

# Operating Systems

## Lecture 21: Condition Variables

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Oct 23, 2018

# Concurrency Objectives

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- Mutual exclusion

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  - A & B don't run at the same time

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- Mutual exclusion
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  - Solved using locks
- Ordering
  - A runs after B
  - Solved with?
    - **Join**

# Concurrency Objectives

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- Mutual exclusion
  - A & B don't run at the same time
  - Solved using locks
- Ordering
  - A runs after B
  - Solved with?
    - Join
      - Implemented using condition variables

# How to Join (run child before continuing parent)

---

```
1 void *child(void *arg) {
2     printf("child\n");
3     //how to indicate we are done?
4     return NULL;
5 }
6
7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    Pthread_create(&c, NULL, child, NULL); // create child
11    //how to wait for child?
12    printf("parent: end\n");
13    return 0;
14 }
```

# How to Join: Spin based approach

---

```
1  volatile int done = 0;
2
3  void *child(void *arg) {
4      printf("child\n");
5      done = 1;
6      return NULL;
7  }
8
9  int main(int argc, char *argv[]) {
10     printf("parent: begin\n");
11     pthread_t c;
12     Pthread_create(&c, NULL, child, NULL); // create child
13     while (done == 0)
14         ; // spin
15     printf("parent: end\n");
16     return 0;
17 }
```

# How to Join: Spin based approach

---

```
1 volatile int done = 0;
```

```
2
```

```
3 void *child(void *arg) {
```

```
4     printf("child\n");
```

```
5     done = 1;
```

```
6     return NULL;
```

```
7 }
```

```
8
```

```
9 int main(int argc, char *argv[]) {
```

```
10     printf("parent: begin\n");
```

```
11     pthread_t c;
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```
12     Pthread_create(&c, NULL, child, NULL); // create child
```

```
13     while (done == 0)
```

```
14         ; // spin
```

```
15     printf("parent: end\n");
```

```
16     return 0;
```

```
17 }
```

Initialising

# How to Join: Spin based approach

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6      return NULL;
7  }
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9  int main(int argc, char *argv[]) {
10     printf("parent: begin\n");
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12     Pthread_create(&c, NULL, child, NULL); // create child
13     while (done == 0)
14         ; // spin
15     printf("parent: end\n");
16     return 0;
17 }
```

Set done to 1 in  
child

# How to Join: Spin based approach

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5      done = 1;
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9  int main(int argc, char *argv[]) {
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```

Spin wait for child  
to finish

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# How to Join: Spin based approach

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```
1 volatile int done = 0;
2
3 void *child(void *arg) {
4     printf("child\n");
5     done = 1;
6     return NULL;
7 }
```

Spin waiting is very costly!

```
8
9 int main(int argc, char *argv[]) {
10     printf("parent: begin\n");
11     pthread_t c;
12     Pthread_create(&c, NULL, child, NULL); // create child
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# Condition Variables

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  - Queue of sleeping threads

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  - Queue of sleeping threads
  - Thread add themselves to queue with **wait**

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- **Condition variable**
  - Queue of sleeping threads
  - Thread add themselves to queue with **wait**
  - Thread wake up threads on the queue with **signal**

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- **Condition variable**
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  - Thread add themselves to queue with **wait**
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# Condition Variables

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# Condition Variables

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- **wait (cond\_t \*cv, mutex\_t \*lock)**

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  - Puts caller to sleep + atomically releases lock

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- **wait (cond\_t \*cv, mutex\_t \*lock)**
  - Assumes lock is held when wait() is called
  - Puts caller to sleep + atomically releases lock
  - When awoken, reacquires lock before returning

# Condition Variables

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- **wait (cond\_t \*cv, mutex\_t \*lock)**
  - Assumes lock is held when wait() is called
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  - When awoken, reacquires lock before returning
- **signal (cond\_t \*cv)**

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  - Assumes lock is held when wait() is called
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- **signal (cond\_t \*cv)**
  - Wake a single waiting thread

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  - Assumes lock is held when wait() is called
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  - When awoken, reacquires lock before returning
- **signal (cond\_t \*cv)**
  - Wake a single waiting thread
  - If there is no waiting thread, just return, do nothing

# Exercise: order using condition variables

## Write `thread_exit()` and `thread_join()` using CVs

---

- **wait (cond\_t \*cv, mutex\_t \*lock)**
  - Assumes lock is held when wait() is called
  - Puts caller to sleep + atomically releases lock
  - When awoken, reacquires lock before returning
- **signal (cond\_t \*cv)**
  - Wake a single waiting thread
  - If there is no waiting thread, just return, do nothing

