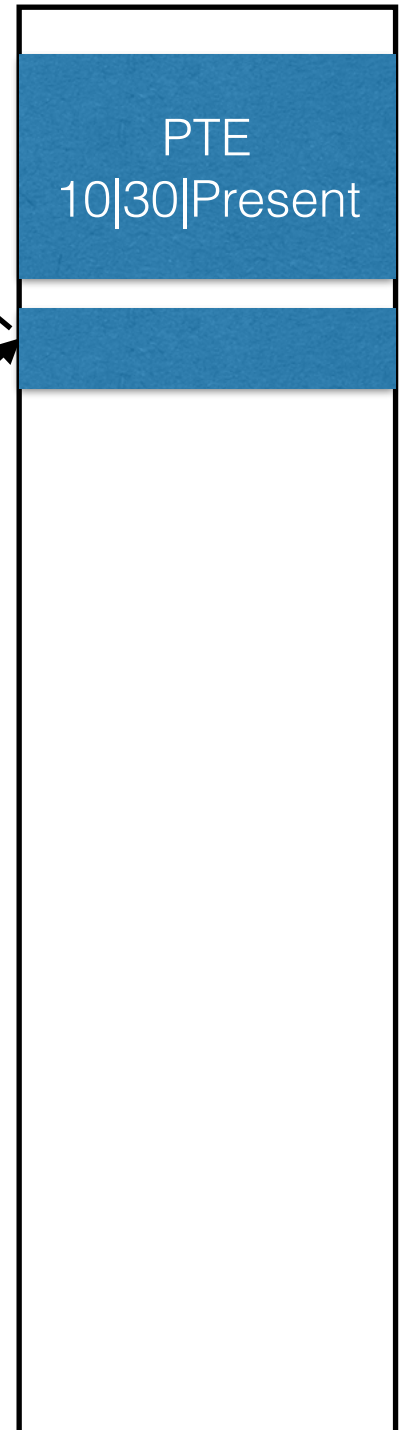
Address space



Disk



Physical Memory



Swap out VPN = 10

TLB

VPN	PFN
10	30
23	40
40	50
50	30

Swapping Out

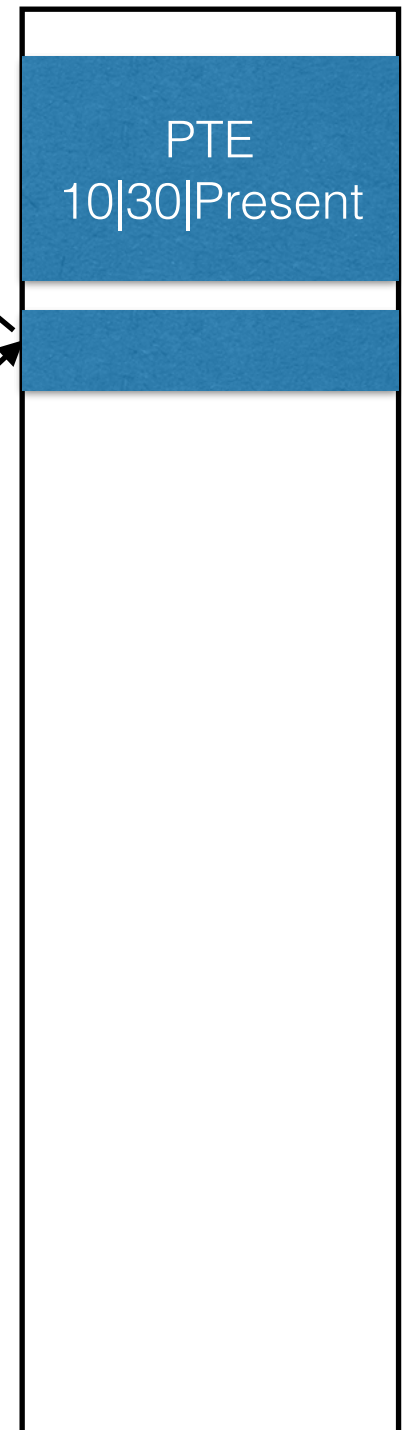
Address space



Disk



Physical Memory



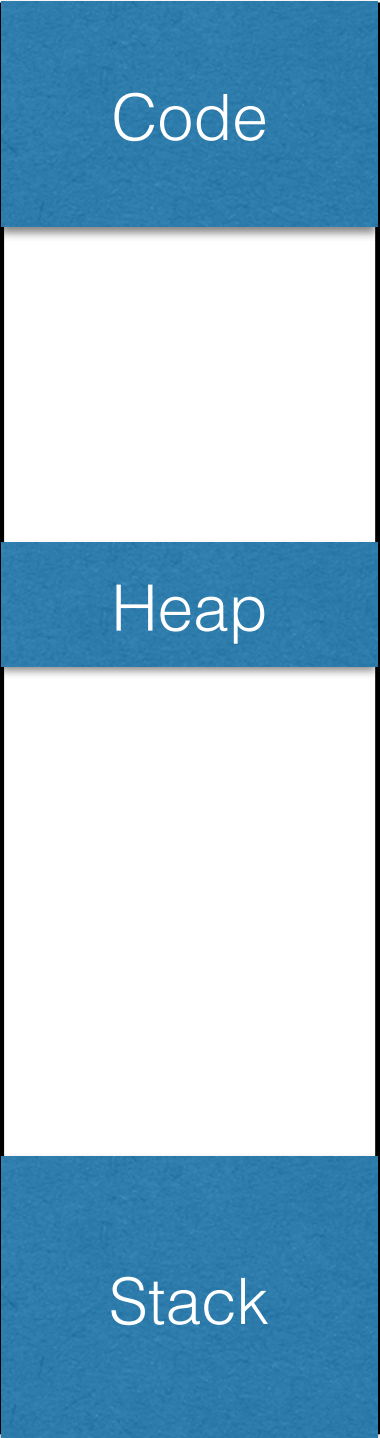
Swap out VPN = 10

TLB

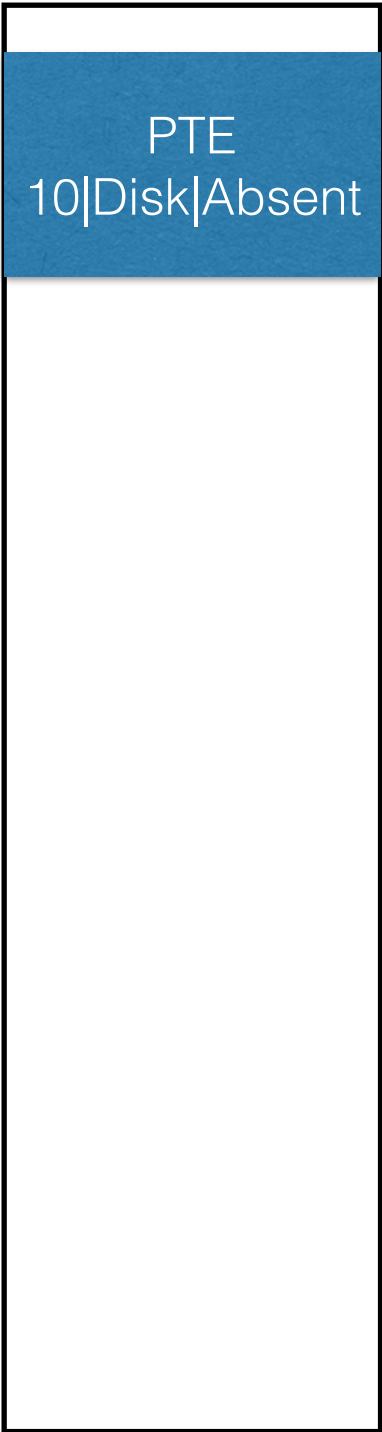
VPN	PFN
10	30
23	40
40	50
50	30

Swapping Out

Address space



Physical Memory



TLB

VPN	PFN
23	40
40	50
50	30

Swapping Out Speed

1. Remove the translation from TLB if exists
2. Copy the contents of page to disk
3. Update the PT entry to indicate the page is on disk

Swapping Out Speed

1. Remove the translation from TLB if exists Fast
2. Copy the contents of page to disk
3. Update the PT entry to indicate the page is on disk

Swapping Out Speed

- | | |
|---|------|
| 1. <u>Remove</u> the translation from TLB if exists | Fast |
| 2. <u>Copy</u> the contents of page to disk | Slow |
| 3. <u>Update</u> the PT entry to indicate the page is on disk | |

Swapping Out Speed

- | | |
|---|-----------------|
| 1. <u>Remove</u> the translation from TLB if exists | Fast |
| 2. <u>Copy</u> the contents of page to disk | Slow |
| 3. <u>Update</u> the PT entry to indicate the page is on disk | Reasonably Fast |

Optimizing Swapping Out

1. Remember OS is a responsible program
 1. Optimizes stuff in the background!
2. Each page has dedicated place on disk
3. During idle time, OS writes active memory pages to disk
4. Pages where memory content == disk content —> clean
5. Pages where memory content != disk content —> dirty
 1. Example:
 1. Write to disk
 2. $x[i] = x[i] + 5$
 3. Dirty! Disk and Memory don't have same contents

Swapping in

Swapping in

1.Stop the instruction that is trying to translate the address until we can retrieve the contents.

Swapping in

1. **Stop** the instruction that is trying to translate the address until we can retrieve the contents.
2. **Allocate** a page in memory to hold the new page contents.

Swapping in

- 1.Stop** the instruction that is trying to translate the address until we can retrieve the contents.
- 2.Allocate** a page in memory to hold the new page contents.
- 3.Locate** the page on disk using the page table entry.

Swapping in

- 1.Stop** the instruction that is trying to translate the address until we can retrieve the contents.
- 2.Allocate** a page in memory to hold the new page contents.
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Swapping in

- 1.Stop** the instruction that is trying to translate the address until we can retrieve the contents.
- 2.Allocate** a page in memory to hold the new page contents.
- 3.Locate** the page on disk using the page table entry.
- 4.Copy** the contents of the page from disk.
- 5.Update** the page table entry to indicate that the page is in memory.

Swapping in

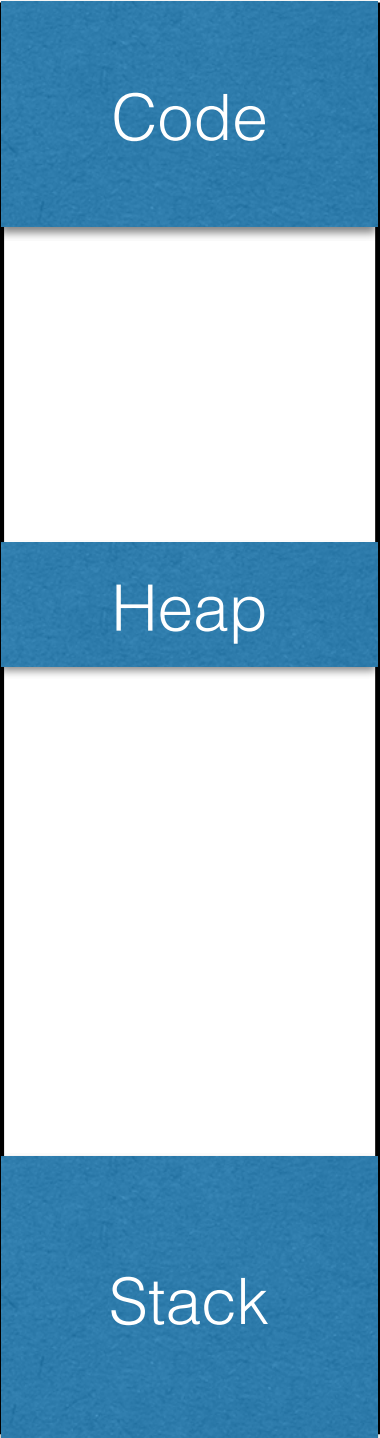
- 1.Stop** the instruction that is trying to translate the address until we can retrieve the contents.
- 2.Allocate** a page in memory to hold the new page contents.
- 3.Locate** the page on disk using the page table entry.
- 4.Copy** the contents of the page from disk.
- 5.Update** the page table entry to indicate that the page is in memory.
- 6.Load** the TLB.

Swapping in

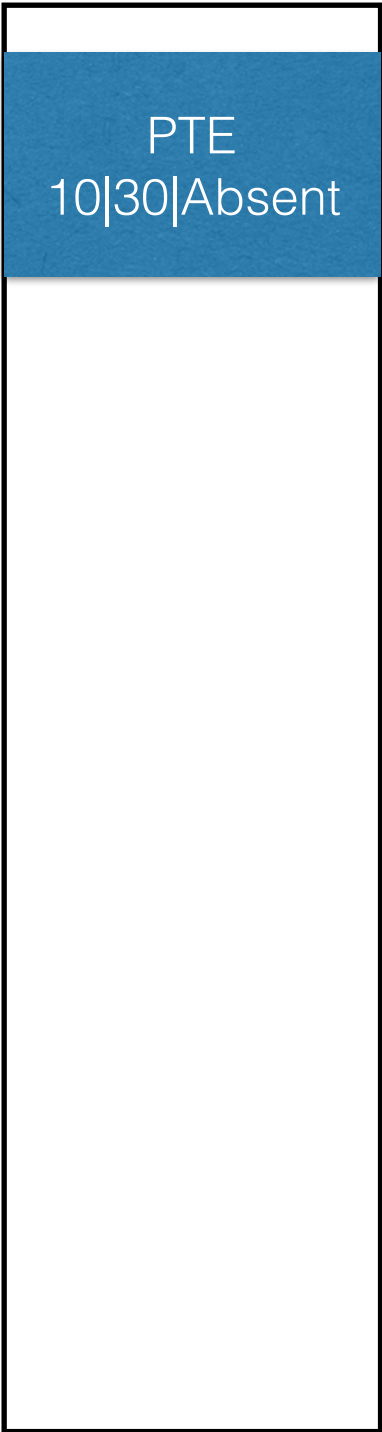
1. **Stop** the instruction that is trying to translate the address until we can retrieve the contents.
2. **Allocate** a page in memory to hold the new page contents.
3. **Locate** the page on disk using the page table entry.
4. **Copy** the contents of the page from disk.
5. **Update** the page table entry to indicate that the page is in memory.
6. **Load** the TLB.
7. **Restart** the instruction that was addressing the virtual address retrieved.

Swapping in

Address space



Physical Memory

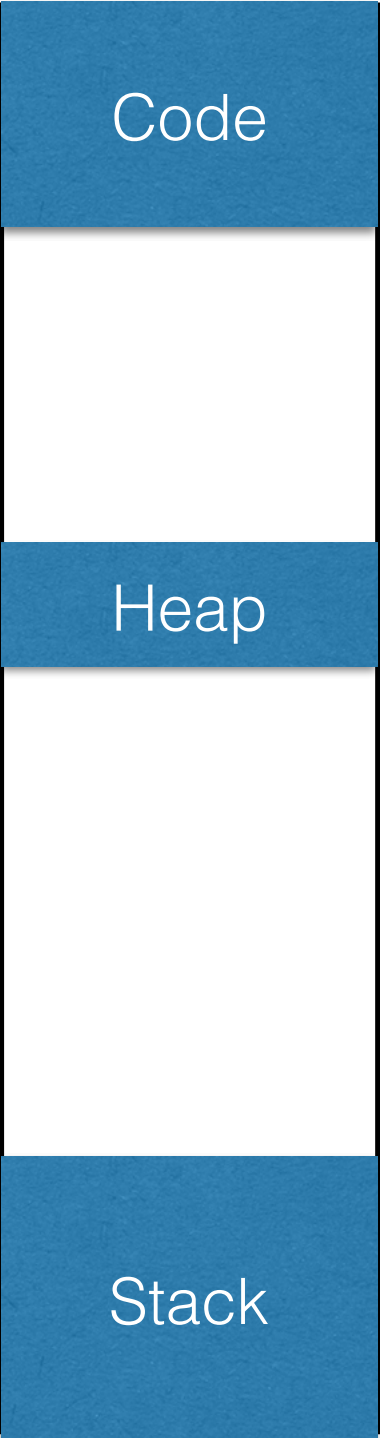


TLB

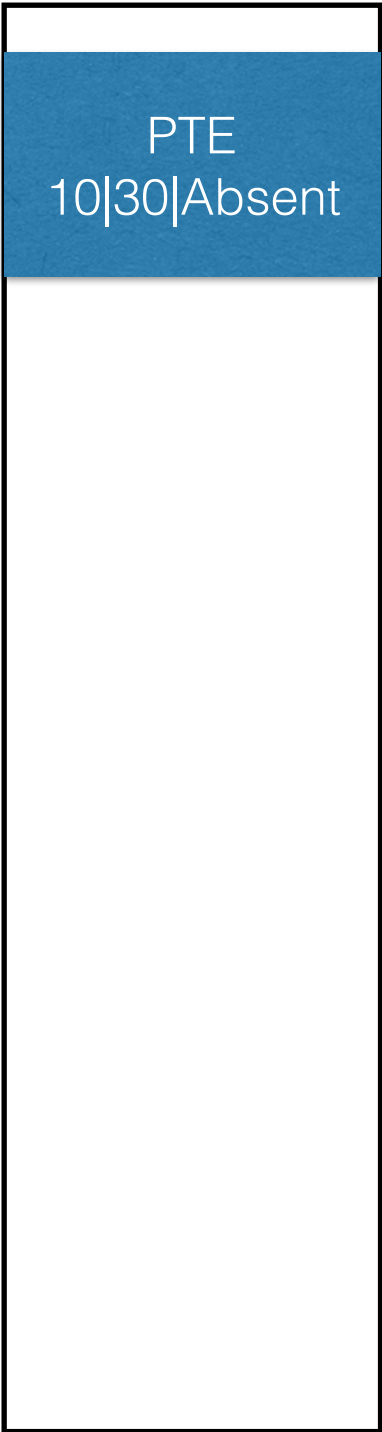
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



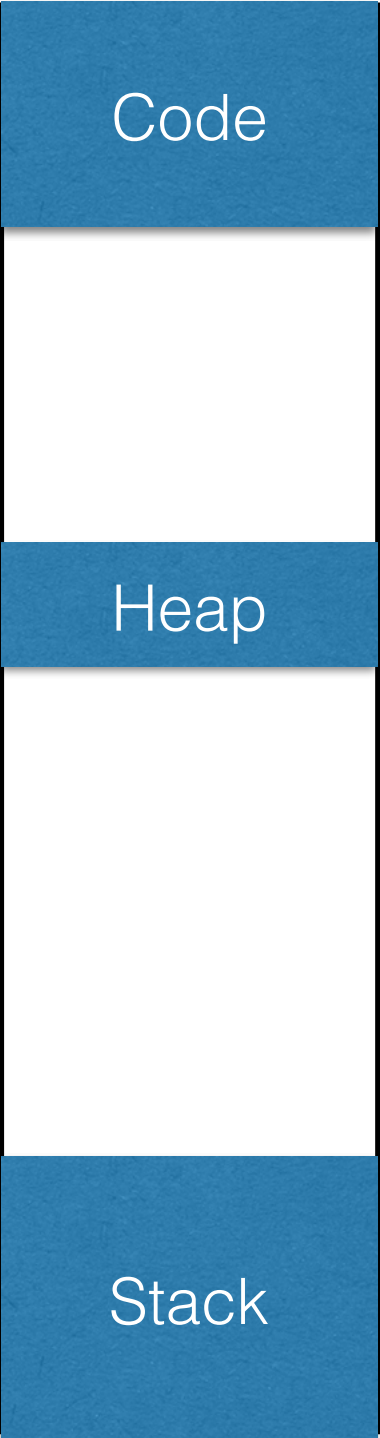
LOAD VA 10

TLB

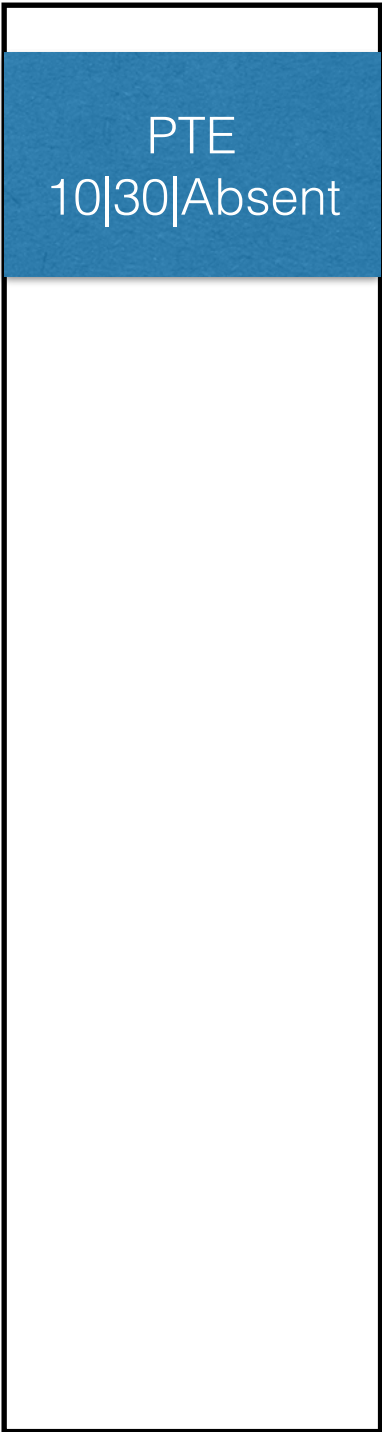
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

TLB

VPN	PFN
23	40
40	50
50	30

Swapping in

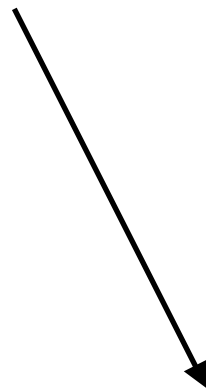
Address space



Disk



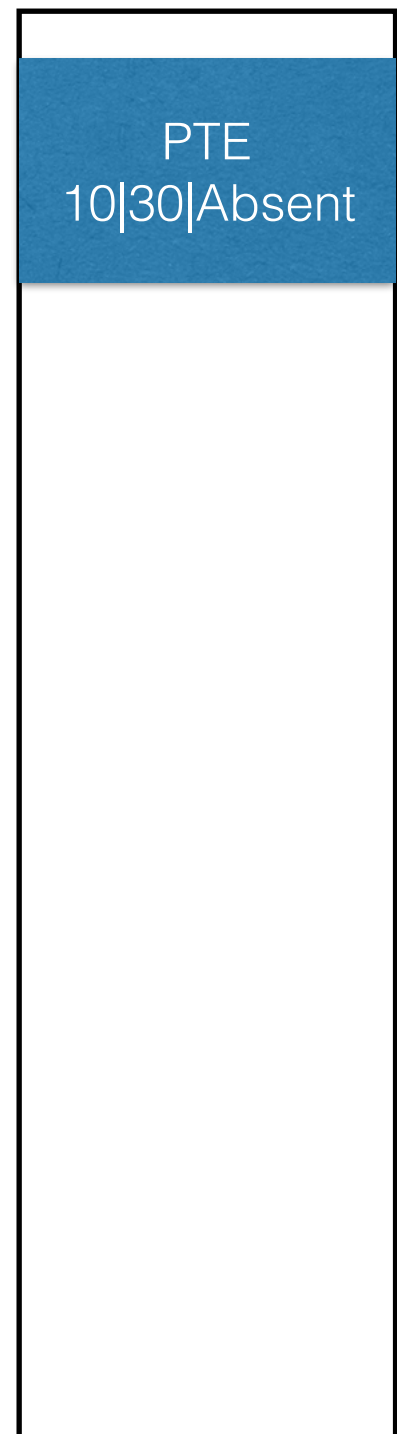
LOAD VA 10



TLB

VPN	PFN
23	40
40	50
50	30

Physical Memory



Swapping in

Address space



Disk



Physical Memory

PTE
10|30|Absent

LOAD VA 10

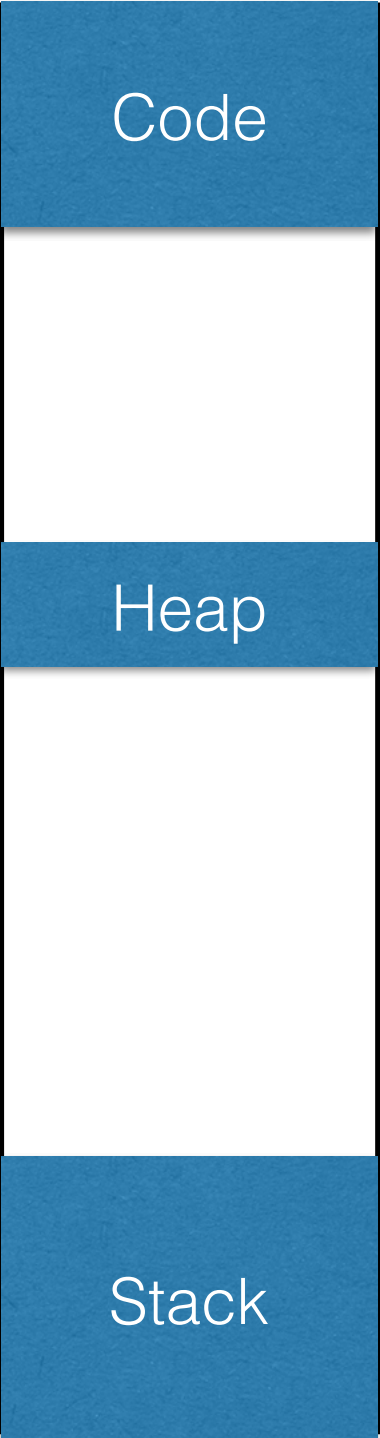
TLB

TLB Miss

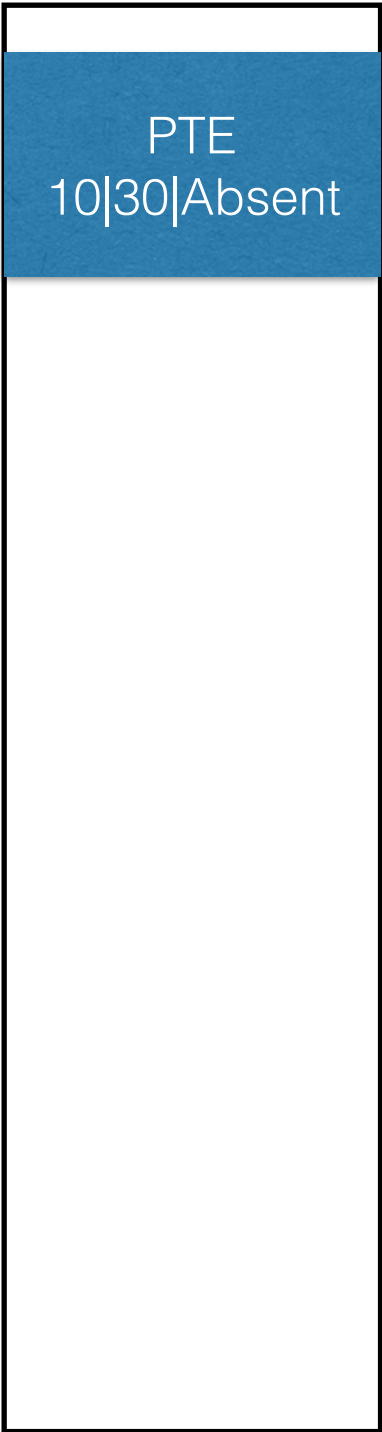
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