```
1 void *child(void *arg) {
2     printf("child\n");
3     thread_exit()
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7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    Pthread_create(&c, NULL, child, NULL); // create child
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# Exercise: order using condition variables

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```
void thread_exit {  
    mutex_lock(&m)      //a  
    cond_signal(&c)    //b  
    mutex_unlock(&m) } //c
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Order

# Exercise: order using condition variables

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Order  
Parent

# Exercise: order using condition variables

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```

Order	
Parent	Child



# Exercise: order using condition variables

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```

Order	
Parent	Child
X	

# Exercise: order using condition variables

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---

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Order	
Parent	Child

x

y

# Exercise: order using condition variables

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Order	
Parent	Child
x	
y	a

# Exercise: order using condition variables

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Order	
Parent	Child
x	
y	a
	b

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Order	
Parent	Child
x	
y	a
	b
	c

# Exercise: order using condition variables

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---

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void thread_exit {  
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Order	
Parent	Child
x	
y	a
	b
	c
z	

# Exercise: order using condition variables

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Order

Parent	Child
x	
y	a
	b
	c
z	



# Exercise: order using condition variables

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Parent	Child



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Order	
Parent	Child
	a

# Exercise: order using condition variables

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Parent	Child
	a
	b

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Order	
Parent	Child
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	b
x	c

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2     printf("child\n");  
3     thread_exit()  
4     return NULL; }  
  
7 int main(int argc, char *argv[]) {  
8     printf("parent: begin\n");  
9     pthread_t c;  
10    Pthread_create(&c, NULL, child, NULL); // create child  
11    thread_join()  
12    printf("parent: end\n");  
13    return 0; }
```

Order	
Parent	Child
	a
	b
x	c
y	

# Exercise: order using condition variables

## Attempt #1

---

```
void thread_exit {  
    mutex_lock(&m)      //a  
    cond_signal(&c)     //b  
    mutex_unlock(&m) } //c
```

```
void thread_join {  
    mutex_lock(&m)      //x  
    cond_wait(&c, &m)  //y  
    mutex_unlock(&m) } //z
```

```
1 void *child(void *arg) {  
2     printf("child\n");  
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7 int main(int argc, char *argv[]) {  
8     printf("parent: begin\n");  
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10    Pthread_create(&c, NULL, child, NULL); // create child  
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```