TLB

VPN	PFN
23	40
40	50
50	30

Swapping in

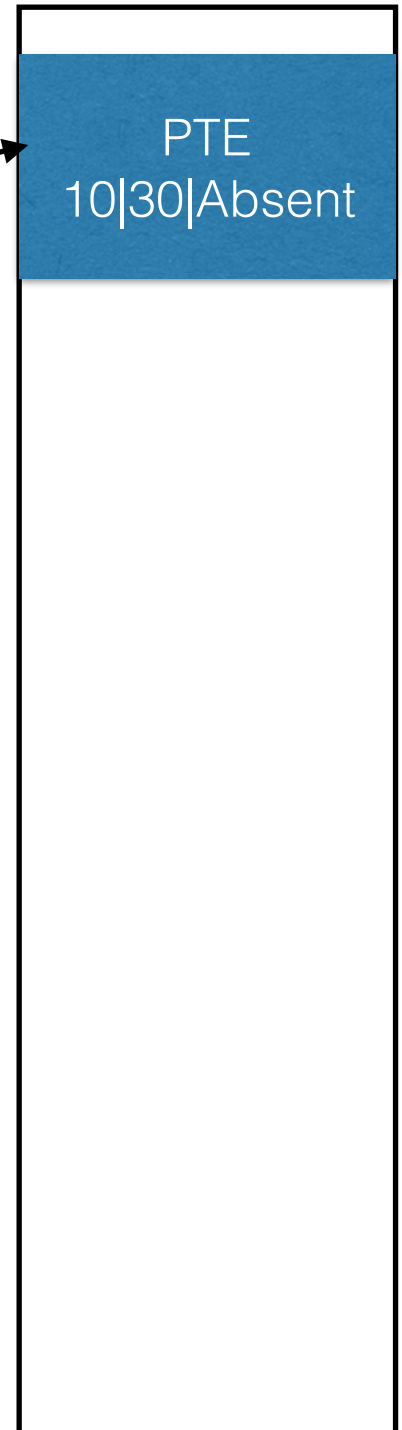
Address space



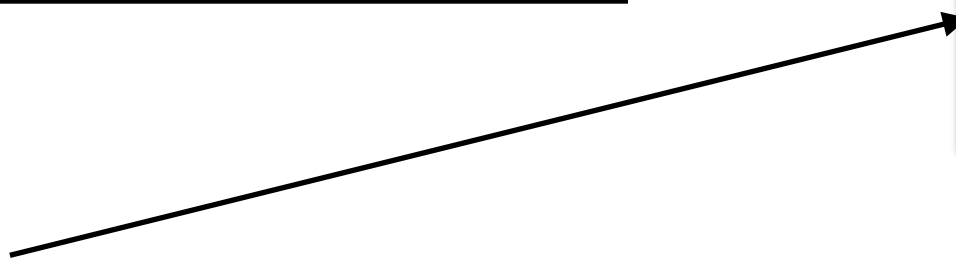
Disk



Physical Memory



LOAD VA 10

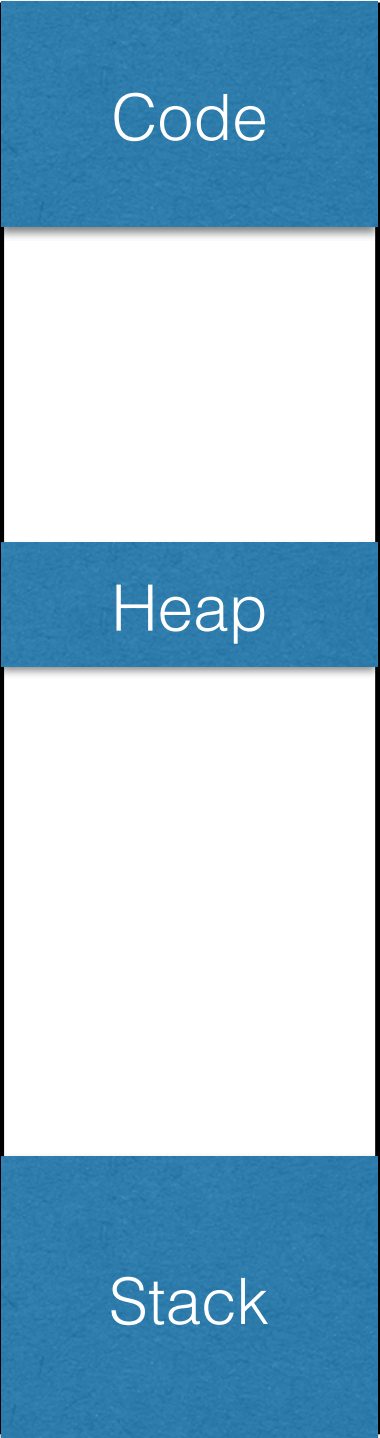


TLB

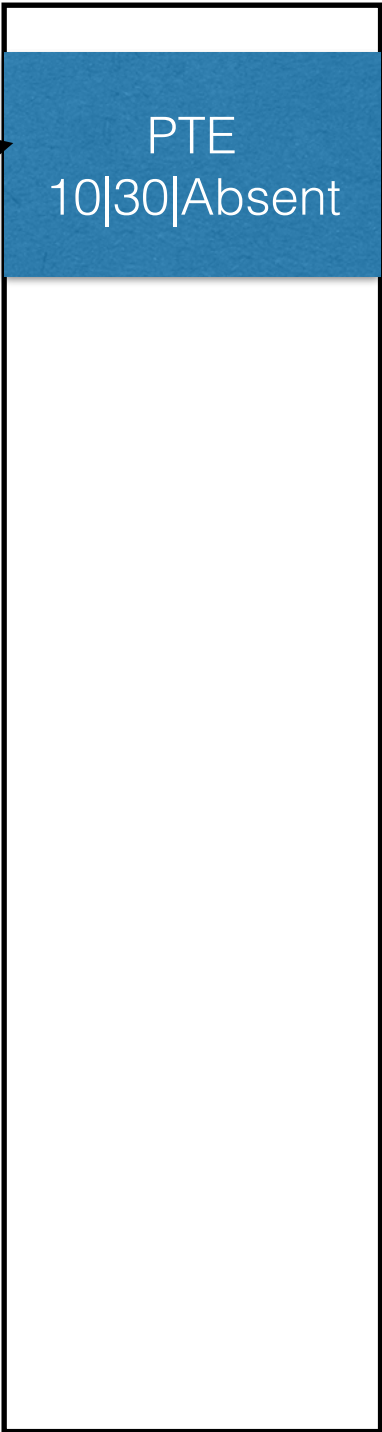
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

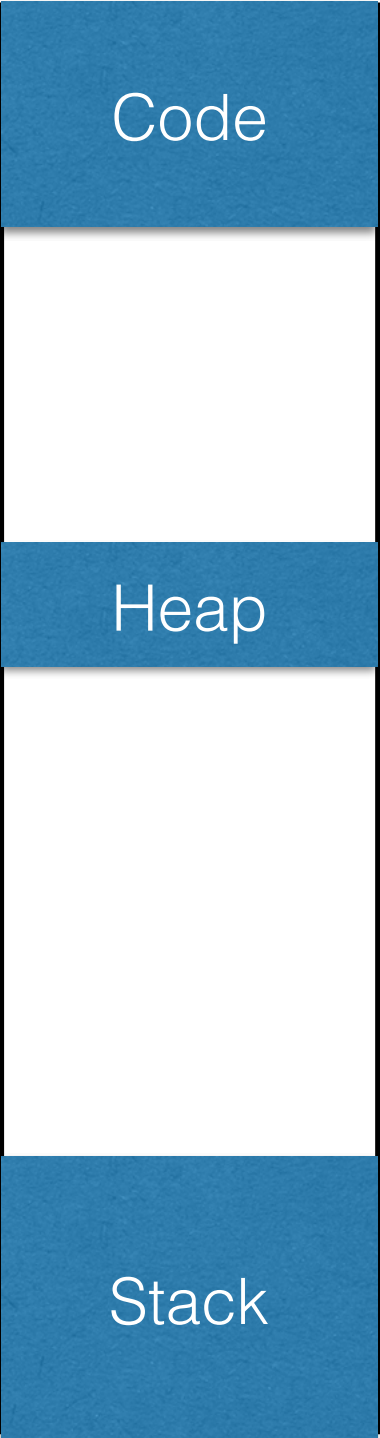
Page fault

TLB

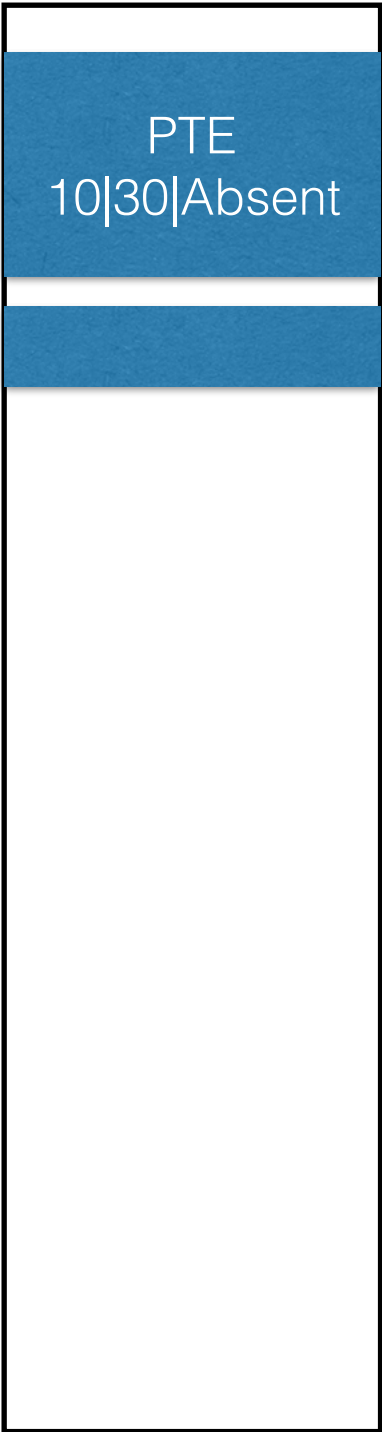
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

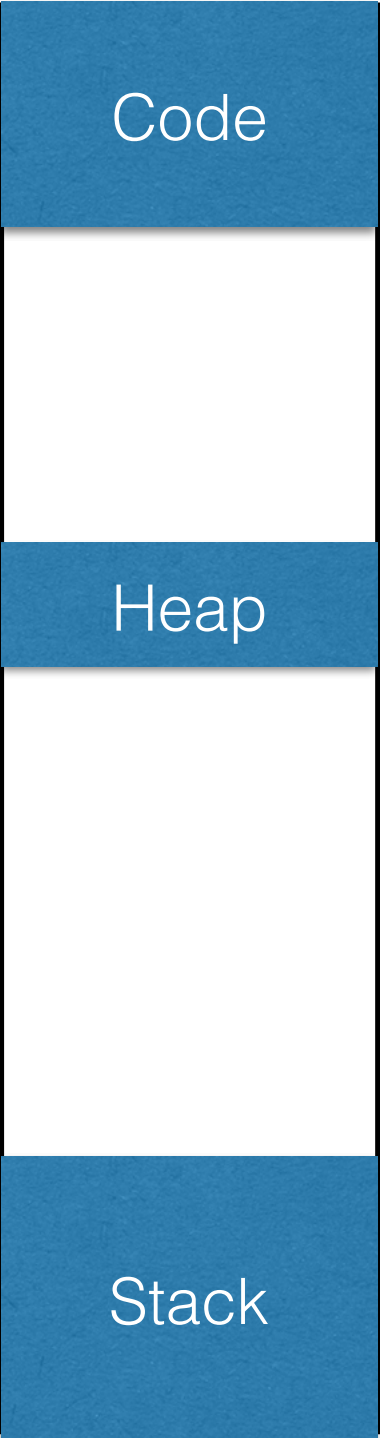
Page fault

TLB

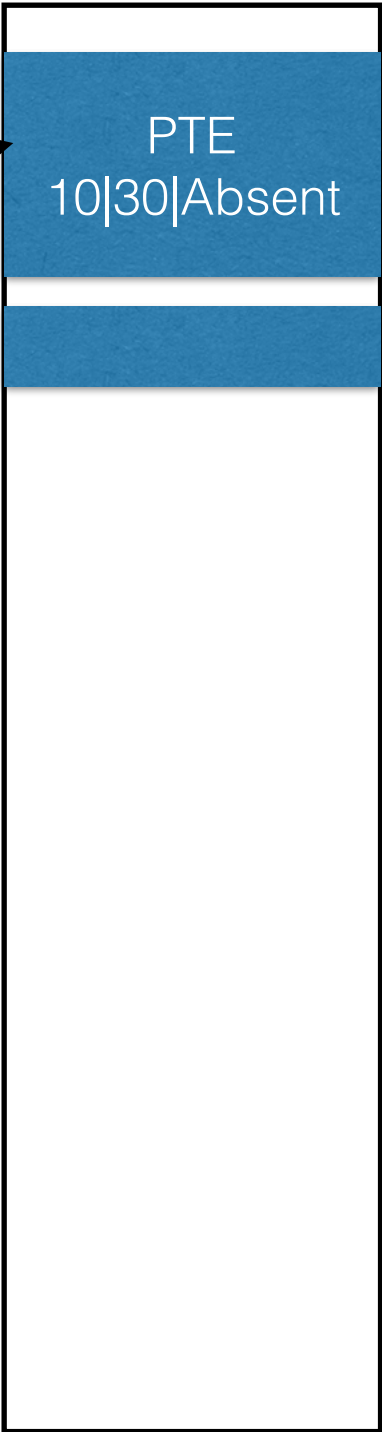
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

Page fault

TLB

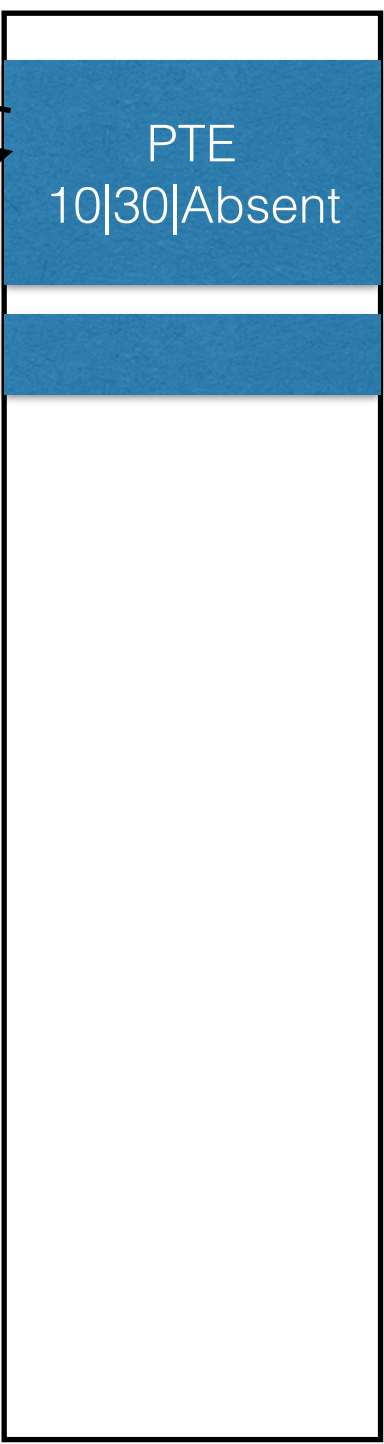
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

Page fault

TLB

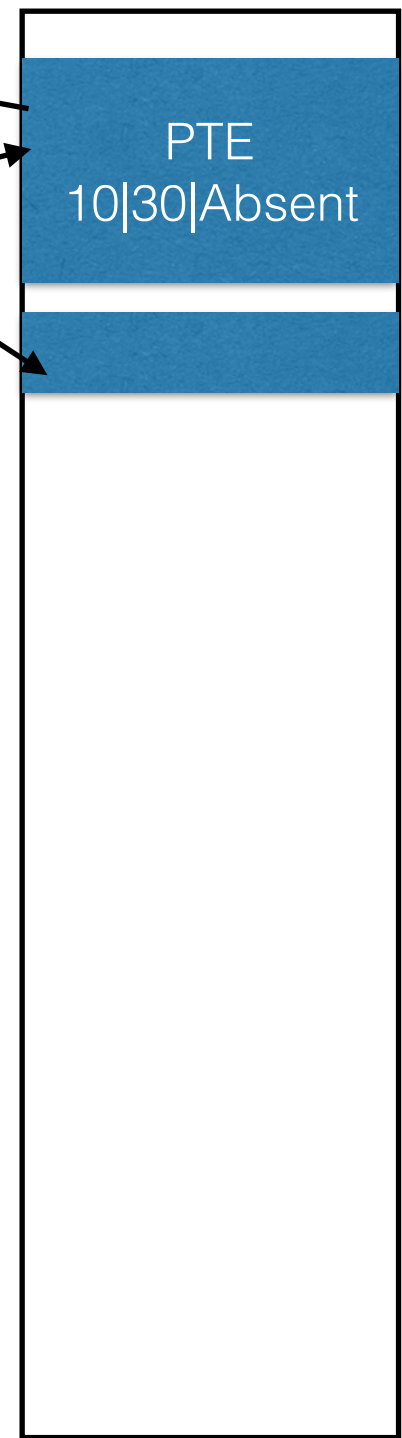
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

Page fault

TLB

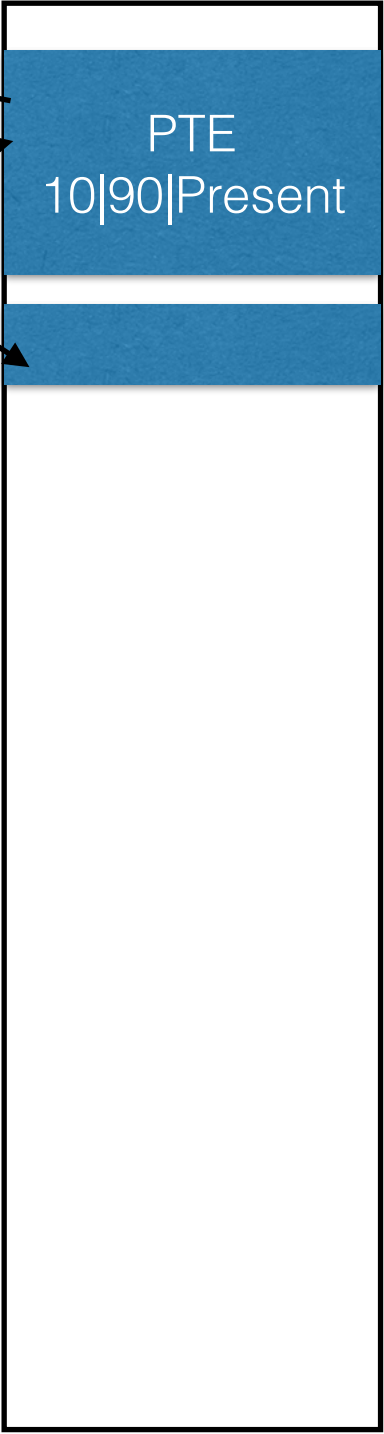
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

Page fault

TLB

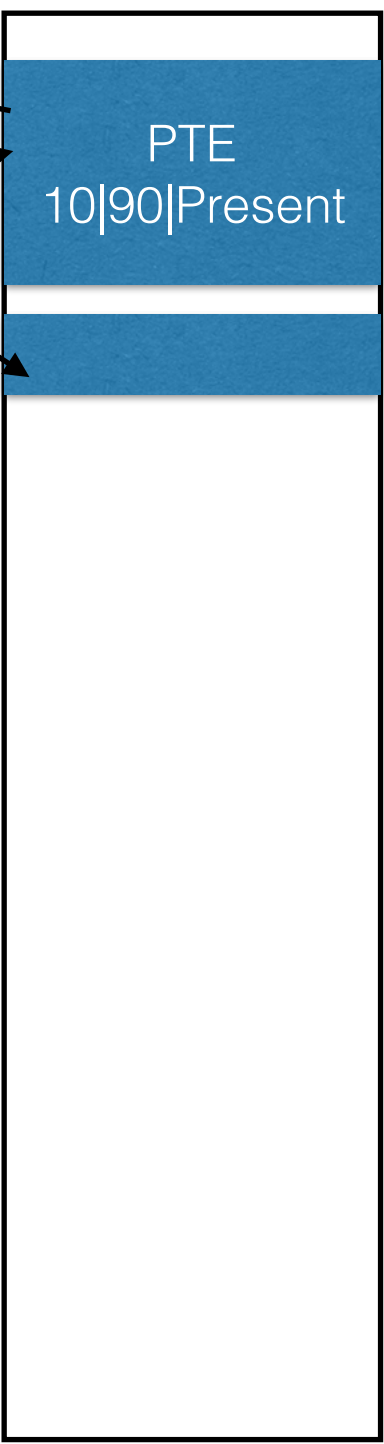
VPN	PFN
23	40
40	50
50	30

Swapping in

Address space



Physical Memory



LOAD VA 10

Page fault

TLB

VPN	PFN
10	90
23	40
40	50
50	30

Address Translation

Address Translation

- extract VPN from VA

Address Translation

- extract VPN from VA
- check TLB for VPN

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset
 - fetch PA from memory

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset
 - fetch PA from memory
- TLB miss:

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset
 - fetch PA from memory
- TLB miss:
 - **fetch PTE**

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset
 - fetch PA from memory
- TLB miss:
 - fetch PTE
 - if (!valid): exception [segfault]

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset
 - fetch PA from memory
- TLB miss:
 - fetch PTE
 - if (!valid): exception [segfault]
 - else if (!present): exception [page fault, or page miss]

Address Translation

- extract VPN from VA
- check TLB for VPN
- TLB hit:
 - build PA from PFN and offset
 - fetch PA from memory
- TLB miss:
 - fetch PTE
 - if (!valid): exception [segfault]
 - else if (!present): exception [page fault, or page miss]
 - else: extract PFN, insert in TLB, retry

How costly is a Page Fault/Page not found in memory?

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- Average Memory Access Time (AMAT) =

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 - Prob. Of hit X Cost of accessing memory +

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 - Prob. Of hit X Cost of accessing memory +
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How costly is a Page Fault/Page not found in memory?

- Average Memory Access Time (AMAT) =
 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =

How costly is a Page Fault/Page not found in memory?

- Average Memory Access Time (AMAT) =
 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk

How costly is a Page Fault/Page not found in memory?

- Average Memory Access Time (AMAT) =
 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk
- Example:

How costly is a Page Fault/Page not found in memory?

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 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk
- Example:
 - Prob. Of Miss = 0.1

How costly is a Page Fault/Page not found in memory?

- Average Memory Access Time (AMAT) =
 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk
- Example:
 - Prob. Of Miss = 0.1
 - Memory access = 100 ns

How costly is a Page Fault/Page not found in memory?

- Average Memory Access Time (AMAT) =
 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk
- Example:
 - Prob. Of Miss = 0.1
 - Memory access = 100 ns
 - Disk access = 10 ms

How costly is a Page Fault/Page not found in memory?

- Average Memory Access Time (AMAT) =
 - Prob. Of hit X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk
- Example:
 - Prob. Of Miss = 0.1
 - Memory access = 100 ns
 - Disk access = 10 ms
 - $AMAT = 100 \text{ ns} + 1 \text{ ms} \sim 1 \text{ ms} \gg 100 \text{ ns}$

How costly is a Page Fault/Page not found in memory?

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 - Prob. Of Miss X Cost of accessing memory +
 - Prob. Of Miss X Cost of accessing disk =
 - Cost of accessing memory + Prob. Of Miss X Cost of accessing disk
- Example:
 - Prob. Of Miss = 0.1
 - Memory access = 100 ns
 - Disk access = 10 ms
 - $AMAT = 100 \text{ ns} + 1 \text{ ms} \sim 1 \text{ ms} \gg 100 \text{ ns}$
 - $AMAT (P(\text{miss}) = 0.001) = 100 \text{ ns} + (0.001) * 10 * 10^6 \text{ ns}$
 $= 100 \text{ ns} + 10^4 \text{ ns} \gg 100 \text{ ns}$

Page Replacement Policies - Optimal Replacement



Page Replacement Policies - Optimal Replacement



Oracle!

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Optimal strategy: evict pages to be accessed furthest in future
—> Fewest possible cache misses

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	?	1

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3

Cache Full!