Order	
Parent	Child
	a
	b
x	c
y	



# Exercise: order using condition variables

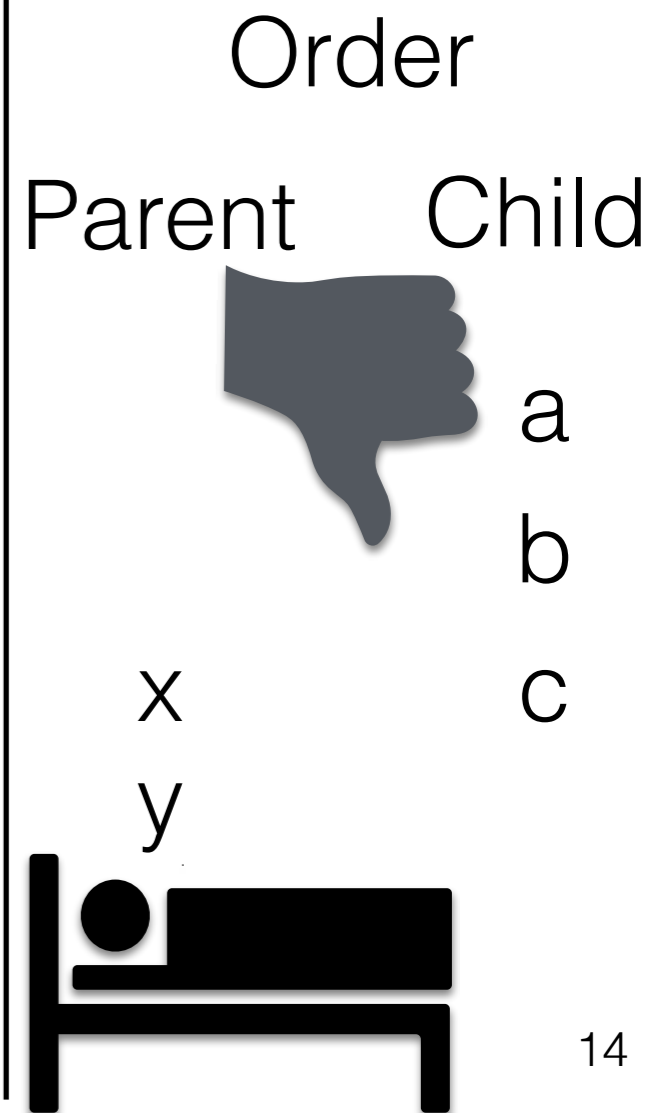
## Attempt #1

---

```
void thread_exit {  
    mutex_lock(&m)      //a  
    cond_signal(&c)     //b  
    mutex_unlock(&m) } //c
```

```
void thread_join {  
    mutex_lock(&m)      //x  
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1 void *child(void *arg) {  
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13    return 0; }
```



# Rule of Thumb #1

---



# Rule of Thumb #1

---

- In addition to condition variables use another variable to capture state

# Rule of Thumb #1

---

- In addition to condition variables use another variable to capture state
- CVs can be used to nudge threads when state changes

# Exercise: order using condition variables

## Attempt #2

---

```
1 void *child(void *arg) {
2     printf("child\n");
3     thread_exit()
4     return NULL; }

7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    Pthread_create(&c, NULL, child, NULL); // create child
11    thread_join()
12    printf("parent: end\n");
13    return 0; }
```

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1          //a  
    cond_signal(&c)   //b
```

```
1 void *child(void *arg) {  
2     printf("child\n");  
3     thread_exit()  
4     return NULL; }  
  
7 int main(int argc, char *argv[]) {  
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9     pthread_t c;  
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11    thread_join()  
12    printf("parent: end\n");  
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```

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
```

```
void thread_join {  
    mutex_lock(&m)     //w  
    if (done==0)      //x  
        cond_wait(&c, &m) //y  
    mutex_unlock(&m) } //z
```

```
1 void *child(void *arg) {  
2     printf("child\n");  
3     thread_exit()  
4     return NULL; }  
  
7 int main(int argc, char *argv[]) {  
8     printf("parent: begin\n");  
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# Exercise: order using condition variables

## Attempt #2

---

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void thread_exit {  
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# Exercise: order using condition variables

## Attempt #2

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    Done = 1           //a  
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void thread_join {  
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10    pthread_create(&c, NULL, child, NULL); // create child  
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13    return 0; }
```

Order

# Exercise: order using condition variables

## Attempt #2

---

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void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
```

```
void thread_join {  
    mutex_lock(&m)      //w  
    If (done==0)       //x  
        cond_wait(&c, &m) //y  
    mutex_unlock(&m) } //z
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9     pthread_t c;  
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12    printf("parent: end\n");  
13    return 0; }
```

Order  
Parent



# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
```

```
void thread_join {  
    mutex_lock(&m)      //w  
    if (done==0)       //x  
        cond_wait(&c, &m) //y  
    mutex_unlock(&m) } //z
```

```
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8     printf("parent: begin\n");  
9     pthread_t c;  
10    pthread_create(&c, NULL, child, NULL); // create child  
11    thread_join()  
12    printf("parent: end\n");  
13    return 0; }
```

Order	
Parent	Child

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
```

```
void thread_join {  
    mutex_lock(&m)      //w  
    if (done==0)       //x  
        cond_wait(&c, &m) //y  
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```

Order	
Parent	Child
W	

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
```

```
void thread_join {  
    mutex_lock(&m)      //w  
    if (done==0)       //x  
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```

Order	
Parent	Child
W	
X	

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
```

```
void thread_join {  
    mutex_lock(&m)      //w  
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```

Order	
Parent	Child
w	
x	a

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
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void thread_join {  
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```

Order	
Parent	Child
w	
x	a
	b

# Exercise: order using condition variables

## Attempt #2

---