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3

Workload rem: 4,1,2,3,4,3,2,1

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3

Workload rem: 4,1,2,3,4,3,2,1

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1
2	Miss	1, 2	Distance (1) = 1
3	Miss	1, 2, 3	

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1 Distance (1) = 1 Distance (2) = 2
2	Miss	1, 2	
3	Miss	1, 2, 3	

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1
2	Miss	1, 2	Distance (1) = 1
3	Miss	1, 2, 3	Distance (2) = 2
			Distance (3) = 3

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1
2	Miss	1, 2	Distance (1) = 1
3	Miss	1, 2, 3	Distance (2) = 2
			Distance (3) = 3

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1
2	Miss	1, 2	Distance (1) = 1
3	Miss	1, 2, 3	Distance (2) = 2
			Distance (3) = 3
			Evict 3

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1
2	Miss	1, 2	Distance (1) = 1
3	Miss	1, 2, 3	Distance (2) = 2
			Distance (3) = 3
			Evict 3

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)	
1	Miss	1	Workload rem: 4,1,2,3,4,3,2,1
2	Miss	1, 2	Distance (1) = 1
3	Miss	1, 2, 3	Distance (2) = 2
4	Miss	1, 2, 4	Distance (3) = 3
			Evict 3

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1
Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	

Workload rem: 4, 3, 2, 1

Distance (1) = 4
Distance (2) = 3
Distance (4) = 1

Evict 1

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	2, 3, 4

Workload rem: 4, 3, 2, 1

Distance (1) = 4

Distance (2) = 3

Distance (4) = 1

Evict 1

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	2, 3, 4
4	Hit	2, 3, 4
3	Hit	2, 3, 4
2	Hit	2, 3, 4
1	Miss	1, _, _

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	2, 3, 4
4	Hit	2, 3, 4
3	Hit	2, 3, 4
2	Hit	2, 3, 4
1	Miss	1, _, _

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	2, 3, 4
4	Hit	2, 3, 4
3	Hit	2, 3, 4
2	Hit	2, 3, 4
1	Miss	1, _, _

Hit rate (%) = $5 * 100\% / 11$
~45%

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	2, 3, 4
4	Hit	2, 3, 4
3	Hit	2, 3, 4
2	Hit	2, 3, 4
1	Miss	1, _, _

Hit rate (%) = $5 \times 100\% / 11$
~45%

Compulsory misses:
First miss for a page
= 4 compulsory misses

Page Replacement Policies - Optimal Replacement

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (after)
1	Miss	1
2	Miss	1, 2
3	Miss	1, 2, 3
4	Miss	1, 2, 4
1	Hit	1, 2, 4
2	Hit	1, 2, 4
3	Miss	2, 3, 4
4	Hit	2, 3, 4
3	Hit	2, 3, 4
2	Hit	2, 3, 4
1	Miss	1, -, -

Hit rate (%) = $5 * 100\% / 11$
~45%

Compulsory misses:
First miss for a page
= 4 compulsory misses

Hit rate (discounting
compulsory misses) =
 $5 / (11 - 4) \sim 71\%$

Page Replacement Policies - FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 4 pages

Page Replacement Policies - FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 4 pages

Strategy: evict pages based on FIFO

Page Replacement Policies - FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (first entry is rightmost)
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1

Page Replacement Policies - FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State (first entry is rightmost)
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2

Page Replacement Policies -FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
3	Miss	3, 2, 1
4	Miss	4, 3, 2
3	Hit	4, 3, 2
2	Hit	4, 3, 2
1	Miss	1, 4, 3

Page Replacement Policies -FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
3	Miss	3, 2, 1
4	Miss	4, 3, 2
3	Hit	4, 3, 2
2	Hit	4, 3, 2
1	Miss	1, 4, 3

Hit rate (%) = $\frac{2}{11} \times 100\%$
~18%

Page Replacement Policies -FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
3	Miss	3, 2, 1
4	Miss	4, 3, 2
3	Hit	4, 3, 2
2	Hit	4, 3, 2
1	Miss	1, 4, 3

Hit rate (%) = $\frac{2 \times 100\%}{11}$
~18%

Compulsory misses:
First miss for a page
= 4 compulsory misses

Page Replacement Policies -FIFO

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Access	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
3	Miss	3, 2, 1
4	Miss	4, 3, 2
3	Hit	4, 3, 2
2	Hit	4, 3, 2
1	Miss	1, 4, 3

Hit rate (%) = $\frac{2}{11} \times 100\%$
~18%

Compulsory misses:
First miss for a page
= 4 compulsory misses

Hit rate (discounting
compulsory misses) =
 $\frac{2}{11-4} \times 100\%$ ~28%

Page Replacement Policies -FIFO

Workload (page): 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

Cache size/Physical memory size: 3 pages/4 pages

Cache size: 3

Cache size: 4

Page Replacement Policies -FIFO

Workload (page): 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

Cache size/Physical memory size: 3 pages/4 pages

Cache size: 3

Cache size: 4

Acces	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
5	Miss	5, 2, 1
1	Hit	5, 2, 1
2	Hit	5, 2, 1
3	Miss	3, 5, 2
4	Miss	4, 3, 5
5	Hit	4, 3, 5

Page Replacement Policies -FIFO

Workload (page): 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

Cache size/Physical memory size: 3 pages/4 pages

Cache size: 3

Acces	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
5	Miss	5, 2, 1
1	Hit	5, 2, 1
2	Hit	5, 2, 1
3	Miss	3, 5, 2
4	Miss	4, 3, 5
5	Hit	4, 3, 5

Cache size: 4

Acces	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2, 1
1	Hit	4, 3, 2, 1
2	Hit	4, 3, 2, 1
5	Miss	5, 4, 3, 2
1	Miss	1, 5, 4, 2
2	Miss	2, 1, 5, 4
3	Miss	3, 2, 1, 5
4	Miss	4, 3, 2, 1
5	Miss	5, 4, 3, 2

Page Replacement Policies -FIFO

Belady's anomaly

Workload (page): 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

Cache size/Physical memory size: 3 pages/4 pages

Cache size: 3

Acces	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2
1	Miss	1, 4, 3
2	Miss	2, 1, 4
5	Miss	5, 2, 1
1	Hit	5, 2, 1
2	Hit	5, 2, 1
3	Miss	3, 5, 2
4	Miss	4, 3, 5
5	Hit	4, 3, 5

Cache size: 4

Acces	Hit	State
1	Miss	1
2	Miss	2, 1
3	Miss	3, 2, 1
4	Miss	4, 3, 2, 1
1	Hit	4, 3, 2, 1
2	Hit	4, 3, 2, 1
5	Miss	5, 4, 3, 2
1	Miss	1, 5, 4, 2
2	Miss	2, 1, 5, 4
3	Miss	3, 2, 1, 5
4	Miss	4, 3, 2, 1
5	Miss	5, 4, 3, 2

Page Replacement Policies - Random

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 4 pages

Page Replacement Policies - Random

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 4 pages

Random strategy: randomly evict pages

Page Replacement Policies -Random

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Page Replacement Policies -Random

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Hit rate (%) =?

Page Replacement Policies -Random

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Hit rate (%) =?

`code/replacement-random.py`

`code/replacement-random-plot.py`

Page Replacement Policies -History based (LRU and LFU)

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Page Replacement Policies -History based (LRU and LFU)

Workload (page): 1,2,3,4,1,2,3,4,3,2,1

Cache size/Physical memory size: 3 pages

Strategy:

1. Least frequently used - evict least frequently used page
2. Least recently used - evict least recently used page

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0		
1		
3		
0		
3		
1		
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1		
3		
0		
3		
1		
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1	Hit	1, 0, 2
3		
0		
3		
1		
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1	Hit	1, 0, 2
3	Miss	3, 1, 0
0		
3		
1		
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1	Hit	1, 0, 2
3	Miss	3, 1, 0
0	Hit	0, 3, 1
3		
1		
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1	Hit	1, 0, 2
3	Miss	3, 1, 0
0	Hit	0, 3, 1
3	Hit	3, 0, 1
1		
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1	Hit	1, 0, 2
3	Miss	3, 1, 0
0	Hit	0, 3, 1
3	Hit	3, 0, 1
1	Hit	1, 3, 0
2		
1		

Page Replacement Policies - LRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Access	Hit	State (least used entry is rightmost)
0	Miss	0
1	Miss	1, 0
2	Miss	2, 1, 0
0	Hit	0, 2, 1
1	Hit	1, 0, 2
3	Miss	3, 1, 0
0	Hit	0, 3, 1
3	Hit	3, 0, 1
1	Hit	1, 3, 0
2	Miss	2, 1, 3
1	Hit	1, 2, 3

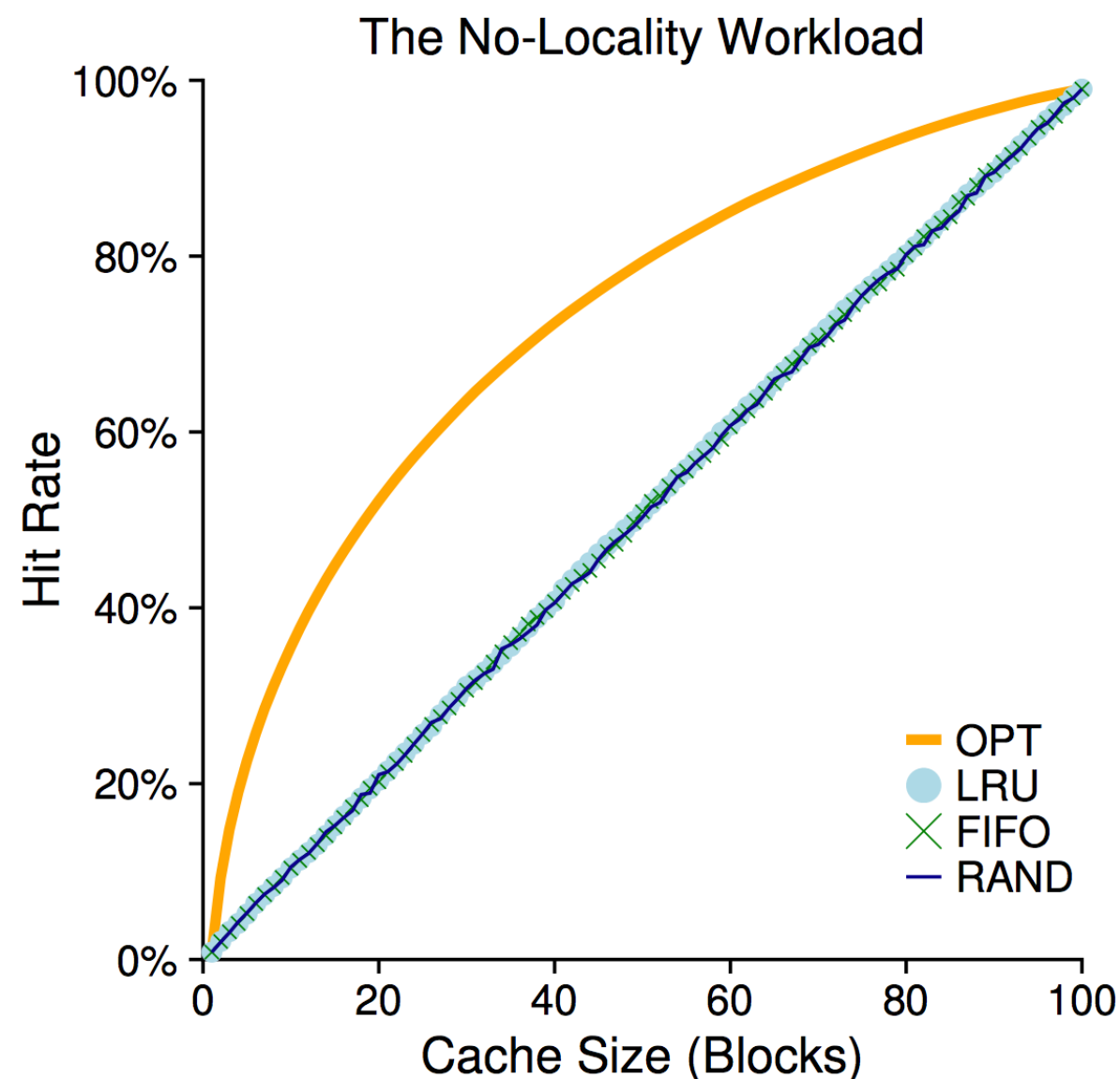
Page Replacement Policies - MRU

Workload (page): 0, 1, 2, 0, 1, 3, 0, 3, 1, 2, 1

Cache size/Physical memory size: 3 pages

Workload Examples

100 unique pages
10000 page accesses
Randomly chosen page order

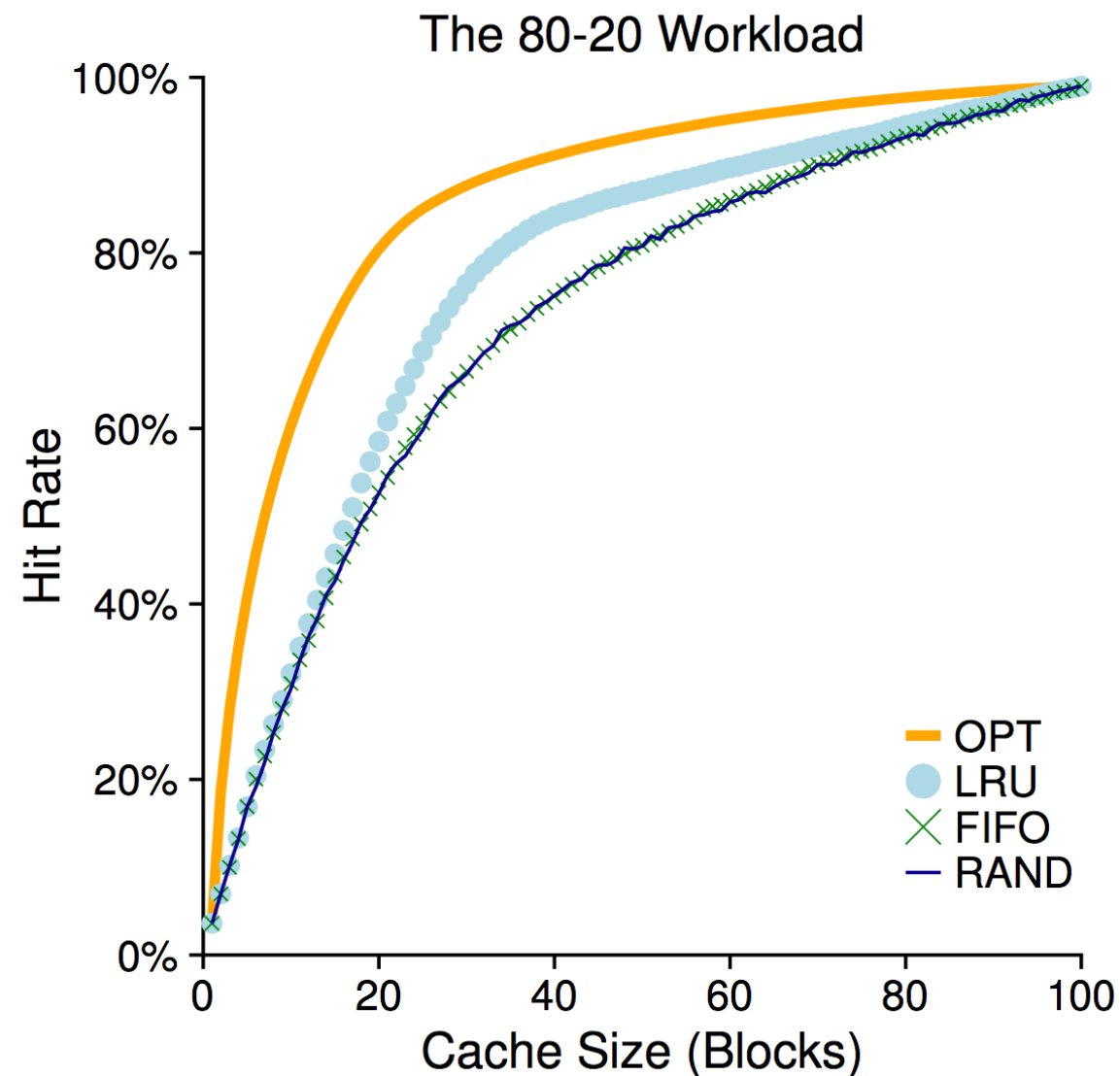


Workload Examples

100 unique pages

10000 page accesses

80/20 load: 80% of accesses to 20% pages

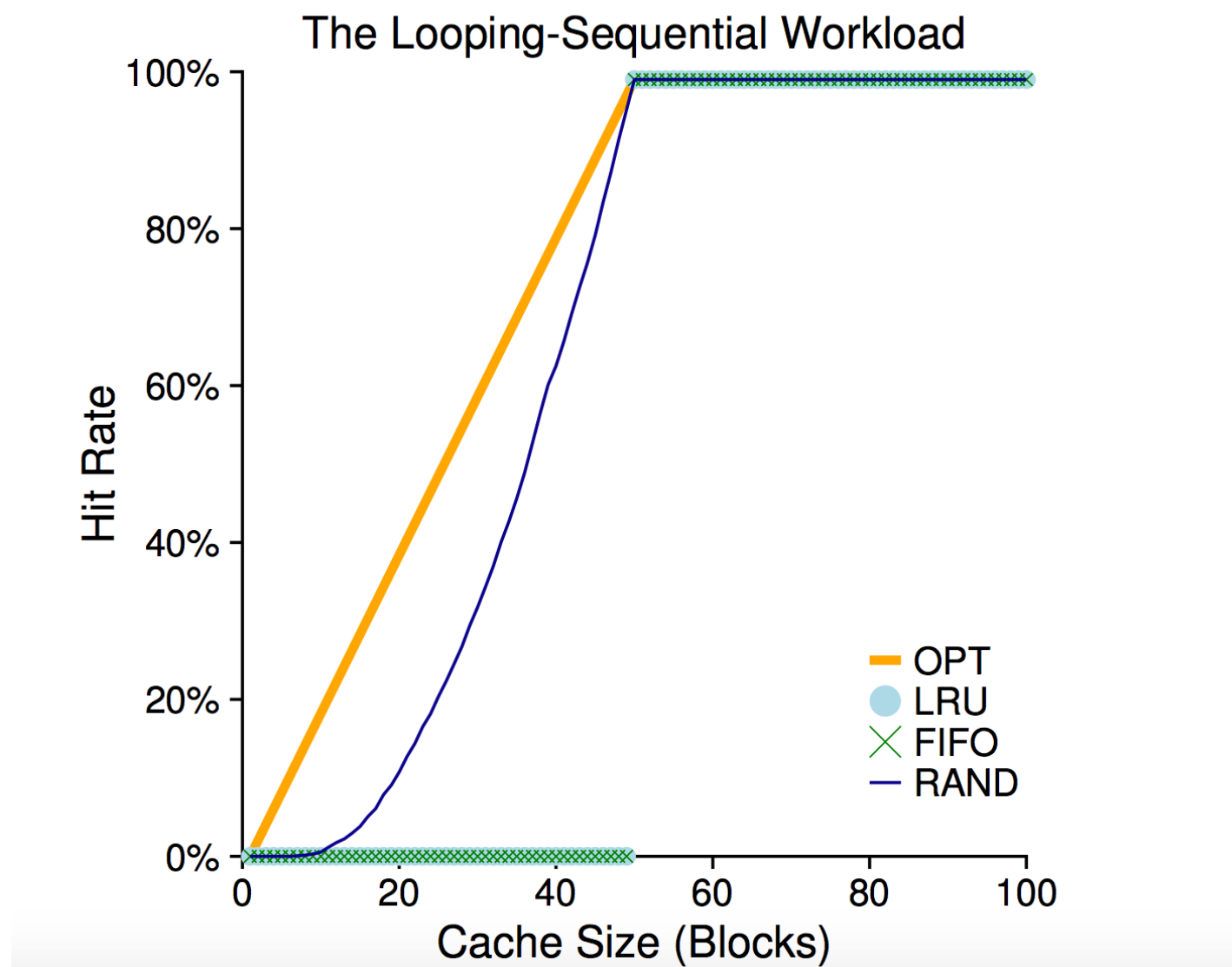


Workload Examples

50 unique pages

10000 page accesses

Accesses in order and looped: 0, 1, 2, ...49, 0, 1,



LRU implementation

LRU implementation

- On each access, update time of page

LRU implementation

- On each access, update time of page
- When looking for eviction:

LRU implementation

- On each access, update time of page
- When looking for eviction:
 - Search for all candidate sets (millions of pages)

LRU implementation

- On each access, update time of page
- When looking for eviction:
 - Search for all candidate sets (millions of pages)
 - Find least recently used

LRU implementation

- On each access, update time of page
- When looking for eviction:
 - Search for all candidate sets (millions of pages)
 - Find least recently used
- Huge overhead!

LRU implementation (Appx - Clock Hand Algo)

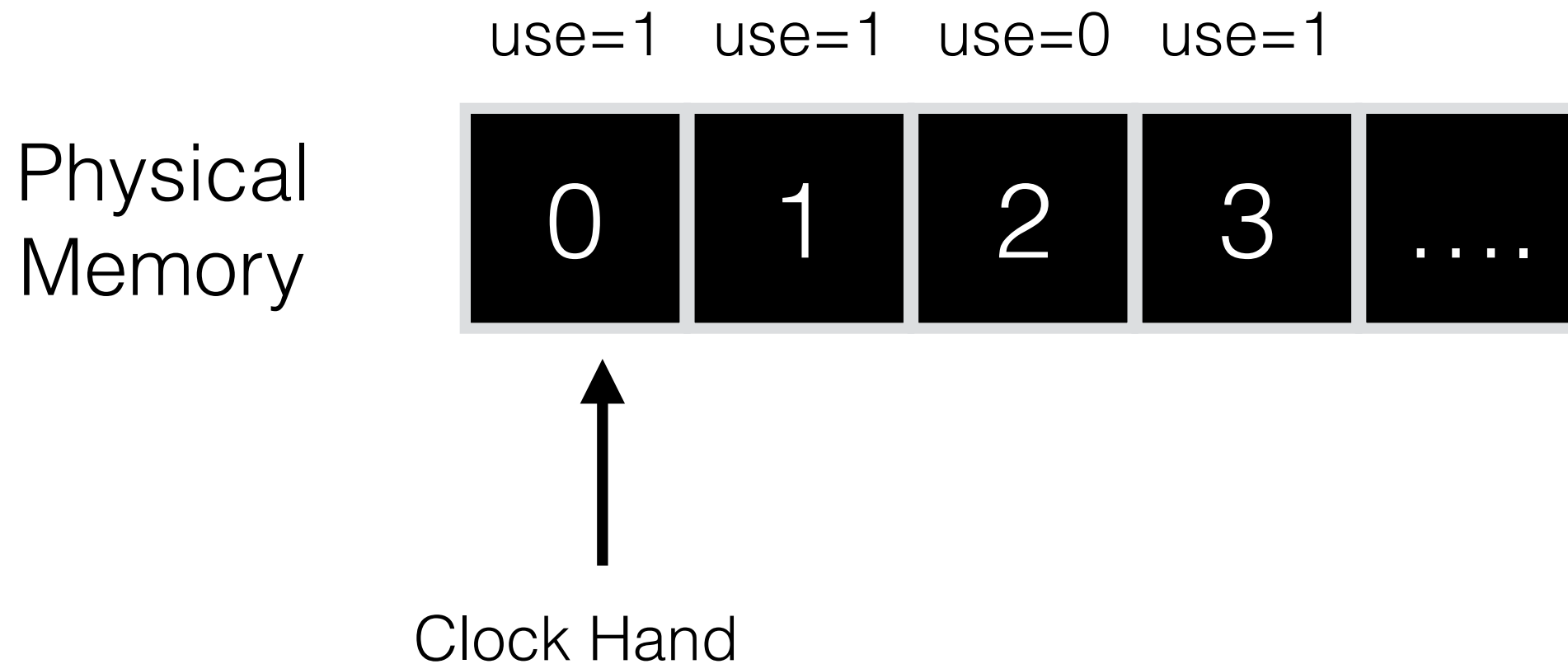
LRU implementation (Appx - Clock Hand Algo)

- On each access, set reference bit for page

LRU implementation (Appx - Clock Hand Algo)

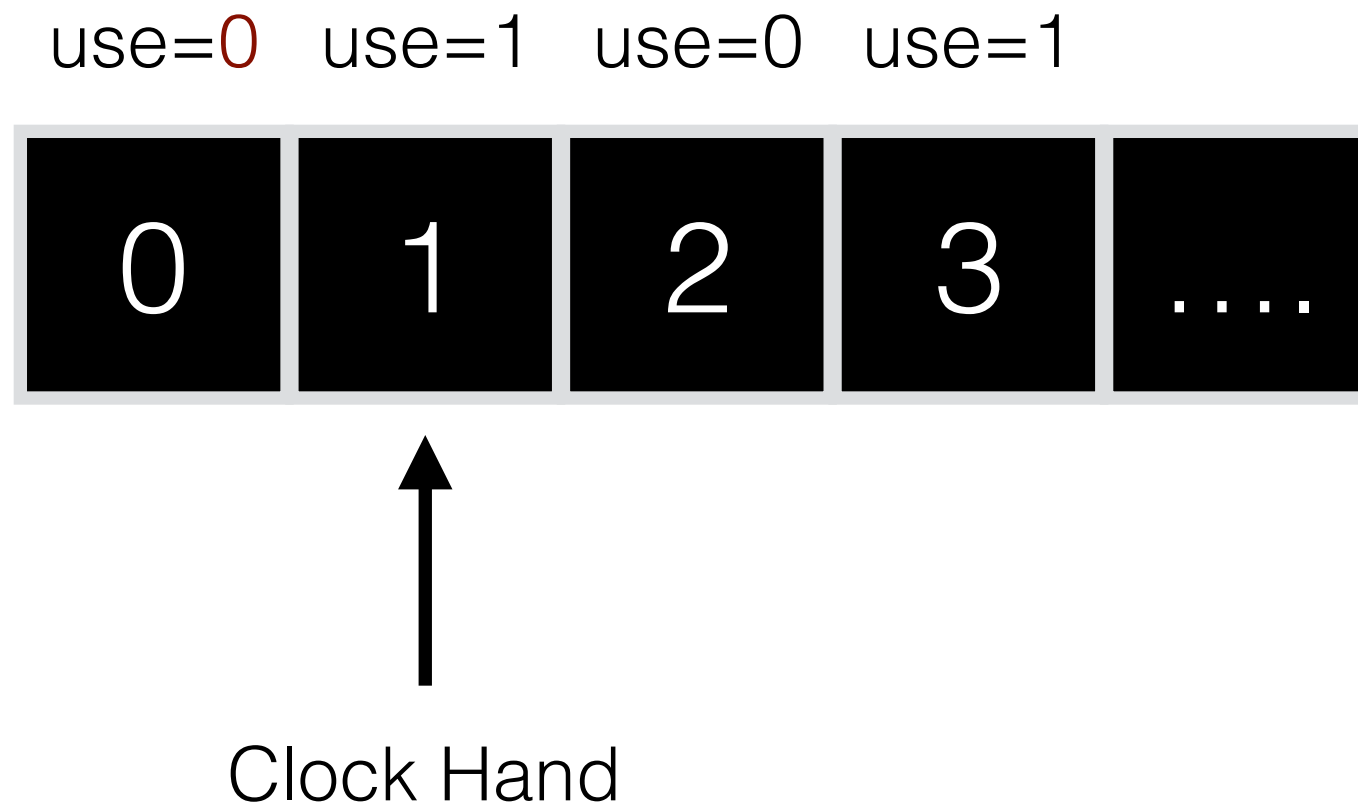
- On each access, set reference bit for page
- Clock algorithm - look for nearest page without set reference bit