```
void thread_exit {  
    Done = 1           //a  
    cond_signal(&c)    //b
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```
void thread_join {  
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```

Order	
Parent	Child
w	
x	a
	b
y	

# Exercise: order using condition variables

## Attempt #2

---

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void thread_exit {  
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Order	
Parent	Child

w

x

a

b

y



# Exercise: order using condition variables

## Attempt #2

---

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void thread_exit {  
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```

Order

Parent	Child
--------	-------

w

x

a

b

y





# Exercise: order using condition variables

## Correct Solution

---

```
1 void *child(void *arg) {
2     printf("child\n");
3     thread_exit()
4     return NULL; }

7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    Pthread_create(&c, NULL, child, NULL); // create child
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# Exercise: order using condition variables

## Correct Solution

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```
void thread_exit {  
    mutex_lock(&m)  
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# Exercise: order using condition variables

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void thread_join {  
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    while (done==0)         //x  
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1 void *child(void *arg) {  
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10    Pthread_create(&c, NULL, child, NULL); // create child  
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12    printf("parent: end\n");  
13    return 0; }
```

# Rule of Thumb #2

---

# Rule of Thumb #2

---

- Wait and signal while holding the lock

# The Producer Consumer Problem

---

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:



# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently -  
Producers

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers
  - Bounded buffer

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers
  - Bounded buffer
    - `grep foo file.txt | wc -l`

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers
  - Bounded buffer
    - `grep foo file.txt | wc -l`
    - The `grep` process is the producer.

# The Producer Consumer Problem

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- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers
  - Bounded buffer
    - `grep foo file.txt | wc -l`
    - The `grep` process is the producer.
    - The `wc` process is the consumer.

# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers
  - Bounded buffer
    - `grep foo file.txt | wc -l`
    - The `grep` process is the producer.
    - The `wc` process is the consumer.
    - Between them is an in-kernel bounded buffer.



# The Producer Consumer Problem

---

- Producers produce data and place it on a shared resource
- Example:
  - Multi-threaded web server:
    - Multiple request coming in concurrently - Producers
    - Multiple responses concurrently - Consumers
  - Bounded buffer
    - `grep foo file.txt | wc -l`
    - The `grep` process is the producer.
    - The `wc` process is the consumer.
    - Between them is an in-kernel bounded buffer.

# The Producer Consumer Problem

---



Bounded Buffer

# The Producer Consumer Problem

---



Bounded Buffer

# The Producer Consumer Problem

---

Producer adds to the buffer



Bounded Buffer

# The Producer Consumer Problem

---

Producer adds to the buffer



Bounded Buffer

# The Producer Consumer Problem

---

Producer adds to the buffer



Consumer removes from the buffer

Bounded Buffer

# The Producer Consumer Problem

---

Buffer Full - Producer(s) have to wait



Bounded Buffer

# The Producer Consumer Problem

---

Buffer Empty - Consumer(s) have to wait



Bounded Buffer



# The Producer Consumer Problem (Buffer size = 1)

---

```
1  int buffer;
2  int count = 0; // initially, empty
3
4  void put(int value) {
5  assert(count == 0);
6  count = 1;
7  buffer = value;
8  }
9
10 int get() {
11 assert(count == 1);
12 count = 0;
13 return buffer;
14 }
```

# The Producer Consumer Problem

## (Buffer size = 1)

---

```
1  int buffer;
2  int count = 0; // initially, empty
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6  count = 1;
7  buffer = value;
8  }
9
10 int get() {
11  assert(count == 1);
12  count = 0;
13  return buffer;
14 }
```