LRU implementation (Appx - Clock Hand Algo)



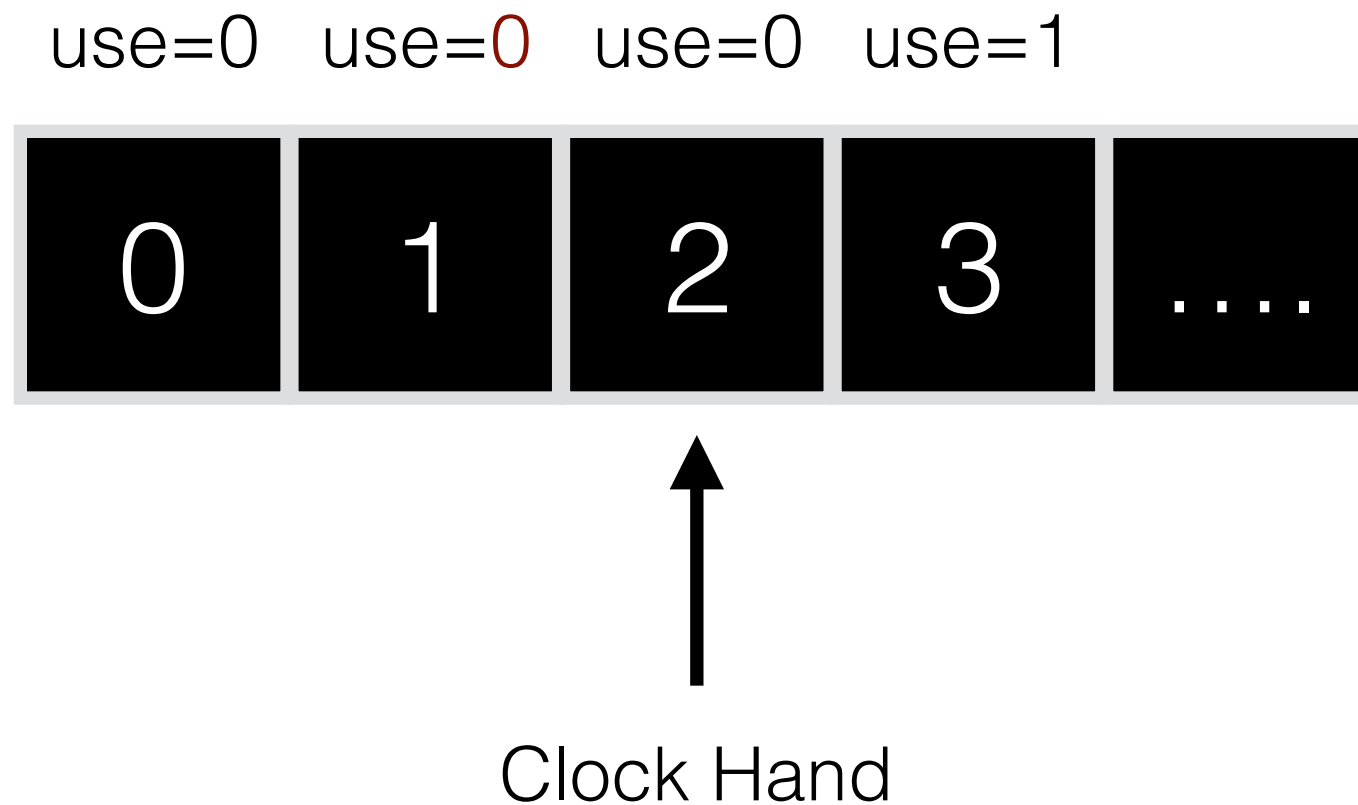
LRU implementation (Appx - Clock Hand Algo)

Physical
Memory



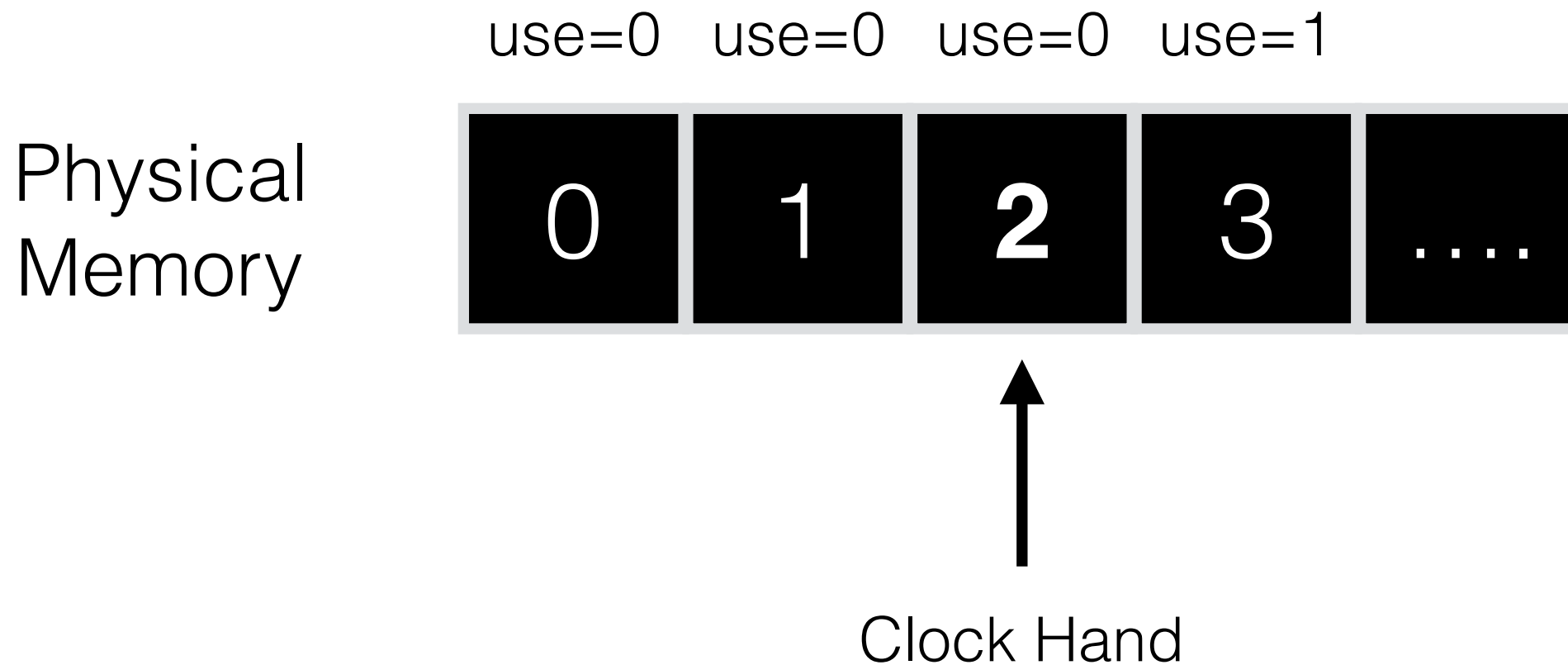
LRU implementation (Appx - Clock Hand Algo)

Physical
Memory



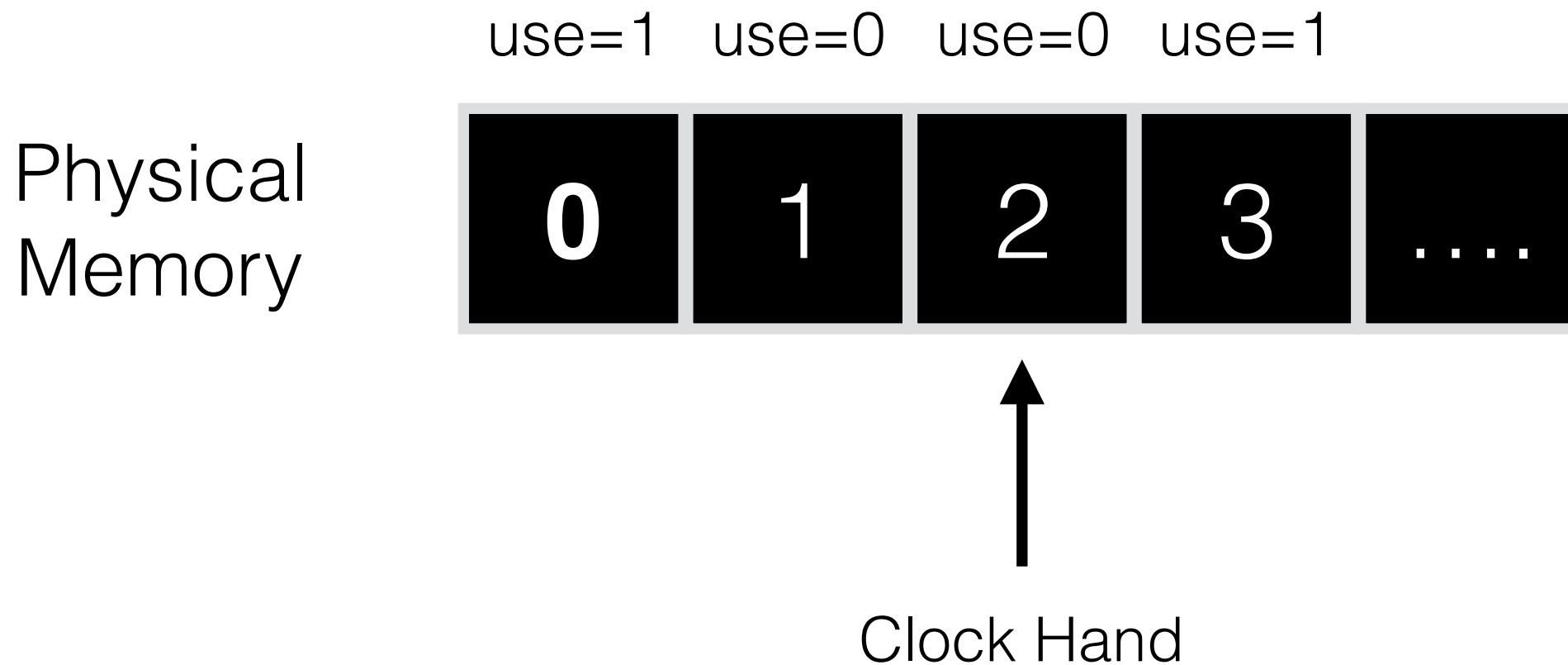
LRU implementation (Appx - Clock Hand Algo)

Evict Page 2: Not recently used



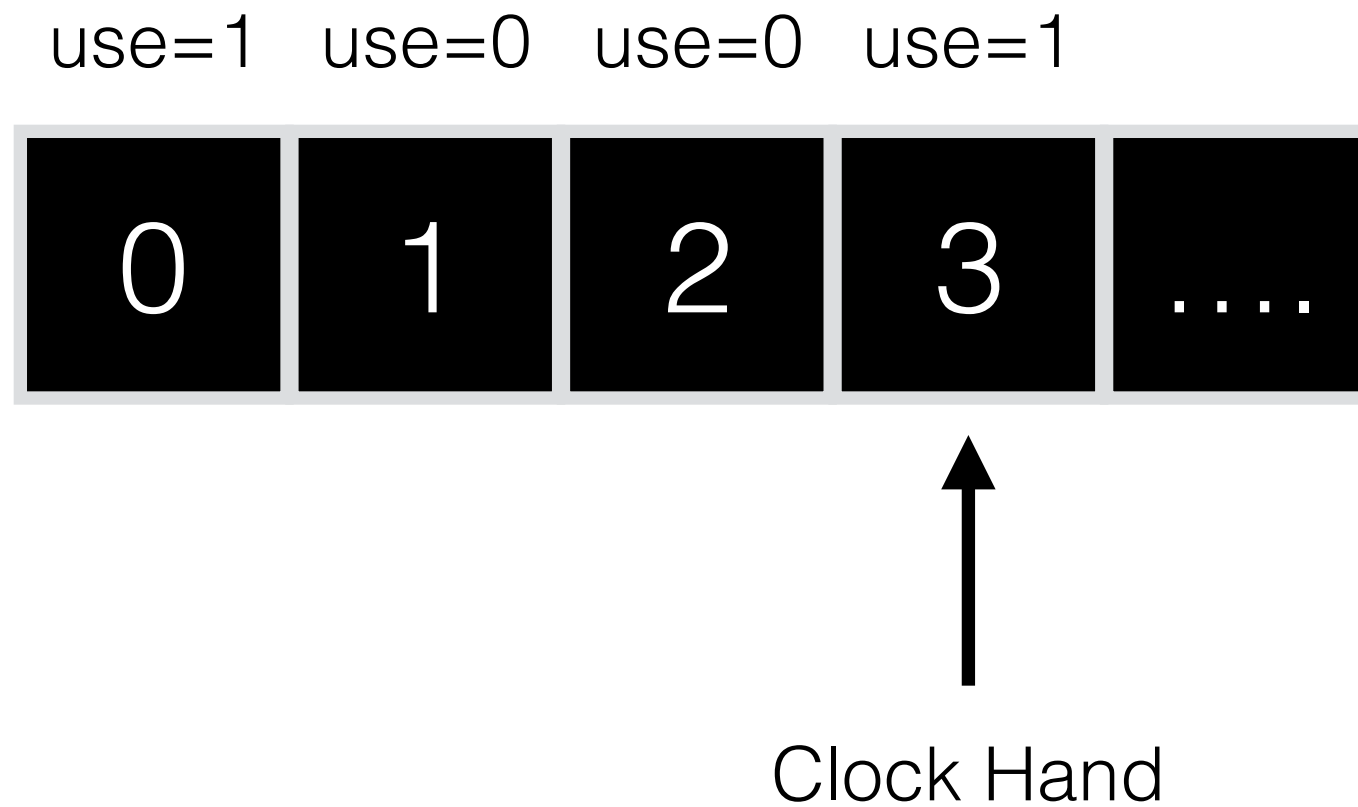
LRU implementation (Appx - Clock Hand Algo)

Page 0 is accessed

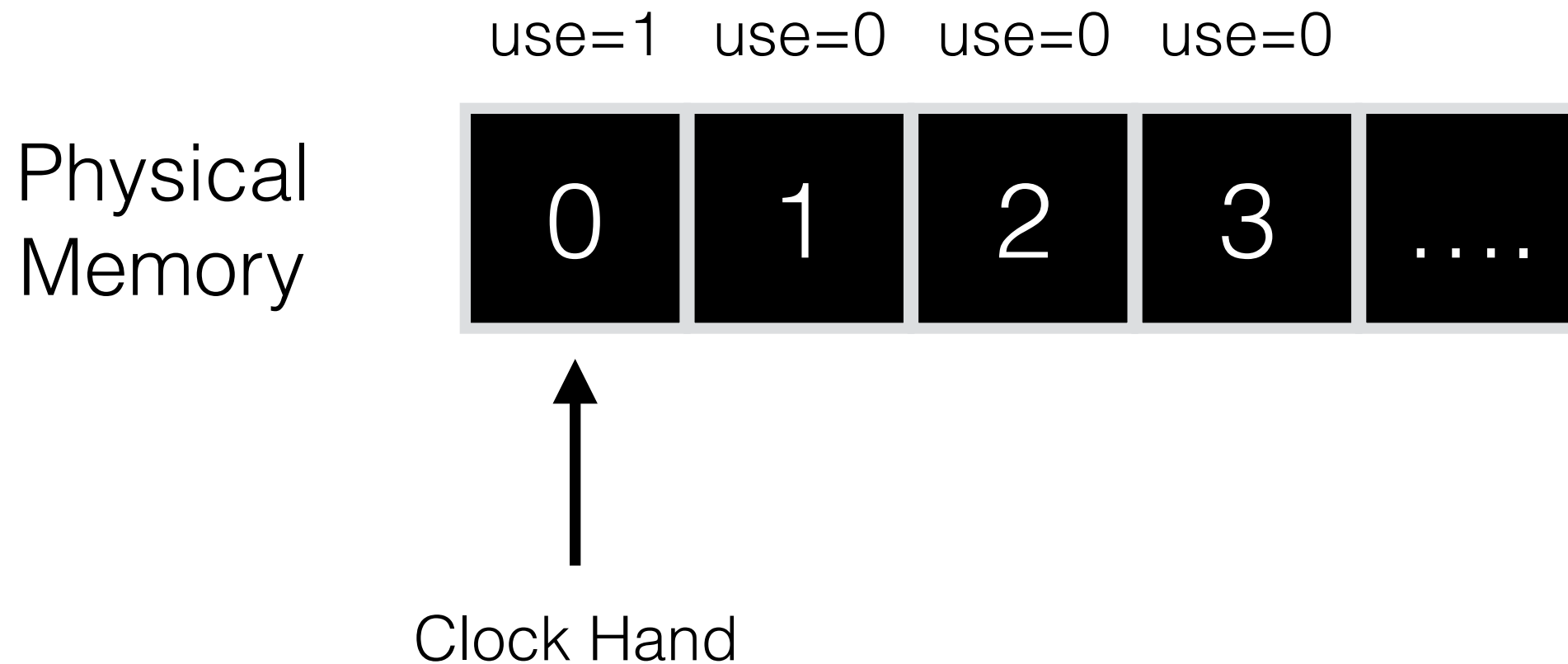


LRU implementation (Appx - Clock Hand Algo)

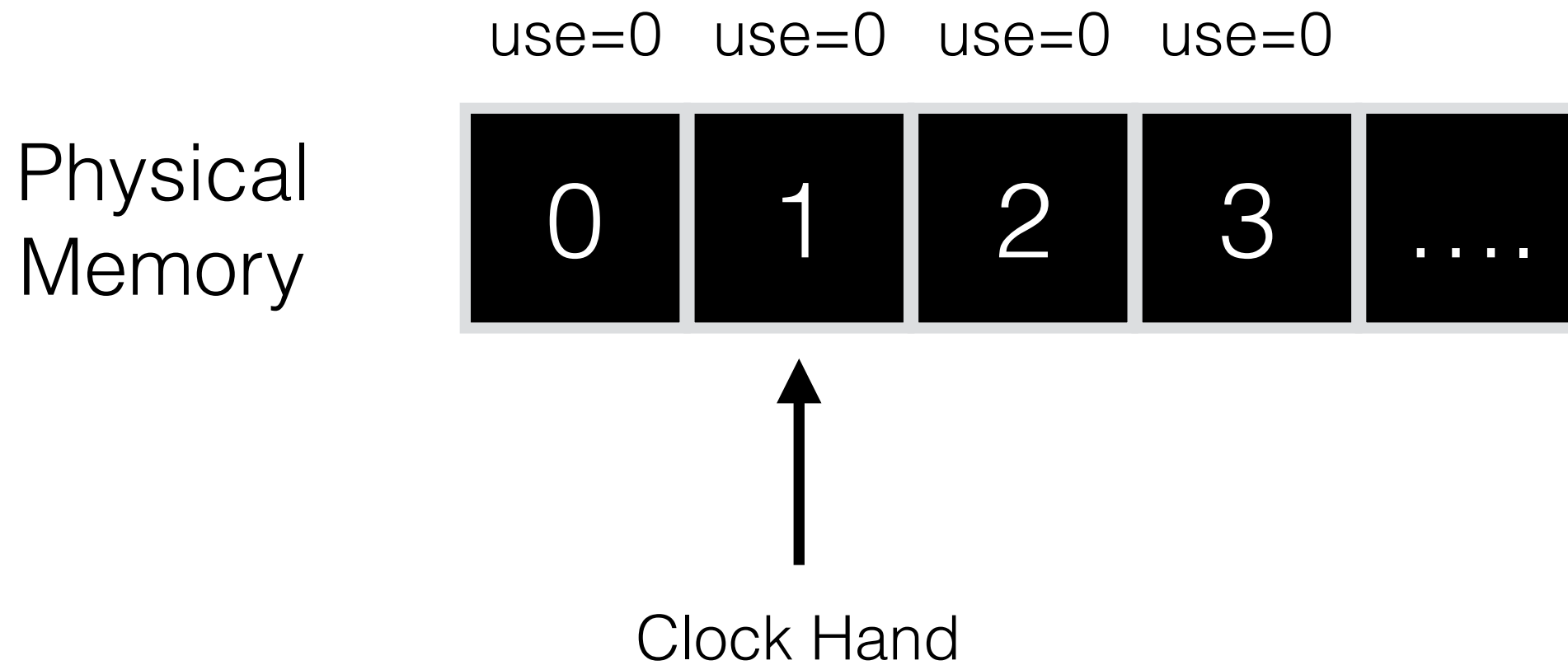
Physical
Memory



LRU implementation (Appx - Clock Hand Algo)

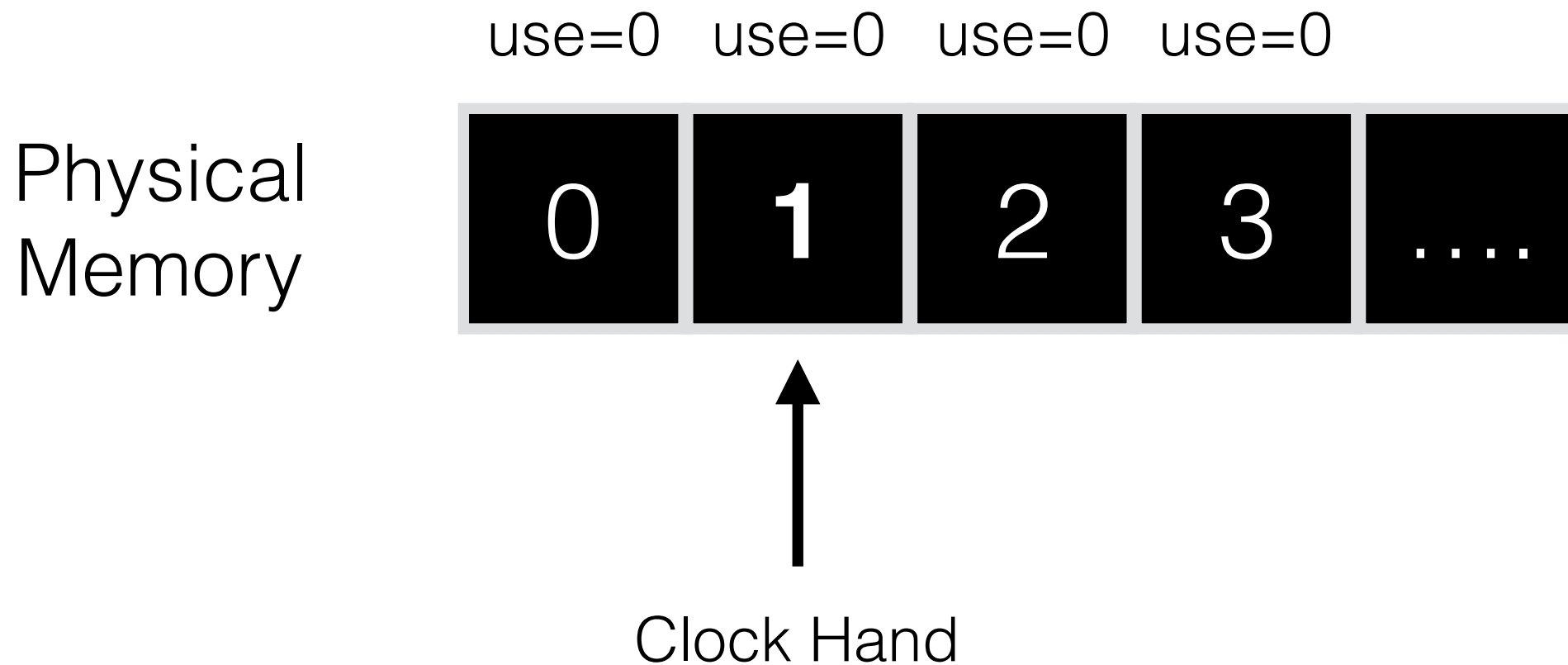


LRU implementation (Appx - Clock Hand Algo)



LRU implementation (Appx - Clock Hand Algo)

Evict Page 1: Not recently used



Other Factors

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- Assume page is both on disk and RAM

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 - **NO!**

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 - If page is clean?
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 - If page is dirty?

Other Factors

- Assume page is both on disk and RAM
- Do we have to write the evicted page to disk?
 - If page is clean?
 - NO!
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Other Factors

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 - Demand paging: swap in when needed

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- When to write to disk
 - One at a time
 - Clustered writes - preferred - 1 large write quicker than multiple smaller writes