Insert into buffer (produce)  
only if buffer is empty

# The Producer Consumer Problem

## (Buffer size = 1)

---

```
1  int buffer;
2  int count = 0; // initially, empty
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7  buffer = value;
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9
10 int get() {
11 assert(count == 1);
12 count = 0;
13 return buffer;
14 }
```

Delete from buffer (consume)  
only if buffer is full

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

```
1 void *producer(void *arg) {
2 int i;
3 int loops = (int) arg;
4 for (i = 0; i < loops; i++) {
5 put(i);
6 }
7 }
8
9 void *consumer(void *arg) {
10 int i;
11 while (1) {
12 int tmp = get();
13 printf("%d\n", tmp);
14 }
15 }
```

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

```
1 void *producer(void *arg) {  
2 int i;  
3 int loops = (int) arg;  
4 for (i = 0; i < loops; i++) {  
5 put(i);  
6 }  
7 }
```

Producer puts an integer into the shared buffer loops number of times.

```
8  
9 void *consumer(void *arg) {  
10 int i;  
11 while (1) {  
12 int tmp = get();  
13 printf("%d\n", tmp);  
14 }  
15 }
```

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

```
1 void *producer(void *arg) {  
2 int i;  
3 int loops = (int) arg;  
4 for (i = 0; i < loops; i++) {  
5 put(i);  
6 }  
7 }
```

```
8  
9 void *consumer(void *arg) {  
10 int i;  
11 while (1) {  
12 int tmp = get();  
13 printf("%d\n", tmp);  
14 }  
15 }
```

Consumer gets data out of the buffer.

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

```
1 void *producer(void *arg) {
2   int i;
3   int loops = (int) arg;
4   for (i = 0; i < loops; i++) {
5     put(i);
6   }
7 }
8
9 void *consumer(void *arg) {
10  int i;
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12    int tmp = get();
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```

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

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```
1 void *producer(void *arg) {
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7 }
8
9 void *consumer(void *arg) {
10 int i;
11 while (1) {
12 int tmp = get();
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14 }
15 }
```

What's the problem



# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

```
1 void *producer(void *arg) {
2   int i;
3   int loops = (int) arg;
4   for (i = 0; i < loops; i++) {
5     put(i);
6   }
7 }
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9 void *consumer(void *arg) {
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```

What's the problem  
with this approach?

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

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1 void *producer(void *arg) {
2   int i;
3   int loops = (int) arg;
4   for (i = 0; i < loops; i++) {
5     put(i);
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9 void *consumer(void *arg) {
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12    int tmp = get();
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```

What's the problem  
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# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

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1 void *producer(void *arg) {
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12    int tmp = get();
13    printf("%d\n", tmp);
14  }
15 }
```

What's the problem  
with this approach?

Multiple threads accessing

# The Producer Consumer Problem (Buffer size = 1) [Attempt #1]

---

```
1 void *producer(void *arg) {
2   int i;
3   int loops = (int) arg;
4   for (i = 0; i < loops; i++) {
5     put(i);
6   }
7 }
8
9 void *consumer(void *arg) {
10  int i;
11  while (1) {
12    int tmp = get();
13    printf("%d\n", tmp);
14  }
15 }
```

What's the problem  
with this approach?

Multiple threads accessing  
shared resource without locking

# The Producer Consumer Problem (Buffer size = 1) [Attempt #2]

---

```
1 void *producer(void *arg) {
2 int i;
3 int loops = (int) arg;
4 for (i = 0; i < loops; i++) {
5 Pthread_mutex_lock(&mutex);
6 put(i);
7 Pthread_mutex_unlock(&mutex);
8 }
9 void *consumer(void *arg) {
10 int i;
11 while (1) { Pthread_mutex_lock(&mutex);
12 int tmp = get();
13 Pthread_mutex_unlock(&mutex);
14 printf("%d\n", tmp);
15 } }
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# The Producer Consumer Problem (Buffer size = 1) [Attempt #2]

---

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What's the problem

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What's the problem  
with this approach?

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What's the problem  
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No explicit waiting

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13 Pthread_mutex_unlock(&mutex);
14 printf("%d\n", tmp);
15 } }
```

What's the problem  
with this approach?

No explicit waiting  
on empty and full buffer